



Filing ID #10054997

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Brian Babin
Status: Member
State/District: TX36

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2022
Filing Date: 06/9/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------------|----------------|---------------------|--------------------------|
| Brian Babin DDS Dental Practice [RP] LOCATION: Woodville, TX, US | | \$100,001 - \$250,000 | sale | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Chevron Corporation (CVX) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Citizen State Bank [BA] | | \$500,001 - \$1,000,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Community Bank of Texas [BA] | | \$1,000,001 - \$5,000,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Community Bank of Woodville [BA] | JT | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Education First Bank Account [BA] | JT | \$100,001 - \$250,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Education First Federal Credit Union [BA] | | \$15,001 - \$50,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Exxon Mobil Corporation (XOM) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|-----------------------------|-----------------|-------------------------------------|
| land parcel [RP] LOCATION: Woodville, TX, US | | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| Summit Brokerage Services ⇒ Fidelity Select Ports Defense & Aerospace FSDAX [MF] | | None | None | | <input checked="" type="checkbox"/> |
| Summit Brokerage Services ⇒ Franklin Cust Dyna Tech FKDNX [MF] | | None | None | | <input checked="" type="checkbox"/> |
| Summit Brokerage Services ⇒ Franklin Custodian Growth FKGRX [MF] | | None | None | | <input checked="" type="checkbox"/> |
| Summit Brokerage Services ⇒ Franklin Income Fund [MF] | | None | None | | <input checked="" type="checkbox"/> |
| Summit Brokerage Services ⇒ Franklin Money Fund Class A [MF] | | None | None | | <input checked="" type="checkbox"/> |
| TD Ameritrade Bank Account [BA] | JT | \$100,001 - \$250,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| UBS Inherited IRA ⇒ iShares Broad USD Investment Grade Corporate Bond [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS Inherited IRA ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS Inherited IRA ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST] | SP | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS Inherited IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [ST] | SP | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS Inherited IRA ⇒ Vanguard Total Stock Market ETF (VTI) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ Aberdeen Ultra Short Municipal Income Fund ATOIX [MF] | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Resource Management Account ⇒ American Century Inflation Adjusted [IH] | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|--------------|-----------------------|-----------------------------|----------------------|-------------------------------------|
| UBS Resource Management Account ⇒ BHP Group Limited American Depository Shares (BHP) [ST] | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| UBS Resource Management Account ⇒ Blackrock Equity Dividend Fund C MCDVX [IH] | JT | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| UBS Resource Management Account ⇒ Blackrock High Yield Bond C BHYCX [IH] | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ Blackrock National Municipal Fund- MANLX [IH] | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| UBS Resource Management Account ⇒ Fidelity Advisor Industrial Funds- FCLIX [MF] | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ Growth Fund of America GFFFX [IH] | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| UBS Resource Management Account ⇒ Invesco Convertible Securities Fund [IH] | JT | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| UBS Resource Management Account ⇒ Invesco Developing Markets- ODVYX [IH] | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Resource Management Account ⇒ Janus Henderson International Opportunities Fund- HFOIX [IH] | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ Janus Henderson Mid Cap Value Fund JMVAX [MF] | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| UBS Resource Management Account ⇒ MFS Emerging Markets Bond Fund- MEDCX [MF] | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Resource Management Account ⇒ PACE Government Money Market Investment Fund- PCEXX [BA] | JT | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ Plains All Amer Pipeline- PAA [IH] | JT | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ SPDR Tech Sector [EF] | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|--------------|-----------------------|-----------------------------|-------------------|-------------------------------------|
| UBS Resource Management Account ⇒ Starwood REIT [RE] | JT | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ Templeton Global Bond [MF] | JT | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ UBS Bank [IH] | JT | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ Virtus Multi-Sector Short Term Bond- PSTCX [IH] | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| UBS Resource Management Account ⇒ Voya Corporate Leaders Trust- LEXCX [IH] | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ Western Asset Municipal- LMHIX [IH] | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| UBS SEP IRA ⇒ American Funds American High Income Trust [IH] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ American Funds American High-Inc [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ American Funds American Mutual C Fund- AMFCX [IH] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ American Funds American Mutual Fund [IH] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ American Funds Capital Income Builder [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ American Funds Capital Income Builder Fund [IH] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ American Funds Income Fund [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ American Funds Income Fund of America [IH] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ American Funds US GOV Money Market Fund AFCXX [IH] | | \$1 - \$1,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------|----------------|--------|-------------------------------------|
| UBS SEP IRA ⇒ American Funds US Government Securities Fund [IH] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ American Funds US Government Securities Fund Cl C UGSCX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ American Funds US Govt Money Market [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Barrick Gold Corp Cad ABX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ BHP Group Limited American Depository Shares (BHP) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| UBS SEP IRA ⇒ Compass Diversified Holdings- CODI [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ DWS RREEF global Infrastructure Fund TOLCX [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Enbridge Inc (ENB) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Energy Transfer LP Common Units (ET) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Enterprise Products Partner- EPD [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Fidelity Advisor Industrials [IH] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| UBS SEP IRA ⇒ Fidelity Advisor Industrials- FCLAX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ First Eagle Gold- FEGOX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ FTAI Aviation Ltd. (FTAI) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

DESCRIPTION: Name change from Fortress Transportation and Infrastructure.

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------------|----------------|--------|-------------------------------------|
| UBS SEP IRA ⇒ FTAI Infrastructure Inc. (FIP) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| UBS SEP IRA ⇒ Huntington Inglas- HII [IH] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ IAMGOLD Corp- IAG [IH] | | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Invesco Real Estate- IARCX [IH] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| UBS SEP IRA ⇒ Ishares US Aerospace & Defense- ITA [IH] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Kinder Morgan Inc [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Loomis Sayles Strategic- NEZTX [IH] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ MAN-AHL Diversified I Class B [IH] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Merk Hard Currency- MERKX [IH] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| UBS SEP IRA ⇒ Newmont Mining Corp- NEM [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Permanent Portfolio Fund- PRPFX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ PGIM JENN Natural Resources PNRZX [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Range Resources Corporation (RRC) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ South32 LTD- SOUHY [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|----------------|--------|-------------------------------------|
| TCW Emerging Markets- TGEIX [IH] | | | | | |
| UBS SEP IRA ⇒ Templeton Glbal- TGBAX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ UBS Bank [IH] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Vaneck Vectors Gold Miners- GDV [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Virtus Duff & Phelps Real Estate- PHRCX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Virtus Vontobel Emerging Markets Opportunities Fund PICEX [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Westshore Terms Invt Corp- WTSHF [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Wheaton Precious Metals Corp Common Shares (WPM) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Williams Companies, Inc. (WMB) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Woodside Energy Group Limited American Depositary Shares, each representing one Ordinary Share (WDS) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| UBS SEP IRA 2 - PACE ⇒ American Century Inflation Adjusted Treasury- ACITX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA 2 - PACE ⇒ DWS Capitol Growth Fund SCGSX [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA 2 - PACE ⇒ Gabelli Small Cap Growth- GACIX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA 2 - PACE ⇒ Goldman Sachs Short Duration Gov- GSTGX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| UBS SEP IRA 2 - PACE ⇒ | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------|----------------|--------|-------------------------------------|
| Hartford International- IHOIX [IH] | | | | | <input type="checkbox"/> |
| UBS SEP IRA 2 - PACE ⇒ Invesco Mid Cap Core- GTAYAX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA 2 - PACE ⇒ Templeton Gblal Bond- TGBAX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| UBS SEP IRA 2 - PACE ⇒ Transamerica High Yield Bond- TDHIX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA 2 - PACE ⇒ Virtus Vontobel Emerging Markets- HIEMX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS SEP IRA 2 - PACE ⇒ Voya Corporate Leaders- LEXCX [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|-----------------------|--------------------------|
| Summit Brokerage Services ⇒ Fidelity Select Ports Defense & Aerospace [MF] | | 01/24/2022 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Summit Brokerage Services ⇒ Franklin Cust Dyna Tech [MF] | | 01/22/2022 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Summit Brokerage Services ⇒ Franklin Custodian Growth [MF] | | 01/19/2022 | S | \$100,001 - \$250,000 | <input type="checkbox"/> |
| Summit Brokerage Services ⇒ Franklin Income Fund [MF] | | 01/24/2022 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Summit Brokerage Services ⇒ Franklin Money Fund Class A [MF] | | 04/28/2022 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ ABERDEEN ULTRA SHORT MUNICIPAL INCOME FUND [MF] | JT | 02/22/2022 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|--------------|-------------|-----------------|---------------------|-------------------------------|
| UBS Resource Management Account ⇒ AMERICAN CENTURY INFL-ADJ BOND FUND CLASS [MF] | JT | 02/22/2022 | P | \$1,001 - \$15,000 | |
| UBS Resource Management Account ⇒ BHP Group Limited American Depository Shares (BHP) [ST] | JT | 06/27/2022 | P | \$15,001 - \$50,000 | |
| UBS Resource Management Account ⇒ BLACKROCK EQUITY DIVIDEND FUND C LT [MF] | JT | 07/15/2022 | P | \$1,001 - \$15,000 | |
| UBS Resource Management Account ⇒ BLACKROCK NATIONAL MUNICIPAL FUND [MF] | JT | 02/22/2022 | P | \$1,001 - \$15,000 | |
| UBS Resource Management Account ⇒ INVESCO CONVERTIBLE SECURITIES FUND CLASS Y [MF] | JT | 02/22/2022 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ INVESCO DEVELOPING MARKETS FUND CLASS Y [MF] | JT | 02/22/2022 | P | \$1,001 - \$15,000 | |
| UBS Resource Management Account ⇒ JANUS HENDERSON MID CAP VALUE FUND CLASS [MF] | JT | 12/23/2022 | P | \$1,001 - \$15,000 | |
| UBS Resource Management Account ⇒ JANUS HENDERSON MID CAP VALUE FUND CLASS I [MF] | JT | 02/22/2022 | P | \$1,001 - \$15,000 | |
| UBS Resource Management Account ⇒ MFS EMERGING MARKETS FUND [MF] | JT | 02/22/2022 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS Resource Management Account ⇒ THE GROWTH FUND OF AMERICA FUND CLASS [MF] | JT | 12/19/2022 | P | \$1,001 - \$15,000 | |
| UBS Resource Management Account ⇒ THE GROWTH FUND OF AMERICA FUND CLASS F2 [MF] | JT | 02/22/2022 | P | \$1,001 - \$15,000 | |
| UBS Resource Management Account ⇒ VIRTUS NEWFLEET MULTI-SECTOR SHORT TERM [MF] | JT | 02/22/2022 | P | \$1,001 - \$15,000 | |
| UBS Resource Management Account ⇒ WESTERN ASSET MUNICIPAL HIGH INCOME FUND CLASS I [MF] | JT | 02/22/2022 | P | \$1,001 - \$15,000 | |
| UBS SEP IRA ⇒ BHP Group Limited American Depository Shares (BHP) [ST] | | 03/29/2022 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------------|--------------------|--------------------------|
| UBS SEP IRA ⇒ FIDELITY ADVISOR INDUSTRIALS CLASS A [MF] | SP | 10/20/2022 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS SEP IRA ⇒ FTAI Infrastructure Inc. (FIP) [ST] DESCRIPTION: Spin-off from FTAI | | 08/2/2022 | P | \$1,001 - \$15,000 | |
| UBS SEP IRA ⇒ INVESCO REAL ESTATE FUND CLASS A [MF] | | 12/15/2023 | P | \$1,001 - \$15,000 | |
| UBS SEP IRA ⇒ MERK HARD CURRENCY FUND [MF] | | 12/5/2022 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS SEP IRA ⇒ Woodside Energy Group Limited American Depositary Shares, each representing one Ordinary Share (WDS) [ST] DESCRIPTION: Spin-off from BHP | | 06/2/2022 | P | \$1,001 - \$15,000 | |
| UBS SEP IRA 2 - PACE ⇒ GOLDMAN SACHS SHORT DURATION GOV FD [MF] | | 08/22/2023 | P | \$1,001 - \$15,000 | |
| UBS SEP IRA 2 - PACE ⇒ TEMPLETON GLBAL BOND ADV [MF] | | 08/22/2022 | P | \$1,001 - \$15,000 | |
| UBS SEP IRA 2 - PACE ⇒ VOYA CORPORATE LEADERS TRUST SERIES B [MF] | | 08/22/2022 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|---------------------------------|------------|-----------|---|------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| US Israel Education Association | 04/29/2022 | 05/8/2022 | Washington, DC - Tel Aviv, Israel - Houston, TX | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Summit Brokerage Services
- UBS Inherited IRA (Owner: SP)
- UBS Resource Management Account (Owner: JT)
LOCATION: US
- UBS SEP IRA (Owner: SP)
- UBS SEP IRA
- UBS SEP IRA 2 - PACE

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Brian Babin , 06/9/2023