Filing ID #10052064

Financial Disclosure Report

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Filer Information

Name: Hon. C. A. Dutch Ruppersberger
Status: Member
State/District: MD02

Filing Information

Filing Type: Annual Report
Filing Year: 2022
Filing Date: 05/5/2023

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Baltimore County Md. Pension [PE]		Undetermined	None		
Bank of America [BA]		$100,001 - $250,000	Interest	$1 - $200	
Delaware Life ⇒ Compass 2 Variable Annuity [IH] Description: General Account (No specific holdings) RMD = required minimum distribution	SP	$15,001 - $50,000	Capital Gains	$1,001 - $2,500	
Delaware Life ⇒ Compass 2 Variable Annuity [IH] Description: General Account (No specific holdings) RMD = required minimum distribution		$50,001 - $100,000	Capital Gains	$2,501 - $5,000	
M&T Bank [BA]	SP	$50,001 - $100,000	Interest	$1 - $200	
Md. State Teachers Retirement Benefit [PE]	SP	Undetermined	None		
Md.529 - College Investment Plan ⇒ Enrollment Portfolio 2024 [5P] Location: MD Description: Purchased for grandchildren	SP	$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Md.529 - College Investment Plan ⇒ Enrollment Portfolio 2024 [5P] LOCATION: MD DESCRIPTION: Purchased for grandchildren		$1,001 - $15,000	Tax-Deferred		☐
Md.529 - College Investment Plan ⇒ Enrollment Portfolio 2027 [5P] LOCATION: MD		$15,001 - $50,000	Tax-Deferred		☐
Md.529 - College Investment Plan ⇒ Enrollment Portfolio 2027 [5P] LOCATION: MD	SP	$15,001 - $50,000	Tax-Deferred		☐
Nationwide Annuity ⇒ Nationwide Fixed Annuity - Dest.2030 [FN] DESCRIPTION: General Account (no specific holdings) RMD = required minimum distribution		$250,001 - $500,000	Capital Gains	$15,001 - $50,000	☐
Rupp&Assoc.,Inc [OL] LOCATION: Timonium, MD, US DESCRIPTION: Legal collections	SP	$250,001 - $500,000	Dividends	$50,001 - $100,000	☐
Sandy Shores Equity Group, LLC (Land) [RP] LOCATION: Baltimore, MD, US	SP	$100,001 - $250,000	None		☐
Summit Community Bank [BA]	SP	$50,001 - $100,000	Interest	$201 - $1,000	☐
TDAM IRA ⇒ Discover Bank CD [BA]		$15,001 - $50,000	Tax-Deferred		☑
TDAM IRA ⇒ ISHARES Trust 1-3 YR. (SHY) TDAM [EF]		$50,001 - $100,000	Tax-Deferred		☑
TDAM IRA ⇒ IShares Trust 3-7 Yr Treasury Bd (IEI)TDAM [EF]		$15,001 - $50,000	Tax-Deferred		☑
TDAM IRA ⇒ ISHARES US Prfrd (PFF) TDAM [EF]		$50,001 - $100,000	Tax-Deferred		☐
TDAM IRA ⇒ Market Vectors (GDX) TDAM [EF]		$50,001 - $100,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
TDAM IRA ⇒ Morgan Stanley CD [BA]		$15,001 - $50,000	Tax-Deferred		☑
TDAM IRA ⇒ Nuveen Preferred & Income Securities Fund (JPS) [ST]		None	Tax-Deferred		☑
TDAM IRA ⇒ RBS Citizens NA CD [BA]		$15,001 - $50,000	Tax-Deferred		☑
TDAM IRA ⇒ S&P 600 Sm Cap EFT (SPSM) TDAM [EF]		$50,001 - $100,000	Tax-Deferred		☑
TDAM IRA ⇒ Silvergate Bk CD [BA]		$15,001 - $50,000	Tax-Deferred		☑
TDAM IRA ⇒ SPDR Portfolio LgCap (SPLG) TDAM [EF]		$100,001 - $250,000	Tax-Deferred		☑
TDAM IRA ⇒ SPDR Trust S&P 500 (SPYD) TDAM [EF]		$100,001 - $250,000	Tax-Deferred		☐
TDAM IRA ⇒ Sprott Physical Silver Trust ETV (PSLV) [MF]		$50,001 - $100,000	Tax-Deferred		☑
TDAM IRA ⇒ TDAM FDIC Insured IRA [IH] DESCRIPTION: RMD=required minimum distribution		$250,001 - $500,000	Tax-Deferred		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
TDAM IRA ⇒ Discover Bank CD [BA]		11/1/2022	P	$15,001 - $50,000	
TDAM IRA ⇒ iShares 1-3 Year Treasury Bond ETF (SHY) [EF]		01/21/2022	P	$15,001 - $50,000	
TDAM IRA ⇒ iShares 1-3 Year Treasury Bond ETF (SHY) [EF]		04/28/2022	P	$50,001 - $100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
TDAM IRA ⇒ iShares 1-3 Year Treasury Bond ETF (SHY) [EF]		05/26/2022	S (partial)	$50,001 - $100,000	☐
TDAM IRA ⇒ iShares 1-3 Year Treasury Bond ETF (SHY) [EF]		11/1/2022	S (partial)	$15,001 - $50,000	☐
TDAM IRA ⇒ iShares 1-3 Year Treasury Bond ETF (SHY) [EF]		11/16/2022	S (partial)	$15,001 - $50,000	☐
TDAM IRA ⇒ iShares 1-3 Year Treasury Bond ETF (SHY) [EF]		11/16/2022	S (partial)	$15,001 - $50,000	☐
TDAM IRA ⇒ iShares 1-3 Year Treasury Bond ETF (SHY) [EF]		11/30/2022	S (partial)	$15,001 - $50,000	☐
TDAM IRA ⇒ iShares 3-7 Year Treasury Bond ETF (IEI) [EF]		02/11/2022	P	$15,001 - $50,000	
TDAM IRA ⇒ iShares 3-7 Year Treasury Bond ETF (IEI) [EF]		11/1/2022	S (partial)	$50,001 - $100,000	☐
TDAM IRA ⇒ iShares 3-7 Year Treasury Bond ETF (IEI) [EF]		11/1/2022	S (partial)	$1,001 - $15,000	☐
TDAM IRA ⇒ iShares 3-7 Year Treasury Bond ETF (IEI) [EF]		11/8/2022	S (partial)	$15,001 - $50,000	☐
TDAM IRA ⇒ Morgan Stanley CD [BA]		11/16/2022	P	$15,001 - $50,000	
TDAM IRA ⇒ Nuveen Preferred & Income Securities Fund (JPS) [ST]		04/4/2022	S (partial)	$1,001 - $15,000	☐
TDAM IRA ⇒ Nuveen Preferred & Income Securities Fund (JPS) [ST]		11/8/2022	S	$1,001 - $15,000	☐
TDAM IRA ⇒ RBS Citizens NA CD [BA]		11/1/2022	P	$15,001 - $50,000	
TDAM IRA ⇒ Silvergate Bk CD [BA]		11/1/2022	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
TDAM IRA ⇒ SPDR Portfolio S&P 500 ETF (SPLG) [EF]		08/15/2022	S (partial)	$50,001 - $100,000	☐
TDAM IRA ⇒ SPDR Portfolio S&P 500 ETF (SPLG) [EF]		11/30/2022	P	$15,001 - $50,000	
TDAM IRA ⇒ SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]		01/27/2022	S (partial)	$50,001 - $100,000	☐
TDAM IRA ⇒ SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]		05/26/2022	P	$50,001 - $100,000	
TDAM IRA ⇒ SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]		08/15/2022	S (partial)	$15,001 - $50,000	☐
TDAM IRA ⇒ SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]		11/1/2022	P	$15,001 - $50,000	
TDAM IRA ⇒ SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]		11/8/2022	P	$1,001 - $15,000	
TDAM IRA ⇒ Sprott Physical Silver Trust ETV (PSLV) [MF]		11/8/2022	P	$15,001 - $50,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Baltimore County Maryland	Retirement pension	$93,392.00
Maryland State Teachers	Spouse Retirement	N/A
Delaware Life	Spouse RMD from IRA	N/A
Delaware Life	RMD distribution from IRA	$2,956.00
Nationwide Fixed Account	RMD distribution from IRA	$16,196.00
TDAM FDIC Insured IRA	RMD distribution from IRA	$39,141.00

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Provident Funding	July, 2012	Mortgage on Primary Residence	$15,001 - $50,000

Schedule E: Positions

Position	Name of Organization
Board Member (Uncompensated)	U. of Md. Medical Systems Shock Trauma
Chairman of Board (Uncompensated)	United States Naval Academy

Schedule F: Agreements

Date	Parties To	Terms of Agreement
August 1975	Baltimore Co. Employees Retirement System	Baltimore Co. Pension & Deferred Comp. Plan

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Delaware Life
- Delaware Life (Owner: SP)
- Md.529 - College Investment Plan (Owner: SP)
  Location: MD
- Md.529 - College Investment Plan
  Location: MD
- Nationwide Annuity
- TDAM IRA

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. C. A. Dutch Ruppersberger , 05/5/2023