



Filing ID #10052944

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Hakeem S. Jeffries
Status: Member
State/District: NY08

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2022
Filing Date: 05/15/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Acorn Securities, LLC ⇒ Vanguard 500 Index Fund EFT (VOO) [EF]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
Bank of America Accounts [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
HSBC Accounts [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C (LARCX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Island Federal Credit Union Account [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Lutheran Medical Center 401K ⇒ VALIC Fixed Interest Option [PE]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Lutheran Medical Center 401K ⇒ Vanguard Equity Income Adm (VEIRX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Lutheran Medical Center 401K ⇒ Vanguard Inst Tg Rtm 35 Inst (VITFX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Lutheran Medical Center 401K ⇒ Vanguard Target Retirement 2035 Fund (VTTHX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Lutheran Medical Center 401K ⇒ Vanguard Total Bond Market Index Ins Plus Shares (VBMPX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES Core S&P U.S. Growth ETF (IUSG) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES Core S&P U.S. Value ETF (IUSV) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard Russell 2000 (VTWO) [EF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Merrill Edge Investment Account-Non Retirement ⇒ ProShares S&P MidCap 400 Dividend Aristocrats ETF (REGL) [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Edge Investment Account-Non Retirement ⇒ SPDR US DVDND ARISTOCRAT ETF (SDY) [EF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Merrill Edge Investment Account-Non Retirement ⇒ Vanguard REIT ETF (VNQ) [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Edge Investment Account-Non Retirement - CMA ⇒ Schwab US Dividend EQTY (SCHD) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Edge Investment Account-Non Retirement - CMA ⇒ Vanguard FTSE Developed ETF (VEA) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
New York Health Care Pension Fund [DB]	SP	Undetermined	Tax-Deferred		<input type="checkbox"/>
New York State Pension [PE]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual Whole Life Insurance Policy [WU]		\$50,001 - \$100,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual Whole Life Insurance Policy [WU]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	\$1 - \$1,000	Tax-Deferred		<input checked="" type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Conservative Age-Based Option: Interest Accumulation Portfolio [MF]	DC	\$1 - \$1,000	Tax-Deferred		<input checked="" type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	\$1 - \$1,000	Tax-Deferred		<input checked="" type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Moderate Growth Portfolio [MF]	DC	None	Tax-Deferred		<input checked="" type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Principal Trust Company 401K ⇒ BlackRock Lifepath Index Fund 2035 K Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Lutheran Medical Center 401K ⇒ VALIC Fixed Interest Option [OT] LOCATION: US DESCRIPTION: Pensions	SP	02/7/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Lutheran Medical Center 401K ⇒ Vanguard Inst Tg Rtm 35 Inst (VITFX) [MF]	SP	02/7/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Lutheran Medical Center 401K ⇒ Vanguard Inst Tg Rtm 35 Inst (VITFX) [MF]	SP	02/11/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Lutheran Medical Center 401K ⇒ Vanguard Target Retirement 2035 Fund (VTTHX) [MF]	SP	02/11/2022	P	\$15,001 - \$50,000	
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	02/4/2022	P	\$1,001 - \$15,000	
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	07/5/2022	P	\$1,001 - \$15,000	
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	07/5/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	08/1/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	09/20/2022	P	\$1,001 - \$15,000	
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	09/23/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	11/14/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Aggressive Age-Based Option: Conservative Portfolio [MF]	DC	12/27/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Conservative Age-Based Option: Interest Accumulation Portfolio [MF]	DC	07/5/2022	P	\$1,001 - \$15,000	
NY 529 Direct Plan (DC 1) ⇒ Conservative Age-Based Option: Interest Accumulation Portfolio [MF]	DC	08/1/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Conservative Age-Based Option: Interest Accumulation Portfolio [MF]	DC	09/20/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NY 529 Direct Plan (DC 1) ⇒ Conservative Age-Based Option: Interest Accumulation Portfolio [MF]	DC	09/23/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Conservative Age-Based Option: Interest Accumulation Portfolio [MF]	DC	11/14/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	02/4/2022	P	\$1,001 - \$15,000	
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	07/5/2022	P	\$1,001 - \$15,000	
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	07/5/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	08/1/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	09/20/2022	P	\$1,001 - \$15,000	
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	09/23/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	11/14/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	12/27/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 1) ⇒ Moderate Growth Portfolio [MF]	DC	02/4/2022	P	\$1,001 - \$15,000	
NY 529 Direct Plan (DC 1) ⇒ Moderate Growth Portfolio [MF]	DC	07/5/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [MF]	DC	07/5/2022	P	\$15,001 - \$50,000	
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio	DC	07/5/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
[MF]					
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [MF]	DC	08/1/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [MF]	DC	09/2/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [MF]	DC	09/28/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [MF]	DC	12/9/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [MF]	DC	07/5/2022	P	\$50,001 - \$100,000	
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [MF]	DC	07/5/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [MF]	DC	08/1/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [MF]	DC	09/2/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [MF]	DC	09/28/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [MF]	DC	12/9/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	07/5/2022	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	07/5/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	08/1/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	09/2/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	09/28/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [MF]	DC	12/9/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Principal Trust Company 401K ⇒ BlackRock Lifepath Index Fund 2035 K Fund [MF]	SP	01/1/2022	P	\$1,001 - \$15,000	
Principal Trust Company 401K ⇒ BlackRock Lifepath Index Fund 2035 K Fund [MF]	SP	04/1/2022	P	\$1,001 - \$15,000	
Principal Trust Company 401K ⇒ BlackRock Lifepath Index Fund 2035 K Fund [MF]	SP	07/1/2022	P	\$1,001 - \$15,000	
Principal Trust Company 401K ⇒ BlackRock Lifepath Index Fund 2035 K Fund [MF]	SP	10/1/2022	P	\$1,001 - \$15,000	

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SCHEDULE C: EARNED INCOME

Source	Type	Amount
Worksite Medical Center PC	Spouse Salary	N/A
New York University School of Law	Salary	\$15,555.61

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	HSBC	March 2021	Primary Residence Mortgage	\$250,001 - \$500,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Truist	January 2017	Mortgage on Washington, DC Residence	\$15,001 - \$50,000
	Capitol Hill Tower Housing Corporation	January 2017	Share of Coop Mortgage on Washington, DC Residence	\$100,001 - \$250,000
	HSBC	August 2016	Home Equity Line of Credit	\$15,001 - \$50,000
SP	Bank of America Visa	December 2022	Credit Card	\$10,000 - \$15,000
	HSBC	June 2022	Home Equity Line of Credit	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Fall 2022 Adjunct Professor of Law	New York University School of Law

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2007	New York State Common Retirement Fund/ Hakeem S. Jeffries	Continued participation in a New York State pension plan.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Israel Education Foundation (AIEF)	02/19/2022	02/23/2022	New York, NY - Israel -	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"> Acorn Securities, LLC (Owner: DC) LOCATION: US
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- HSBC Brokerage Account
LOCATION: US
- Lutheran Medical Center 401K (Owner: SP)
- Merrill Edge Guided Investing Traditional IRA
- Merrill Edge Investment Account-Non Retirement (Owner: JT)
LOCATION: US
- Merrill Edge Investment Account-Non Retirement - CMA
LOCATION: US
- NY 529 Direct Plan (DC 1) (Owner: DC)
LOCATION: NY
- NY 529 Direct Plan (DC 2) (Owner: DC)
LOCATION: NY
- Principal Trust Company 401K (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Hakeem S. Jeffries , 05/15/2023