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Financial Disclosure Report

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Filer Information

Name: Hon. Kathy Manning
Status: Member
State/District: NC06

Filing Information

Filing Type: Annual Report
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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Pinnacle Bank Account [BA]	SP	$15,001 - $50,000	None		☐
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Fidelity VIP Government Money Market Portfolio [BA]	SP	$15,001 - $50,000	None		☐
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Emerging Markets Fund Series [OT] Description: Hedge fund. Fund manager letter on file with the Committee on Ethics.	SP	$100,001 - $250,000	None		☐
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [OT] Description: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	$1,000,001 - $5,000,000	None		☑
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OT]	SP	$1,000,001 - $5,000,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Description: Investment Company. Fund manager letter on file with the Committee on Ethics.					
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Strategic Equity Fund Series [OT] Description: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	$100,001 - $250,000	None		☑
Bedford Properties, LLC ⇒ Investment in Industrial Rental Property [RP] Location: Greensboro, NC, US	SP	None	Partnership Income	Over $5,000,000	☑
Capsule Group ⇒ Pinnacle Bank [BA] Description: Checking account	SP	$50,001 - $100,000	None		☐
Certificate of Deposit Account Registry Service [BA]	JT	$1 - $1,000	Interest	$1,001 - $2,500	☐
Charles Schwab - Edgewood Management LLC ⇒ Adobe Inc. (ADBE) [ST] Description: Remaining shares transferred to a Donor Advised Fund	JT	None	None		☑
Charles Schwab - Edgewood Management LLC ⇒ Amazon.com, Inc. (AMZN) [ST] Description: Shares transferred to a Donor Advised Fund	JT	None	None		☑
Charles Schwab - Edgewood Management LLC ⇒ Charles Schwab - Cash [BA]	JT	$1,001 - $15,000	Interest	$201 - $1,000	☐
Charles Schwab - Edgewood Management LLC ⇒ CME Group Inc. - Class A (CME) [ST] Description: Remaining shares transferred to a Donor Advised Fund	JT	None	None		☑
Charles Schwab - Edgewood Management LLC ⇒ Danaher Corporation (DHR) [ST] Description: Shares transferred to a Donor Advised Fund	JT	None	None		☐
Charles Schwab - Edgewood Management LLC ⇒ Equinix, Inc. (EQIX) [ST]	JT	None	Capital Gains, Dividends	$2,501 - $5,000	☑
Charles Schwab - Edgewood Management LLC ⇒ Estee Lauder Companies, Inc. (EL) [ST] Description: Shares transferred to a Donor Advised Fund	JT	None	Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Charles Schwab - Edgewood Management LLC ⇒ IHS Markit Ltd. Common Shares (INFO) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund	JT	None	None		☐
Charles Schwab - Edgewood Management LLC ⇒ Intuit Inc. (INTU) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund	JT	None	Dividends	$201 - $1,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Intuitive Surgical, Inc. (ISRG) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund	JT	None	None		☑
Charles Schwab - Edgewood Management LLC ⇒ Meta Platforms, Inc. - Class A (FB) [ST]	JT	None	Capital Gains, Dividends	$2,501 - $5,000	☑
Charles Schwab - Edgewood Management LLC ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund	JT	None	Dividends	$201 - $1,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Nike, Inc. (NKE) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund	JT	None	Dividends	$201 - $1,000	☐
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund	JT	None	None		☑
Charles Schwab - Edgewood Management LLC ⇒ S&P Global Inc. (SPGI) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund	JT	None	Dividends	$201 - $1,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Ally Financial Inc. (ALLY) [ST] DESCRIPTION: Full sale less than $1,000	JT	None	None		☑
Charles Schwab - O'Shaughnessy Asset Management ⇒ Altria Group, Inc. (MO) [ST]	JT	None	Capital Gains	$201 - $1,000	☑
Charles Schwab - O'Shaughnessy Asset Management ⇒ Ameriprise Financial, Inc. (AMP) [ST]	JT	None	Capital Gains	$201 - $1,000	☑
Charles Schwab - O'Shaughnessy Asset Management ⇒ Bath & Body Works, Inc. (BBWI) [ST] DESCRIPTION: 2022 purchase & sale less than $1,000	JT	None	Capital Gains	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Charles Schwab - O'Shaughnessy Asset Management ⇒ Cardinal Health, Inc. (CAH) [ST]	JT	None	Capital Gains	$201 - $1,000	✓
Charles Schwab - O'Shaughnessy Asset Management ⇒ Fair Isaac Corproation (FICO) [ST]	JT	None	Capital Gains	$201 - $1,000	✓
Charles Schwab - O'Shaughnessy Asset Management ⇒ Gartner, Inc. (IT) [ST]	JT	None	Capital Gains	$201 - $1,000	✓
Charles Schwab - O'Shaughnessy Asset Management ⇒ HCA Healthcare, Inc. (HCA) [ST]	JT	None	Capital Gains	$201 - $1,000	✓
Charles Schwab - O'Shaughnessy Asset Management ⇒ Marathon Petroleum Corporation (MPC) [ST]	JT	None	Dividends	$201 - $1,000	✓
Charles Schwab - O'Shaughnessy Asset Management ⇒ Oracle Corporation (ORCL) [ST]	JT	None	Capital Gains	$201 - $1,000	✓
Charles Schwab - O'Shaughnessy Asset Management ⇒ O'Shaughnessy Market Leaders Value Fund (OFVIX) [MF]	JT	$100,001 - $250,000	Dividends	$15,001 - $50,000	✓
Charles Schwab - O'Shaughnessy Asset Management ⇒ Quest Diagnostics Incorporated (DGX) [ST]	JT	None	Capital Gains	$201 - $1,000	✓
Charles Schwab - O'Shaughnessy Asset Management ⇒ Vanguard U.S. Value Factor ETF (VFVA) [EF]	JT	$250,001 - $500,000	Dividends	$2,501 - $5,000	✓
Charles Schwab - O'Shaughnessy Asset Management ⇒ Weyerhaeuser Company (WY) [ST]	JT	None	Dividends	$201 - $1,000	✓
DVS Limited Partnership ⇒ Investment in Residential Properties [RP] LOCATION: Greensboro, NC, US	SP	$15,001 - $50,000	None		☐
Ehrenkranz Partners, LP ⇒ Acquisition Fund 2006 L.P. [HE]	SP	$15,001 - $50,000	Partnership Income	$5,001 - $15,000	☐
Ehrenkranz Partners, LP ⇒ Acquisition Fund 2007 L.P. [HE]	SP	$50,001 - $100,000	Partnership Income	$2,501 - $5,000	☐
Ehrenkranz Partners, LP ⇒ Acquisition Fund III L.P. [HE]	SP	$15,001 - $50,000	None		☐
Ehrenkranz Partners, LP ⇒	SP	$1,001 - $15,000	Partnership	$201 -	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Acquisition Fund IV L.P. [HE]			Income	$1,000	
Ehrenkranz Partners, LP ⇒ Acquisition Fund V L.P. [HE]	SP	$15,001 - $50,000	Partnership Income	$5,001 - $15,000	☐
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund II L.P. [HE]	SP	$1,001 - $15,000	None		☐
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund III L.P. [HE]	SP	$15,001 - $50,000	None		☐
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund IV L.P. [HE]	SP	$1,001 - $15,000	Partnership Income	$201 - $1,000	☐
Ehrenkranz Partners, LP ⇒ Real Estate Acquisition Fund L.P. [HE]	SP	$1,001 - $15,000	Partnership Income	$5,001 - $15,000	☐
Ehrenkranz Partners, LP ⇒ Venture Fund L.P. [HE]	SP	$1,001 - $15,000	Partnership Income	$201 - $1,000	☐
Elm Street Hotel II ⇒ Elm Street Hotel, LLC [RP] LOCATION: Greensboro, NC, US DESCRIPTION: Commercial Real Estate Investment- hotel in downtown Greensboro.	SP	Spouse/DC Over $1,000,000	Partnership Income	$5,001 - $15,000	☐
Elm Street Technology Holdings, LLC [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company	SP	Spouse/DC Over $1,000,000	None		☐
Guerilla RF, Inc. [PS] DESCRIPTION: Chip design company	SP	$50,001 - $100,000	None		☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ Pinnacle Bank Account [BA]	SP	$15,001 - $50,000	None		☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ Kathy Manning GST FBO Randall Kaplan ⇒ Brown Robin Partners I, LLC (Fund 2) [OT] DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.	SP	$50,001 - $100,000	None		☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ Kathy Manning GST FBO Randall Kaplan ⇒	SP	$15,001 - $50,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Brown Robin Partners LTH I, LLC (Fund 2 LTH) [OT] DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Bank of America Corporation (BAC) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	$50,001 - $100,000	Dividends	$5,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	$250,001 - $500,000	Dividends	$5,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Health Care Select Sector SPDR (XLV) [EF]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]	SP	$100,001 - $250,000	Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	$50,001 - $100,000	None		✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	$100,001 - $250,000	Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]	SP	$50,001 - $100,000	None		✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares MSCI USA Min Vol (USMV) [EF]	SP	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Index Fund (IWB) [EF]	SP	$250,001 - $500,000	Dividends	$2,501 - $5,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	$250,001 - $500,000	Dividends	$5,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]	SP	$15,001 - $50,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 (IVV) [EF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth EFT (IVW) [EF]	SP	$250,001 - $500,000	Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	$1,000,001 - $5,000,000	Dividends	$15,001 - $50,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Select Sector SPDR Trust Technology (XLK) [EF]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ SPDR Bloomberg Barclays (CWB) [EF]	SP	None	Capital Gains, Dividends	$2,501 - $5,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Utilities Select Sector SPDR Fund (XLU) [EF]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Abbott Laboratories (ABT) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family	SP	$50,001 -	Dividends	$201 -	✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LLC ⇒ MV Capital - Brokerage #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]		$100,000		$1,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	$50,001 - $100,000	Capital Gains	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	$15,001 - $50,000	None		✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Amgen Inc. (AMGN) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$2,501 - $5,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]	SP	$50,001 - $100,000	Capital Gains, Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Apple Inc. (AAPL) [ST]	SP	$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Caterpillar, Inc. (CAT) [ST] DESCRIPTION: Sale less than $1,000	SP	$50,001 - $100,000	Capital Gains, Dividends	$1,001 - $2,500	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Centene Corporation (CNC) [ST]	SP	$15,001 - $50,000	Capital Gains	$2,501 - $5,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Chubb Limited (CB) [ST] DESCRIPTION: Sale less than $1,000	SP	$50,001 - $100,000	Capital Gains, Dividends	$1,001 - $2,500	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family	SP	$15,001 - $50,000	Dividends	$1,001 -	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LLC ⇒ MV Capital - Brokerage #2 ⇒ CME Group Inc. - Class A (CME) [ST]				$2,500	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF] DESCRIPTION: Money Market Fund	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ General Mills, Inc. (GIS) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$5,001 - $15,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Home Depot, Inc. (HD) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Johnson & Johnson (JNJ) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Lam Research Corporation (LRCX) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	$50,001 - $100,000	Capital Gains, Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒	SP	$15,001 - $50,000	Dividends	$201 - $1,000	✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Medtronic plc. Ordinary Shares (MDT) [ST]					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Micron Technology, Inc. (MU) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Microsoft Corporation (MSFT) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Nike, Inc. (NKE) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$5,001 - $15,000	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ NVIDIA Corporation (NVDA) [ST]	SP	$15,001 - $50,000	None		☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Packaging Corporation of America (PKG) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	$15,001 - $50,000	None		☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Pfizer, Inc. (PFE) [ST] DESCRIPTION: Sale less than $1,000	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Procter & Gamble Company (PG) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Sysco Corporation (SYY) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$2,501 - $5,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Visa Inc. (V) [ST] Description: Sale less than $1,000	SP	$50,001 - $100,000	Capital Gains, Dividends	$1,001 - $2,500	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST]	SP	$15,001 - $50,000	None		✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Amgen Inc. (AMGN) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Apple Inc. (AAPL) [ST]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Bank of America Corporation (BAC) [ST]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Best Buy Co., Inc. (BBY) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Bristol-Myers Squibb Company (BMY) [ST]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Cardinal Health, Inc. (CAH) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Church & Dwight Company, Inc. (CHD) [ST]	SP	$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Cisco Systems, Inc. (CSCO) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Eli Lilly and Company (LLY) [ST]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ General Mills, Inc. (GIS) [ST]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Johnson & Johnson (JNJ) [ST]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Kroger Company (KR) [ST]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Leidos Holdings, Inc. (LDOS) [ST]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Mastercard Incorporated (MA) [ST]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Microsoft Corporation (MSFT) [ST]	SP	$1,001 - $15,000	None		✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Morgan Stanley (MS) [ST]	SP	$1,001 - $15,000	None		
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒	SP	$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Pfizer, Inc. (PFE) [ST]					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Procter & Gamble Company (PG) [ST]	SP	$1,001 - $15,000	None		☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	$1,001 - $15,000	None		☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Starbucks Corporation (SBUX) [ST]	SP	$1,001 - $15,000	None		☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Sysco Corporation (SYY) [ST]	SP	$1,001 - $15,000	None		☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Target Corporation (TGT) [ST]	SP	$1,001 - $15,000	None		☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Visa Inc. (V) [ST]	SP	$1,001 - $15,000	None		☐
Little Santee-Sandy Ridge, LLC ⇒ Investment in Commercial Real Estate [RP] LOCATION: Greensboro, NC, US DESCRIPTION: Sandy Ridge Road	SP	None	Capital Gains	$100,001 - $1,000,000	☑
Manning Family LP ⇒ Investment Property [RP] LOCATION: San Antonio, TX, US		$15,001 - $50,000	Partnership Income	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	☐
MV Capital - GST Exempt Trust #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
MV Capital - GST Exempt Trust #1 ⇒ Ecolab Inc. (ECL) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
MV Capital - GST Exempt Trust #1 ⇒	SP	$15,001 - $50,000	Dividends	$1,001 -	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity Government Cash Reserves (FDRXX) [MF]				$2,500	
MV Capital - GST Exempt Trust #1 ⇒ Health Care SPDR (XLV) [EF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☑
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	$250,001 - $500,000	Dividends	$2,501 - $5,000	☑
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
MV Capital - GST Exempt Trust #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [EF]	SP	$100,001 - $250,000	Dividends	$2,501 - $5,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [EF] DESCRIPTION: Full sale generated a loss	SP	None	Dividends	$201 - $1,000	☑
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☑
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	$15,001 - $50,000	None		☑
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☑
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]	SP	$15,001 - $50,000	None		☑
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	$15,001 - $50,000	None		☑
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 1000 ETF (IWB) [EF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☑
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	$100,001 - $250,000	Dividends	$2,501 - $5,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF]	SP	$100,001 - $250,000	Dividends	$2,501 - $5,000	☑
MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	$100,001 - $250,000	Dividends	$2,501 - $5,000	☑
MV Capital - GST Exempt Trust #1 ⇒ Materials Select Sector SPDR (XLB) [EF] DESCRIPTION: Sold for a loss	SP	None	Dividends	$201 - $1,000	☑
MV Capital - GST Exempt Trust #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [EF]	SP	None	Capital Gains, Dividends	$5,001 - $15,000	☑
MV Capital - GST Exempt Trust #1 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
MV Capital - GST Exempt Trust #1 ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
MV Capital - GST Exempt Trust #2 ⇒ Abbott Laboratories (ABT) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
MV Capital - GST Exempt Trust #2 ⇒ AbbVie Inc. (ABBV) [ST]	SP	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
MV Capital - GST Exempt Trust #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
MV Capital - GST Exempt Trust #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] DESCRIPTION: Sale less than $1,000	SP	$1,001 - $15,000	Capital Gains	$201 - $1,000	☐
MV Capital - GST Exempt Trust #2 ⇒	SP	$1,001 - $15,000	Capital Gains,	$201 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Amgen Inc. (AMGN) [ST]			Dividends	$1,000	
MV Capital - GST Exempt Trust #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST] DESCRIPTION: Partial sale less than $1,000	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	
MV Capital - GST Exempt Trust #2 ⇒ Apple Inc. (AAPL) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	✓
MV Capital - GST Exempt Trust #2 ⇒ Caterpillar, Inc. (CAT) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
MV Capital - GST Exempt Trust #2 ⇒ Centene Corporation (CNC) [ST]	SP	$1,001 - $15,000	Capital Gains	$201 - $1,000	✓
MV Capital - GST Exempt Trust #2 ⇒ Chubb Limited (CB) [ST]	SP	$1,001 - $15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	✓
MV Capital - GST Exempt Trust #2 ⇒ CME Group Inc. - Class A (CME) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	
MV Capital - GST Exempt Trust #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	$1,001 - $15,000	Capital Gains	$201 - $1,000	✓
MV Capital - GST Exempt Trust #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST]	SP	$1,001 - $15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	$1,001 - $15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ General Mills, Inc. (GIS) [ST]	SP	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	✓
MV Capital - GST Exempt Trust #2 ⇒ Home Depot, Inc. (HD) [ST] DESCRIPTION: Partial sale less than $1,000	SP	$1,001 - $15,000	Dividends	$201 - $1,000	
MV Capital - GST Exempt Trust #2 ⇒ Intuit Inc. (INTU) [ST]	SP	$1,001 - $15,000	None		✓
MV Capital - GST Exempt Trust #2 ⇒	SP	$1,001 - $15,000	Dividends	$201 -	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Johnson & Johnson (JNJ) [ST]				$1,000	
MV Capital - GST Exempt Trust #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	✓
MV Capital - GST Exempt Trust #2 ⇒ Lam Research Corporation (LRCX) [ST]	SP	$1,001 - $15,000	None		✓
MV Capital - GST Exempt Trust #2 ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
MV Capital - GST Exempt Trust #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	✓
MV Capital - GST Exempt Trust #2 ⇒ Micron Technology, Inc. (MU) [ST]	SP	$1,001 - $15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ Microsoft Corporation (MSFT) [ST]	SP	$15,001 - $50,000	Dividends	$1 - $200	✓
MV Capital - GST Exempt Trust #2 ⇒ Nike, Inc. (NKE) [ST]	SP	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	✓
MV Capital - GST Exempt Trust #2 ⇒ NVIDIA Corporation (NVDA) [ST]	SP	$1,001 - $15,000	None		✓
MV Capital - GST Exempt Trust #2 ⇒ Packaging Corporation of America (PKG) [ST]	SP	$1,001 - $15,000	None		
MV Capital - GST Exempt Trust #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	$1,001 - $15,000	None		✓
MV Capital - GST Exempt Trust #2 ⇒ Pfizer, Inc. (PFE) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	
MV Capital - GST Exempt Trust #2 ⇒ Procter & Gamble Company (PG) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	
MV Capital - GST Exempt Trust #2 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	
MV Capital - GST Exempt Trust #2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
MV Capital - GST Exempt Trust #2 ⇒ Sysco Corporation (SYY) [ST] DESCRIPTION: Partial sale less than $1,000	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
MV Capital - GST Exempt Trust #2 ⇒ TJX Companies, Inc. (TJX) [ST]	SP	$1,001 - $15,000	None		☑
MV Capital - GST Exempt Trust #2 ⇒ Visa Inc. (V) [ST]	SP	$15,001 - $50,000	None		☐
MV Capital - GST Exempt Trust #2 ⇒ Walmart Inc. (WMT) [ST]	SP	$1,001 - $15,000	None		☐
MV Capital - GST Exempt Trust #2 ⇒ Walt Disney Company (DIS) [ST]	SP	$1,001 - $15,000	None		☑
MV Capital - KEM Roth IRA #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [MF]		$50,001 - $100,000	Tax-Deferred		☐
MV Capital - KEM Roth IRA #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
MV Capital - KEM Roth IRA #1 ⇒ Health Care Select Sector SPDR (XLV) [EF]		$50,001 - $100,000	Tax-Deferred		☐
MV Capital - KEM Roth IRA #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]		$15,001 - $50,000	Tax-Deferred		☑
MV Capital - KEM Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]		$100,001 - $250,000	Tax-Deferred		☑
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]		$15,001 - $50,000	Tax-Deferred		☑
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]		$15,001 - $50,000	Tax-Deferred		☑
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]		$15,001 - $50,000	Tax-Deferred		☑
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]		$15,001 - $50,000	Tax-Deferred		☑
MV Capital - KEM Roth IRA #1 ⇒		$15,001 - $50,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]					
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		$100,001 - $250,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]		$50,001 - $100,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF]		$15,001 - $50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]		$1,001 - $15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF]		$1,001 - $15,000	Tax-Deferred		✓
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF]		$1,001 - $15,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]		$1,001 - $15,000	Tax-Deferred		✓
MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF]		$1,001 - $15,000	Tax-Deferred		✓
MV Capital - KEM Roth IRA #1 ⇒ iShares TR Russell Mid Cap ETF (IWR) [EF]		$15,001 - $50,000	Tax-Deferred		
MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]		$100,001 - $250,000	Tax-Deferred		✓
MV Capital - KEM Roth IRA #1 ⇒ Select Sector SPDR Trust Technology (XLK) [EF]		$50,001 - $100,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Blue Ridge Bankshares, Inc. (BRBS) [ST]	SP	$50,001 - $100,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [EF]	SP	$50,001 - $100,000	Tax-Deferred		
MV Capital - RRK Roth IRA #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	$100,001 - $250,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
MV Capital - RRK Roth IRA #1 ⇒ Health Care SPDR (XLV) [EF]	SP	$100,001 - $250,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]	SP	$50,001 - $100,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	$100,001 - $250,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	$250,001 - $500,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	$100,001 - $250,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	$100,001 - $250,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
MV Capital - RRK Roth IRA #1 ⇒ Select Sector SPDR Trust Technology (XLK) [EF]	SP	$100,001 - $250,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #1 ⇒ Upper90 Fund II LP [HE]	SP	$100,001 - $250,000	Tax-Deferred		☑
MV Capital - RRK Roth IRA #3 ⇒ Ally Financial Inc. (ALLY) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒ Ameriprise Financial, Inc. (AMP) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒ Amgen Inc. (AMGN) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒ Bank of America Corporation (BAC) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒ Best Buy Co., Inc. (BBY) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒ Caterpillar, Inc. (CAT) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒ Eli Lilly and Company (LLY) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒ Lam Research Corporation (LRCX) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒ MetLife, Inc. (MET) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒ NXP Semiconductors N.V. (NXPI) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒ Pfizer, Inc. (PFE) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☐
MV Capital - RRK Roth IRA #3 ⇒	SP	$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
QUALCOMM Incorporated (QCOM) [ST]					
MV Capital - RRK Roth IRA #3 ⇒ Starbucks Corporation (SBUX) [ST]	SP	$1,001 - $15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Union Pacific Corporation (UNP) [ST]	SP	$1 - $1,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Voya Financial, Inc. (VOYA) [ST]	SP	$1,001 - $15,000	Tax-Deferred		
MV Capital - RRK Roth IRA #3 ⇒ Western Union Company (WU) [ST]	SP	$1,001 - $15,000	Tax-Deferred		
Northern Trust Company [DB]	SP	Undetermined	Tax-Deferred		
OurCrowd ⇒ Consumer Physics [OL] LOCATION: Herzliya, IL DESCRIPTION: Advanced optical spectrometer	SP	$1,001 - $15,000	None		
Piedmont Angel Networks ⇒ AvidXchange [OL] LOCATION: Charlotte, NC, US DESCRIPTION: Accounting Solutions	SP	$100,001 - $250,000	None		
Piedmont Angel Networks ⇒ OptiVia Medical, LLC [OL] LOCATION: Winston-Salem, NC, US DESCRIPTION: Medical Supply Store	SP	$1,001 - $15,000	None		
Piedmont Angel Networks ⇒ Piedmont Pharmaceuticals [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Pharmaceutical company	SP	$15,001 - $50,000	None		
Piedmont Angel Networks ⇒ Sensory Analytics [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Development of Sensory Solutions	SP	$1,001 - $15,000	None		
Piedmont Angel Networks II ⇒ Protochips [OL] LOCATION: Morrisville, NC, US	SP	$50,001 - $100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Electronics Research Company					
Pinnacle Bank Accounts [BA] DESCRIPTION: Cash & Equivalents- Checking & Savings	JT	$1,000,001 - $5,000,000	Interest	$1,001 - $2,500	☐
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Fidelity VIP Government Money Market Portfolio [BA]		$50,001 - $100,000	None		☐
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Emerging Markets Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		$500,001 - $1,000,000	None		☐
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		$1,000,001 - $5,000,000	None		☑
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		$1,000,001 - $5,000,000	None		☑
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Strategic Equity Fund Series [OT] DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		$500,001 - $1,000,000	None		☑
Real Estate Software Solutions, LLC ⇒ Elm Street Technology LLC [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company and investment in Real Estate Software Patent	SP	Spouse/DC Over $1,000,000	None		☐
Regent Holdings, LLC ⇒ Investment in Commercial Property [RP] LOCATION: Greensboro, NC, US	SP	$500,001 - $1,000,000	Partnership Income	$5,001 - $15,000	☐
Reservoir Capital Partners, LP [OL] LOCATION: New York, NY, US DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.	SP	Spouse/DC Over $1,000,000	Partnership Income	$100,001 - $1,000,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Long/Short Equity Fund Ltd. [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	Spouse/DC Over $1,000,000	None		☑
RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Multi-Strategy Fund Ltd. [OL] LOCATION: New York, NY, US DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics.	SP	$500,001 - $1,000,000	None		☐
Scientific Associates, LLC ⇒ Patents [IP] DESCRIPTION: Water Purification & Oxygenation Patents	SP	$15,001 - $50,000	None		☐
Stonefield Cellars Winery [OL] LOCATION: Stokesdale, NC, US DESCRIPTION: Winery	SP	$15,001 - $50,000	Partnership Income	$201 - $1,000	☐
Tarco Realty, LLC ⇒ Elm Street Center, LLC [RP] LOCATION: Greensboro, NC, US DESCRIPTION: Owns and operates Commercial Real Estate.	SP	$500,001 - $1,000,000	None		☐
The 2011 Kathy E Manning Living Trust ⇒ Pinnacle Bank Account [BA]		$1,001 - $15,000	None		☐
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		$250,001 - $500,000	Dividends	$2,501 - $5,000	☐
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Pinnacle Financial Partners (PNFP) [EF] DESCRIPTION: Gift transfer		None	Dividends	$5,001 - $15,000	☐
The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC ⇒ Fidelity VIP Government Money Market Portfolio [BA] DESCRIPTION: Ownership Transfer to 2021 Kathy Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants		None	None		☐
The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Emerging Markets Fund Series [OT] DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics. Ownership Transfer to 2021 Kathy Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants		None	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. Ownership Transfer to 2021 Kathy Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants		None	None		☐
The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OT] DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics. Ownership Transfer to 2021 Kathy Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants		None	None		☐
The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Strategic Equity Fund Series [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. Ownership Transfer to 2021 Kathy Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants		None	None		☐
The 2011 Randall R. Kaplan Living Trust ⇒ Echo Street GoodCo Select, LP [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	$500,001 - $1,000,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ Melvin Capital LP [OT] DESCRIPTION: Received Return of Capital. Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	$1,001 - $15,000	None		☐
The 2011 Randall R. Kaplan Living Trust ⇒ Pinnacle Bank Account [BA]	SP	$250,001 - $500,000	Interest	$1,001 - $2,500	☐
The 2011 Randall R. Kaplan Living Trust ⇒ RA Capital Healthcare Fund, L.P. [HE]	SP	$100,001 - $250,000	None		☐
The 2011 Randall R. Kaplan Living Trust ⇒ Rock Springs Capital Fund LP [HE]	SP	$500,001 - $1,000,000	Partnership Income	$1,001 - $2,500	☑
The 2011 Randall R. Kaplan Living Trust ⇒ Seven Bridges Multi-Strategy Fund LP [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	Spouse/DC Over $1,000,000	Partnership Income	$100,001 - $1,000,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ Whale Rock Long Opportunities Fund, LP [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	$250,001 - $500,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ 3i SPV II LLC ⇒ Next Century Spirits, LLC [OL]	SP	$50,001 - $100,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LOCATION: Raleigh, NC, US DESCRIPTION: Full-service distilled spirits company					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	$1,001 - $15,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF] DESCRIPTION: Money Market Fund	SP	$1,001 - $15,000	Dividends	$2,501 - $5,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Money Market (SPRXX) [MF] DESCRIPTION: Money Market Fund	SP	Spouse/DC Over $1,000,000	Dividends	$15,001 - $50,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	$50,001 - $100,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]	SP	$50,001 - $100,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	$50,001 - $100,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 ETF (IWB) [EF]	SP	$100,001 - $250,000	Dividends	$201 - $1,000	☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital -	SP	$100,001 -	Dividends	$201 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]		$250,000		$1,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]	SP	$1,001 - $15,000	None		
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF]	SP	$1,001 - $15,000	None		
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF]	SP	$1,001 - $15,000	None		
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF]	SP	$1,001 - $15,000	None		
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	$100,001 - $250,000	Dividends	$201 - $1,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Select Dividend ETF (DVY) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Microsoft Corporation (MSFT) [ST]	SP	$15,001 - $50,000	None		
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Abbott Laboratories (ABT) [ST]	SP	$1,001 - $15,000	None		
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST]	SP	$1,001 - $15,000	None		
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	$1,001 - $15,000	None		
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Amgen Inc. (AMGN) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Apple Inc. (AAPL) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Caterpillar, Inc. (CAT) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Centene Corporation (CNC) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Chubb Limited (CB) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ CME Group Inc. - Class A (CME) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital -	SP	$1,001 - $15,000	None		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Brokerage #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST]					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF] DESCRIPTION: Money Market Fund	SP	$1,001 - $15,000	None		☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ General Mills, Inc. (GIS) [ST]	SP	$1,001 - $15,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Home Depot, Inc. (HD) [ST]	SP	$1,001 - $15,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST]	SP	$1,001 - $15,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Johnson & Johnson (JNJ) [ST]	SP	$1,001 - $15,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	$1,001 - $15,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Lam Research Corporation (LRCX) [ST]	SP	$1,001 - $15,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	$1,001 - $15,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	$1,001 - $15,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Micron Technology, Inc. (MU) [ST]	SP	$1,001 - $15,000	None		☑
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒	SP	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Microsoft Corporation (MSFT) [ST]					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Nike, Inc. (NKE) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ NVIDIA Corporation (NVDA) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Packaging Corporation of America (PKG) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Pfizer, Inc. (PFE) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Procter & Gamble Company (PG) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Sysco Corporation (SYY) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Visa Inc. (V) [ST]	SP	$1,001 - $15,000	None		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST]	SP	$1,001 - $15,000	None		✓
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Twitter, Inc. (TWTR) [ST] DESCRIPTION: Purchases less than $1,000; cash received in lieu of shares due to a Private merger in April 2022.	SP	None	Capital Gains	$201 - $1,000	
The 2021 Kathy Manning Irrevocable GST Trust FBO Randall Kaplan ⇒ Seven Bridges Private Equity Opportunities Fund II, LP [OT] DESCRIPTION: Private Equity. Fund manager letter on file with the Committee on Ethics.	SP	$15,001 - $50,000	Partnership Income	$15,001 - $50,000	✓
The 2021 Kathy Manning Irrevocable GST Trust FBO Randall Kaplan ⇒ Triad Business Bank (TBBC) [OT] DESCRIPTION: Small public community bank.	SP	$50,001 - $100,000	None		
TIC Fincastle Building ⇒ Investment in Commercial Building [RP] LOCATION: Greensboro, NC, US	SP	$50,001 - $100,000	Rent	$2,501 - $5,000	
Truist Accounts [BA] DESCRIPTION: Checking & Savings	SP	$1,001 - $15,000	Interest	$1 - $200	
Wells Fargo Brokerage ⇒ Ecolab Inc. (ECL) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	
WLC, LLC (Learning Together) ⇒ Notes Receivable [DO] DESCRIPTION: Greensboro, NC	SP	$250,001 - $500,000	None		
Wonder Media Network, LLC [PS] DESCRIPTION: Class Preferred Units	SP	$500,001 - $1,000,000	None		✓

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [HN]	SP	12/30/2022	S (partial)	$100,001 - $250,000	☑
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OT] Location: US Description: Hedge fund. Fund manager letter on file with the Committee on Ethics.	SP	10/1/2022	P	$15,001 - $50,000	
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [HN]	SP	12/30/2022	P	$100,001 - $250,000	
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Strategic Equity Fund Series [HN]	SP	12/30/2022	P	$100,001 - $250,000	
Bedford Properties, LLC ⇒ Investment in Industrial Rental Property [RP] Location: Greensboro, NC, US	SP	06/6/2022	S	Spouse/DC Over $1,000,000	☑
Charles Schwab - Edgewood Management LLC ⇒ Adobe Inc. (ADBE) [ST]	JT	02/18/2022	P	$1,001 - $15,000	
Charles Schwab - Edgewood Management LLC ⇒ Adobe Inc. (ADBE) [ST] Comments: Remaining shares transferred to a Donor Advised Fund	JT	06/10/2022	S (partial)	$1,001 - $15,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Align Technology, Inc. (ALGN) [ST]	JT	06/15/2022	S	$15,001 - $50,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	05/27/2022	P	$1,001 - $15,000	
Charles Schwab - Edgewood Management LLC ⇒ American Tower Corporation (AMT) [ST]	JT	05/27/2022	S (partial)	$15,001 - $50,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Charles Schwab - Edgewood Management LLC ⇒ American Tower Corporation (AMT) [ST]	JT	06/15/2022	S	$50,001 - $100,000	☐
Charles Schwab - Edgewood Management LLC ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	03/3/2022	P	$15,001 - $50,000	
Charles Schwab - Edgewood Management LLC ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	04/29/2022	P	$1,001 - $15,000	
Charles Schwab - Edgewood Management LLC ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	05/27/2022	P	$1,001 - $15,000	
Charles Schwab - Edgewood Management LLC ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	06/10/2022	S	$50,001 - $100,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Blackstone Inc. (BX) [ST]	JT	06/15/2022	S	$15,001 - $50,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Blackstone Inc. (BX) [ST]	JT	06/10/2022	P	$15,001 - $50,000	
Charles Schwab - Edgewood Management LLC ⇒ Chipotle Mexican Grill, Inc. (CMG) [ST]	JT	06/10/2022	S (partial)	$1,001 - $15,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Chipotle Mexican Grill, Inc. (CMG) [ST]	JT	06/15/2022	S	$15,001 - $50,000	☐
Charles Schwab - Edgewood Management LLC ⇒ CME Group Inc. - Class A (CME) [ST]	JT	03/3/2022	S (partial)	$1,001 - $15,000	☑
Charles Schwab - Edgewood Management LLC ⇒ CME Group Inc. - Class A (CME) [ST]	JT	05/27/2022	S (partial)	$1,001 - $15,000	☐
Charles Schwab - Edgewood Management LLC ⇒ CME Group Inc. - Class A (CME) [ST] COMMENTS: Remaining shares transferred to a Donor Advised Fund	JT	06/10/2022	S (partial)	$15,001 - $50,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Equinix, Inc. (EQIX) [ST]	JT	03/31/2022	S (partial)	$1,001 - $15,000	☑
Charles Schwab - Edgewood Management LLC ⇒ Equinix, Inc. (EQIX) [ST]	JT	06/10/2022	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Charles Schwab - Edgewood Management LLC ⇒ Equinix, Inc. (EQIX) [ST]	JT	02/7/2022	S (partial)	$15,001 - $50,000	☑
Charles Schwab - Edgewood Management LLC ⇒ Equinix, Inc. (EQIX) [ST]	JT	02/17/2022	S (partial)	$1,001 - $15,000	☑
Charles Schwab - Edgewood Management LLC ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	05/27/2022	P	$1,001 - $15,000	
Charles Schwab - Edgewood Management LLC ⇒ Illumina, Inc. (ILMN) [ST]	JT	06/10/2022	S	$15,001 - $50,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	02/23/2022	P	$1,001 - $15,000	
Charles Schwab - Edgewood Management LLC ⇒ Intuitive Surgical, Inc. (ISRG) [ST] COMMENTS: Remaining shares transferred to a Donor Advised Fund	JT	06/10/2022	S (partial)	$1,001 - $15,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Match Group, Inc. (MTCH) [ST]	JT	06/10/2022	S	$15,001 - $50,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Meta Platforms, Inc. - Class A (FB) [ST] DESCRIPTION: F/K/A Facebook, Inc.	JT	02/18/2022	S	$50,001 - $100,000	☑
Charles Schwab - Edgewood Management LLC ⇒ Netflix, Inc. (NFLX) [ST]	JT	02/2/2022	P	$1,001 - $15,000	
Charles Schwab - Edgewood Management LLC ⇒ Netflix, Inc. (NFLX) [ST]	JT	06/10/2022	S	$15,001 - $50,000	☐
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST]	JT	02/18/2022	P	$1,001 - $15,000	
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST] COMMENTS: Remaining shares transferred to a Donor Advised Fund	JT	06/10/2022	S (partial)	$1,001 - $15,000	☐
Charles Schwab - Edgewood Management LLC ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	03/3/2022	S	$50,001 - $100,000	☐
Charles Schwab - Edgewood Management LLC ⇒	JT	02/18/2022	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
ServiceNow, Inc. (NOW) [ST]					
Charles Schwab - Edgewood Management LLC ⇒ ServiceNow, Inc. (NOW) [ST]	JT	03/8/2022	P	$1,001 - $15,000	
Charles Schwab - Edgewood Management LLC ⇒ ServiceNow, Inc. (NOW) [ST]	JT	04/27/2022	P	$1,001 - $15,000	
Charles Schwab - Edgewood Management LLC ⇒ ServiceNow, Inc. (NOW) [ST]	JT	05/27/2022	P	$15,001 - $50,000	
Charles Schwab - Edgewood Management LLC ⇒ ServiceNow, Inc. (NOW) [ST]	JT	06/10/2022	S (partial)	$15,001 - $50,000	☐
Charles Schwab - Edgewood Management LLC ⇒ ServiceNow, Inc. (NOW) [ST]	JT	06/15/2022	S	$15,001 - $50,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Snap Inc. Class A (SNAP) [ST]	JT	02/17/2022	P	$1,001 - $15,000	
Charles Schwab - Edgewood Management LLC ⇒ Snap Inc. Class A (SNAP) [ST]	JT	06/10/2022	S	$15,001 - $50,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Visa Inc. (V) [ST]	JT	04/27/2022	S (partial)	$1,001 - $15,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Visa Inc. (V) [ST]	JT	04/29/2022	S (partial)	$1,001 - $15,000	☐
Charles Schwab - Edgewood Management LLC ⇒ Visa Inc. (V) [ST]	JT	05/27/2022	S (partial)	$1,001 - $15,000	☑
Charles Schwab - Edgewood Management LLC ⇒ Visa Inc. (V) [ST]	JT	06/15/2022	S	$50,001 - $100,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Ally Financial Inc. (ALLY) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Altria Group, Inc. (MO) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Altria Group, Inc. (MO) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Charles Schwab - O'Shaughnessy Asset Management ⇒ Amcor plc Ordinary Shares (AMCR) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Amcor plc Ordinary Shares (AMCR) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ American International Group, Inc. New (AIG) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ American International Group, Inc. New (AIG) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Ameriprise Financial, Inc. (AMP) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Ameriprise Financial, Inc. (AMP) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☑
Charles Schwab - O'Shaughnessy Asset Management ⇒ Amgen Inc. (AMGN) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Amgen Inc. (AMGN) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ APA Corporation (APA) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ APA Corporation (APA) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ AT&T Inc. (T) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ AT&T Inc. (T) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ AutoZone, Inc. (AZO) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ AutoZone, Inc. (AZO) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Charles Schwab - O'Shaughnessy Asset Management ⇒ Bank of America Corporation (BAC) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Bank of America Corporation (BAC) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Best Buy Co., Inc. (BBY) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Best Buy Co., Inc. (BBY) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Biogen Inc. (BIIB) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Biogen Inc. (BIIB) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Boston Properties, Inc. (BXP) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Boston Properties, Inc. (BXP) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Builders FirstSource, Inc. (BLDR) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Builders FirstSource, Inc. (BLDR) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Capital One Financial Corporation (COF) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Capital One Financial Corporation (COF) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Cardinal Health, Inc. (CAH) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Cardinal Health, Inc. (CAH) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☑
Charles Schwab - O'Shaughnessy Asset Management ⇒	JT	07/20/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Celanese Corporation Celanese Corporation (CE) [ST]					
Charles Schwab - O'Shaughnessy Asset Management ⇒ Celanese Corporation Celanese Corporation (CE) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Charter Communications, Inc. - Class A (CHTR) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Charter Communications, Inc. - Class A (CHTR) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Citigroup, Inc. (C) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Citigroup, Inc. (C) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Comerica Incorporated (CMA) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Comerica Incorporated (CMA) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Crown Holdings, Inc. (CCK) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Crown Holdings, Inc. (CCK) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Darden Restaurants, Inc. (DRI) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Devon Energy Corporation (DVN) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Devon Energy Corporation (DVN) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Discover Financial Services (DFS) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Discover Financial Services (DFS) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Charles Schwab - O'Shaughnessy Asset Management ⇒ Domino's Pizza Inc (DPZ) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Domino's Pizza Inc (DPZ) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Eastman Chemical Company (EMN) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Eastman Chemical Company (EMN) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Fair Isaac Corproation (FICO) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Fair Isaac Corproation (FICO) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☑
Charles Schwab - O'Shaughnessy Asset Management ⇒ Gartner, Inc. (IT) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Gartner, Inc. (IT) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☑
Charles Schwab - O'Shaughnessy Asset Management ⇒ HCA Healthcare, Inc. (HCA) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ HCA Healthcare, Inc. (HCA) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☑
Charles Schwab - O'Shaughnessy Asset Management ⇒ Host Hotels (HST) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Host Hotels (HST) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ HP Inc. (HPQ) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ HP Inc. (HPQ) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Charles Schwab - O'Shaughnessy Asset Management ⇒ International Paper Company (IP) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ International Paper Company (IP) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ KeyCorp (KEY) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ KeyCorp (KEY) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Kinder Morgan, Inc. (KMI) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Kinder Morgan, Inc. (KMI) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ L3Harris Technologies, Inc. (LHX) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ L3Harris Technologies, Inc. (LHX) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Liberty Global plc - Class C Ordinary Shares (LBTYK) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Liberty Global plc - Class C Ordinary Shares (LBTYK) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Loews Corporation (L) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Loews Corporation (L) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒	JT	07/20/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Lumen Technologies, Inc. (LUMN) [ST]					
Charles Schwab - O'Shaughnessy Asset Management ⇒ Lumen Technologies, Inc. (LUMN) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Marathon Oil Corporation (MRO) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Marathon Oil Corporation (MRO) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Marathon Petroleum Corporation (MPC) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Marathon Petroleum Corporation (MPC) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☑
Charles Schwab - O'Shaughnessy Asset Management ⇒ Masco Corporation (MAS) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Masco Corporation (MAS) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ McKesson Corporation (MCK) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ McKesson Corporation (MCK) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ MetLife, Inc. (MET) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ MetLife, Inc. (MET) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Northrop Grumman Corporation (NOC) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Northrop Grumman Corporation (NOC) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ NVR, Inc. (NVR) [ST]	JT	07/20/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Charles Schwab - O'Shaughnessy Asset Management ⇒ NVR, Inc. (NVR) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Oracle Corporation (ORCL) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Oracle Corporation (ORCL) [ST]	JT	08/3/2022	S	$1,001 - $15,000	✓
Charles Schwab - O'Shaughnessy Asset Management ⇒ O'Shaughnessy Market Leaders Value Fund (OFVIX) [MF]	JT	08/16/2022	P	$250,001 - $500,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ O'Shaughnessy Market Leaders Value Fund (OFVIX) [MF]	JT	12/12/2022	P	$15,001 - $50,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Prudential Financial, Inc. (PRU) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Prudential Financial, Inc. (PRU) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ PulteGroup, Inc. (PHM) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ PulteGroup, Inc. (PHM) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Quest Diagnostics Incorporated (DGX) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Quest Diagnostics Incorporated (DGX) [ST]	JT	08/3/2022	S	$1,001 - $15,000	✓
Charles Schwab - O'Shaughnessy Asset Management ⇒ Regency Centers Corporation (REG) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Regency Centers Corporation (REG) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Simon Property Group, Inc. (SPG) [ST]	JT	07/20/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Charles Schwab - O'Shaughnessy Asset Management ⇒ Simon Property Group, Inc. (SPG) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Synchrony Financial (SYF) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Synchrony Financial (SYF) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ The Bank of New York Mellon Corporation (BK) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ The Bank of New York Mellon Corporation (BK) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Union Pacific Corporation (UNP) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Union Pacific Corporation (UNP) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☑
Charles Schwab - O'Shaughnessy Asset Management ⇒ Vanguard U.S. Value Factor ETF (VFVA) [EF]	JT	09/26/2022	P	$100,001 - $250,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Vanguard U.S. Value Factor ETF (VFVA) [EF]	JT	10/27/2022	P	$100,001 - $250,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Vanguard U.S. Value Factor ETF (VFVA) [EF]	JT	12/29/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Wells Fargo & Company (WFC) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Wells Fargo & Company (WFC) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Charles Schwab - O'Shaughnessy Asset Management ⇒ Weyerhaeuser Company (WY) [ST]	JT	07/20/2022	P	$1,001 - $15,000	
Charles Schwab - O'Shaughnessy Asset Management ⇒ Weyerhaeuser Company (WY) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☑
Charles Schwab - O'Shaughnessy Asset Management ⇒	JT	07/20/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Whirlpool Corporation (WHR) [ST]					
Charles Schwab - O'Shaughnessy Asset Management ⇒ Whirlpool Corporation (WHR) [ST]	JT	08/3/2022	S	$1,001 - $15,000	☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ Kathy Manning GST FBO Randall Kaplan ⇒ Brown Robin Partners I, LLC (Fund 2) [HN] DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.	SP	01/12/2022	P	$15,001 - $50,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ Kathy Manning GST FBO Randall Kaplan ⇒ Brown Robin Partners LTH I, LLC (Fund 2 LTH) [HN] DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.	SP	01/12/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ BlackRock Ultra Short-Term Bond ETF (ICSH) [EF]	SP	06/17/2022	S	$500,001 - $1,000,000	☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Invesco Preferred ETF (PGX) [EF]	SP	03/16/2022	S	$15,001 - $50,000	☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [ST]	SP	04/14/2022	S	$250,001 - $500,000	☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]	SP	03/16/2022	S	$15,001 - $50,000	☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	03/28/2022	P	$15,001 - $50,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Floating Rate Bond ETF (FLOT) [EF]	SP	05/16/2022	S	$100,001 - $250,000	☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [ST]	SP	12/1/2022	S	$100,001 - $250,000	☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒	SP	11/8/2022	S	$100,001 - $250,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [ST]					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]	SP	11/10/2022	P	$100,001 - $250,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	12/5/2022	P	$50,001 - $100,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	12/12/2022	P	$15,001 - $50,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	11/10/2022	P	$100,001 - $250,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]	SP	12/5/2022	P	$50,001 - $100,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]	SP	12/12/2022	P	$15,001 - $50,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	12/5/2022	P	$50,001 - $100,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	12/12/2022	P	$15,001 - $50,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF]	SP	03/16/2022	S	$15,001 - $50,000	☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	03/28/2022	P	$15,001 - $50,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares TIPS Bond ETF (TIP) [EF]	SP	04/14/2022	S	$250,001 - $500,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	04/19/2022	P	$250,001 - $500,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	05/18/2022	P	$250,001 - $500,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	06/22/2022	P	$250,001 - $500,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	10/5/2022	P	$15,001 - $50,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	11/10/2022	P	$15,001 - $50,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ SPDR Bloomberg Convertible Securities ETF (CWB) [EF]	SP	05/16/2022	S	$50,001 - $100,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Abbott Laboratories (ABT) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST]	SP	07/27/2022	S (partial)	$15,001 - $50,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Amgen Inc. (AMGN) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Apple Inc. (AAPL) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Centene Corporation (CNC) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ General Mills, Inc. (GIS) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	☑
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Home Depot, Inc. (HD) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	☐
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family	SP	07/27/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LLC ⇒ MV Capital - Brokerage #2 ⇒ Lam Research Corporation (LRCX) [ST]					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Micron Technology, Inc. (MU) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Microsoft Corporation (MSFT) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Nike, Inc. (NKE) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ NVIDIA Corporation (NVDA) [ST]	SP	07/27/2022	P	$15,001 - $50,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Packaging Corporation of America (PKG) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Procter & Gamble Company (PG) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Sysco Corporation (SYY) [ST]					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Biogen Inc. (BIIB) [ST]	SP	01/14/2022	S	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Citigroup, Inc. (C) [ST]	SP	04/19/2022	S	$1,001 - $15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Southwest Airlines Company (LUV) [ST]	SP	01/14/2022	S	$1,001 - $15,000	
Little Santee-Sandy Ridge, LLC ⇒ Investment in Commercial Real Estate [RP] LOCATION: Greensboro, NC, US	SP	06/15/2022	S	$250,001 - $500,000	✓
MV Capital - GST Exempt Trust #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [MF]	SP	05/16/2022	S	$50,001 - $100,000	
MV Capital - GST Exempt Trust #1 ⇒ Industrial Select Sector SPDR Fund (XLI) [EF]	SP	10/3/2022	S	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ Invesco Preferred ETF (PGX) [EF]	SP	03/16/2022	S	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]	SP	05/16/2022	S	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]	SP	08/30/2022	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MV Capital - GST Exempt Trust #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]	SP	10/5/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]	SP	03/16/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]	SP	05/16/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]	SP	01/13/2022	S	$50,001 - $100,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	10/5/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	11/10/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	12/5/2022	P	$50,001 - $100,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]	SP	10/5/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]	SP	05/18/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [EF]	SP	12/1/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [EF]	SP	12/1/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]	SP	11/8/2022	P	$50,001 - $100,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]	SP	11/10/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]	SP	12/12/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	12/5/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	11/8/2022	P	$50,001 - $100,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	11/10/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	12/12/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]	SP	12/5/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	12/5/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	12/12/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI EAFE Growth ETF (EFG) [EF]	SP	03/16/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI EAFE Min Vol Factor ETF (EFAV) [EF]	SP	05/16/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI EAFE Min Vol Factor ETF (EFAV) [EF]	SP	08/30/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI Emerging Index Fund (EEM) [EF]	SP	03/16/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI Emerging Index Fund (EEM) [EF]	SP	05/16/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI Emerging Markets Min Vol Factor ETF (EEMV) [EF]	SP	01/13/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI Emerging Markets Min Vol Factor ETF (EEMV) [EF]	SP	05/16/2022	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI Emerging Markets Min Vol Factor ETF (EEMV) [EF]	SP	03/16/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI Emerging Markets Min Vol Factor ETF (EEMV) [EF]	SP	08/30/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]	SP	03/16/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]	SP	04/25/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 1000 ETF (IWB) [EF]	SP	06/22/2022	P	$50,001 - $100,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 2000 ETF (IWM) [ST]	SP	05/16/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	SP	03/16/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF]	SP	03/16/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF]	SP	10/5/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF]	SP	05/18/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF]	SP	11/4/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares TR Russell Mid Cap ETF (IWR) [EF]	SP	05/16/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	04/19/2022	P	$50,001 - $100,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	05/18/2022	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	10/5/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	11/17/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Trust United States Treasury (TIP) [EF]	SP	04/14/2022	S	$100,001 - $250,000	☐
MV Capital - GST Exempt Trust #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [EF]	SP	04/25/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [EF]	SP	11/4/2022	S	$100,001 - $250,000	☐
MV Capital - GST Exempt Trust #1 ⇒ Materials Select Sector SPDR (XLB) [EF]	SP	10/3/2022	S	$15,001 - $50,000	☐
MV Capital - GST Exempt Trust #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	03/16/2022	S	$50,001 - $100,000	☐
MV Capital - GST Exempt Trust #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [EF]	SP	05/16/2022	S	$15,001 - $50,000	☑
MV Capital - GST Exempt Trust #1 ⇒ SPDR S&P 500 (SPY) [EF]	SP	05/16/2022	P	$15,001 - $50,000	
MV Capital - GST Exempt Trust #1 ⇒ SPDR S&P 500 (SPY) [EF]	SP	06/17/2022	S	$1,001 - $15,000	☐
MV Capital - GST Exempt Trust #2 ⇒ Abbott Laboratories (ABT) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #2 ⇒ AbbVie Inc. (ABBV) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	☑
MV Capital - GST Exempt Trust #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	07/27/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
MV Capital - GST Exempt Trust #2 ⇒ Amgen Inc. (AMGN) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
MV Capital - GST Exempt Trust #2 ⇒ Apple Inc. (AAPL) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
MV Capital - GST Exempt Trust #2 ⇒ Centene Corporation (CNC) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
MV Capital - GST Exempt Trust #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
MV Capital - GST Exempt Trust #2 ⇒ General Mills, Inc. (GIS) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
MV Capital - GST Exempt Trust #2 ⇒ Intuit Inc. (INTU) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #2 ⇒ Lam Research Corporation (LRCX) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #2 ⇒ Microsoft Corporation (MSFT) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #2 ⇒ Nike, Inc. (NKE) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
MV Capital - GST Exempt Trust #2 ⇒ NVIDIA Corporation (NVDA) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #2 ⇒	SP	07/27/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
PayPal Holdings, Inc. (PYPL) [ST]					
MV Capital - GST Exempt Trust #2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	07/27/2022	S (partial)	$1,001 - $15,000	✓
MV Capital - GST Exempt Trust #2 ⇒ TJX Companies, Inc. (TJX) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
MV Capital - GST Exempt Trust #2 ⇒ Walt Disney Company (DIS) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
MV Capital - KEM Roth IRA #1 ⇒ BlackRock Ultra Short-Term Bond ETF (ICSH) [EF]		04/26/2022	P	$15,001 - $50,000	
MV Capital - KEM Roth IRA #1 ⇒ BlackRock Ultra Short-Term Bond ETF (ICSH) [EF]		11/8/2022	S	$15,001 - $50,000	☐
MV Capital - KEM Roth IRA #1 ⇒ Franklin Convertible Securities Advisor (FCSZX) [MF]		04/25/2022	S	$15,001 - $50,000	☐
MV Capital - KEM Roth IRA #1 ⇒ Invesco Exchange - Traded FD TR II PFD ETF (PGX) [EF]		04/26/2022	S	$15,001 - $50,000	☐
MV Capital - KEM Roth IRA #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]		08/16/2022	S	$50,001 - $100,000	☐
MV Capital - KEM Roth IRA #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]		08/30/2022	P	$15,001 - $50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]		09/26/2022	P	$1,001 - $15,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]		10/5/2022	P	$1,001 - $15,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]		11/8/2022	P	$15,001 - $50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]		12/5/2022	P	$15,001 - $50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]		12/12/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]		11/8/2022	P	$15,001 - $50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]		12/5/2022	P	$15,001 - $50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]		12/12/2022	P	$1,001 - $15,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]		12/5/2022	P	$15,001 - $50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]		12/12/2022	P	$1,001 - $15,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares Inc Core MSCI Emerging Mkts ETF (IEMG) [EF]		07/14/2022	S (partial)	$15,001 - $50,000	☐
MV Capital - KEM Roth IRA #1 ⇒ iShares Inc Core MSCI Emerging Mkts ETF (IEMG) [EF]		08/30/2022	S	$15,001 - $50,000	☐
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF]		01/18/2022	P	$1,001 - $15,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]		10/5/2022	P	$1,001 - $15,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF]		10/5/2022	P	$1,001 - $15,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares TR EAFE Growth EFT (EFG) [EF]		06/22/2022	S	$15,001 - $50,000	☐
MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]		08/16/2022	P	$100,001 - $250,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust Core MSCI EAFE ETF (IEFA) [EF]		06/22/2022	P	$1,001 - $15,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust Core MSCI EAFE ETF (IEFA) [EF]		08/30/2022	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust MSCI EMG Mkts ETF (EEM) [EF]		08/30/2022	S	$15,001 - $50,000	☐
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust United States Treasury (TIP) [EF]		08/16/2022	S	$50,001 - $100,000	☐
MV Capital - KEM Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [EF]		01/14/2022	S (partial)	$15,001 - $50,000	☐
MV Capital - KEM Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [EF]		03/17/2022	S (partial)	$15,001 - $50,000	☐
MV Capital - KEM Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [EF]		04/18/2022	S	$15,001 - $50,000	☐
MV Capital - KEM Roth IRA #2 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]		01/4/2022	S	$1,001 - $15,000	☐
MV Capital - RRK Roth IRA #1 ⇒ Alger Mid Cap Focus CL I (AFOIX) [EF]	SP	01/14/2022	S (partial)	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ Alger Mid Cap Focus CL I (AFOIX) [EF]	SP	08/4/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ BlackRock Ultra Short-Term Bond ETF (ICSH) [EF]	SP	04/26/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒ BlackRock Ultra Short-Term Bond ETF (ICSH) [EF]	SP	11/8/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ Franklin Convertible Securities Advisor (FCSZX) [MF]	SP	03/17/2022	S (partial)	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ Franklin Convertible Securities Advisor (FCSZX) [MF]	SP	04/25/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]	SP	08/16/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]	SP	08/30/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒	SP	09/26/2022	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
iShares Core High Dividend ETF (HDV) [EF]					
MV Capital - RRK Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	10/5/2022	P	$1,001 - $15,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Floating Rate Bond ETF (FLOT) [EF]	SP	09/15/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]	SP	11/8/2022	P	$1,001 - $15,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	12/5/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	12/12/2022	P	$1,001 - $15,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	11/8/2022	P	$1,001 - $15,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]	SP	12/5/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]	SP	12/12/2022	P	$1,001 - $15,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	12/5/2022	P	$1,001 - $15,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	12/12/2022	P	$1,001 - $15,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Inc Core MSCI Emerging Mkts ETF (IEMG) [EF]	SP	08/30/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	08/5/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	08/18/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF]	SP	01/18/2022	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF]	SP	08/18/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	10/5/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF]	SP	10/5/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT) [EF]	SP	04/25/2022	S	$1,001 - $15,000	☐
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	04/25/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ iShares TR EAFE Growth EFT (EFG) [EF]	SP	06/22/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	08/16/2022	P	$100,001 - $250,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	09/15/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Trust Core MSCI EAFE ETF (IEFA) [EF]	SP	06/22/2022	P	$1,001 - $15,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Trust Core MSCI EAFE ETF (IEFA) [EF]	SP	08/30/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ iShares Trust United States Treasury (TIP) [EF]	SP	08/16/2022	S	$100,001 - $250,000	☐
MV Capital - RRK Roth IRA #1 ⇒ JPMorgan Emerging Mkts Equity Class I (JEMSX) [MF]	SP	04/18/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	01/14/2022	S (partial)	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	03/17/2022	S (partial)	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MV Capital - RRK Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	04/18/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #1 ⇒ Upper90 Fund II LP [HE]	SP	03/9/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒ Upper90 Fund II LP [HE]	SP	12/8/2022	P	$15,001 - $50,000	
MV Capital - RRK Roth IRA #1 ⇒ Vanguard REIT ETF (VNQ) [RE]	SP	04/18/2022	S	$15,001 - $50,000	☐
MV Capital - RRK Roth IRA #3 ⇒ Citigroup, Inc. (C) [ST]	SP	04/19/2022	S	$1,001 - $15,000	☐
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [HN]		12/30/2022	S (partial)	$500,001 - $1,000,000	☑
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [HN]		12/30/2022	P	$250,001 - $500,000	
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OT] LOCATION: US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		10/1/2022	P	$100,001 - $250,000	
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Strategic Equity Fund Series [HN]		12/30/2022	P	$250,001 - $500,000	
RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Long/Short Equity Fund Ltd. [HN]	SP	12/30/2022	S (partial)	$100,001 - $250,000	☑
The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OT] LOCATION: US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		10/1/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ Abdiel Qualified Onshore Partners, LP [HN] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	07/1/2022	S	$500,001 - $1,000,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ Echo Street GoodCo Select, LP [HN] Description: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	07/25/2022	P	$250,001 - $500,000	
The 2011 Randall R. Kaplan Living Trust ⇒ Echo Street GoodCo Select, LP [HN] Description: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	11/1/2022	P	$100,001 - $250,000	
The 2011 Randall R. Kaplan Living Trust ⇒ Rock Springs Capital Fund LP [HE]	SP	09/30/2022	P	$500,001 - $1,000,000	
The 2011 Randall R. Kaplan Living Trust ⇒ Seven Bridges Long/Short Equity Fund LP [HN]	SP	12/30/2022	S	Spouse/DC Over $1,000,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ Seven Bridges Multi-Strategy Fund LP [OT] Location: US Description: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	10/1/2022	P	$100,001 - $250,000	
The 2011 Randall R. Kaplan Living Trust ⇒ Whale Rock Long Opportunities Fund, LP [HN] Description: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	09/1/2022	P	$250,001 - $500,000	
The 2011 Randall R. Kaplan Living Trust ⇒ 3i SPV II LLC ⇒ Next Century Spirits, LLC [OL] Location: Raleigh, NC, US Description: Full-service distilled spirits company	SP	02/15/2022	P	$100,001 - $250,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	07/14/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]	SP	08/30/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]	SP	09/26/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core High Dividend ETF (HDV) [EF]	SP	11/10/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	08/16/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	08/30/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	12/8/2022	S	$15,001 - $50,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [EF]	SP	11/10/2022	P	$50,001 - $100,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	12/5/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	12/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF]	SP	12/16/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	10/27/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF]	SP	11/10/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]	SP	12/5/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]	SP	12/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital -	SP	12/16/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF]					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	12/5/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	12/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF]	SP	12/16/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 ETF (IWB) [EF]	SP	09/26/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 ETF (IWB) [EF]	SP	11/10/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 ETF (IWB) [EF]	SP	12/5/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 ETF (IWB) [EF]	SP	12/12/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 ETF (IWB) [EF]	SP	12/16/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]	SP	08/3/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]	SP	08/16/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒	SP	12/1/2022	S	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
iShares Russell 1000 Growth ETF (IWF) [EF]					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	08/5/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	08/18/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	12/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	12/16/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]	SP	08/18/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]	SP	08/30/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF]	SP	08/18/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF]	SP	08/30/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF]	SP	08/18/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF]	SP	08/30/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF]	SP	08/18/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF]	SP	08/30/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	08/30/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	09/26/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	11/10/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	12/5/2022	P	$50,001 - $100,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	SP	12/16/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Select Dividend ETF (DVY) [EF]	SP	08/18/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Microsoft Corporation (MSFT) [ST]	SP	07/27/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Abbott Laboratories (ABT) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	07/27/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Amgen Inc. (AMGN) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Apple Inc. (AAPL) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Caterpillar, Inc. (CAT) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Centene Corporation (CNC) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Chubb Limited (CB) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ CME Group Inc. - Class A (CME) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital -	SP	07/27/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ General Mills, Inc. (GIS) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Home Depot, Inc. (HD) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Johnson & Johnson (JNJ) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Lam Research Corporation (LRCX) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Micron Technology, Inc. (MU) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒	SP	07/27/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Microsoft Corporation (MSFT) [ST]					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Nike, Inc. (NKE) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ NVIDIA Corporation (NVDA) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Packaging Corporation of America (PKG) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Pfizer, Inc. (PFE) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Procter & Gamble Company (PG) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Sysco Corporation (SYY) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Visa Inc. (V) [ST]	SP	07/27/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST]	SP	07/27/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ 3M Company (MMM) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Abbott Laboratories (ABT) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ AbbVie Inc. (ABBV) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ AbbVie Inc. (ABBV) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	09/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Adobe Inc. (ADBE) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Adobe Inc. (ADBE) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Alphabet Inc. - Class A (GOOGL) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Alphabet Inc. - Class A (GOOGL) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Alphabet Inc. - Class A (GOOGL) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	09/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Ameren Corporation (AEE) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ American Electric Power Company, Inc. (AEP) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ American Tower Corporation (AMT) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Amgen Inc. (AMGN) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Apple Inc. (AAPL) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$15,001 - $50,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Apple Inc. (AAPL) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Apple Inc. (AAPL) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Applied Materials, Inc. (AMAT) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ AT&T Inc. (T) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ AT&T Inc. (T) [ST]	SP	09/12/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ AT&T Inc. (T) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ AT&T Inc. (T) [ST]	SP	08/5/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Bank of America Corporation (BAC) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Bank of America Corporation (BAC) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Bank of America Corporation (BAC) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ BlackRock, Inc. (BLK) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Bristol-Myers Squibb Company (BMY) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Broadcom Corporation - Class A (BRCM) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Broadcom Inc. (AVGO) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Broadcom Inc. (AVGO) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	09/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Broadcom Inc. (AVGO) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Charter Communications, Inc. - Class A (CHTR) [ST]	SP	09/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Chevron Corporation (CVX) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Chevron Corporation (CVX) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Cisco Systems, Inc. (CSCO) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Cisco Systems, Inc. (CSCO) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Coca-Cola Company (KO) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Coca-Cola Company (KO) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Comcast Corporation - Class A (CMCSA) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Comcast Corporation - Class A (CMCSA) [ST]	SP	09/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Comcast Corporation - Class A (CMCSA) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Costco Wholesale Corporation (COST) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Costco Wholesale Corporation (COST) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Costco Wholesale Corporation (COST) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ CVS Health Corporation (CVS) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Danaher Corporation (DHR) [ST]	SP	07/15/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Description: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Eli Lilly and Company (LLY) [ST] Description: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Equinix, Inc. (EQIX) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Exxon Mobil Corporation (XOM) [ST] Description: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Exxon Mobil Corporation (XOM) [ST] Description: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ FedEx Corporation (FDX) [ST] Description: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Home Depot, Inc. (HD) [ST] Description: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Home Depot, Inc. (HD) [ST] Description: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Home Depot, Inc. (HD) [ST]	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital -	SP	07/15/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Brokerage #3 ⇒ Intel Corporation (INTC) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Intel Corporation (INTC) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Intuit Inc. (INTU) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	SP	09/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Invitation Homes Inc. (INVH) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Johnson & Johnson (JNJ) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Johnson & Johnson (JNJ) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	09/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Johnson & Johnson (JNJ) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Johnson & Johnson (JNJ) [ST]	SP	08/5/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ JP Morgan Chase & Co. (JPM) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Kimberly-Clark Corporation (KMB) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Lam Research Corporation (LRCX) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Linde plc Ordinary Share (LIN) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Lowe's Companies, Inc. (LOW) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Mastercard Incorporated (MA) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Mastercard Incorporated (MA) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ McDonald's Corporation (MCD) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ McDonald's Corporation (MCD) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Merck & Company, Inc. Common Stock (MRK) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Merck & Company, Inc. Common Stock (MRK) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	09/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Merck & Company, Inc. Common Stock (MRK) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Meta Platforms, Inc. - Class A (FB) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22; the updated ticker is META	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Meta Platforms, Inc. - Class A (FB) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Meta Platforms, Inc. - Class A (META) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	09/12/2022	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ NextEra Energy, Inc. (NEE) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Nike, Inc. (NKE) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Nike, Inc. (NKE) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ NVIDIA Corporation (NVDA) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ NVIDIA Corporation (NVDA) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ NVIDIA Corporation (NVDA) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Oracle Corporation (ORCL) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Pepsico, Inc. (PEP) [ST]	SP	07/15/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Pepsico, Inc. (PEP) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Pfizer, Inc. (PFE) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Pfizer, Inc. (PFE) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	09/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Pfizer, Inc. (PFE) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Pfizer, Inc. (PFE) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Philip Morris International Inc (PM) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ PPL Corporation (PPL) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Procter & Gamble Company (PG) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Procter & Gamble Company (PG) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	09/12/2022	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Procter & Gamble Company (PG) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Procter & Gamble Company (PG) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Raytheon Company (RTN) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Raytheon Company (RTN) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ S&P Global Inc. (SPGI) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ S&P Global Inc. (SPGI) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Salesforce, Inc. (CRM) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Salesforce, Inc. (CRM) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital -	SP	07/15/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Brokerage #3 ⇒ Tesla, Inc. (TSLA) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Tesla, Inc. (TSLA) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	09/12/2022	S (partial)	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Tesla, Inc. (TSLA) [ST] DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Tesla, Inc. (TSLA) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	08/5/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Texas Instruments Incorporated (TXN) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Thermo Fisher Scientific Inc (TMO) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Thermo Fisher Scientific Inc (TMO) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	10/12/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ United Parcel Service, Inc. (UPS) [ST]	SP	10/12/2022	S	$1,001 - $15,000	☐
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST] DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22	SP	07/15/2022	P	$1,001 - $15,000	
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	10/12/2022	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	08/5/2022	P	$1,001 - $15,000	
DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Verizon Communications Inc. (VZ) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Verizon Communications Inc. (VZ) [ST]	SP	10/12/2022	P	$1,001 - $15,000	
DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Visa Inc. (V) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Visa Inc. (V) [ST]	SP	10/12/2022	S (partial)	$1,001 - $15,000	☐
DESCRIPTION: Remaining shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Walmart Inc. (WMT) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Walt Disney Company (DIS) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Wells Fargo & Company (WFC) [ST]	SP	07/15/2022	P	$1,001 - $15,000	
DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Welltower Inc. (WELL) [ST]	SP	10/12/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: Shares transferred to a Donor Advised Fund on 12/21/22					
The 2021 Kathy Manning Irrevocable GST Trust FBO Randall Kaplan ⇒ Seven Bridges Private Equity Opportunities Fund II, LP [HN]	SP	02/15/2022	P	$15,001 - $50,000	
DESCRIPTION: Private Equity. Fund manager letter on file with the Committee on Ethics.					
Wonder Media Network, LLC [PS]	SP	06/14/2022	P	$250,001 - $500,000	
LOCATION: US DESCRIPTION: Class Preferred Units					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Northern Trust Company	Spouse Pension Payout	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Bank NA	September 1997	Mortgage	$250,001 - $500,000

Schedule E: Positions

Position	Name of Organization
Director & Officer	Kaplan Manning Family Foundation

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
American Israel Education Foundation	02/19/2022	02/25/2022	Raleigh, NC - Tel Aviv, Israel - New York City, NY	0	✓	✓	✓
U.S. Association of Former Members of Congress	07/2/2022	07/9/2022	Greensboro, NC - Seoul, South Korea - Greensboro, NC	0	✓	✓	✓

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants (Owner: SP)
- 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC (Owner: SP)
- Bedford Properties, LLC (Owner: SP)
  Location: US
- Capsule Group (Owner: SP)
  Location: US
- Charles Schwab - Edgewood Management LLC (Owner: JT)
  Location: US
- Charles Schwab - O'Shaughnessy Asset Management (Owner: JT)
  Location: US
  Description: F/K/A Charles Schwab - Edgewood Management LLC
- DVS Limited Partnership (Owner: SP)
  Location: US
- Ehrenkranz Partners, LP (Owner: SP)
  Location: New York, NY, US
- Elm Street Hotel II (Owner: SP)
  Location: US
- Kathy Manning GST FBO Randall Kaplan (Owner: SP)
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC (Owner: SP)
  Location: US
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ Kathy Manning GST FBO Randall Kaplan (Owner: SP)
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 (Owner: SP)
  Location: US
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 (Owner: SP)

Location: US

- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 (Owner: SP)
  Location: US
- Little Santee-Sandy Ridge, LLC (Owner: SP)
  Location: US
- Manning Family LP
  Location: US
- MV Capital - GST Exempt Trust #1 (Owner: SP)
- MV Capital - GST Exempt Trust #2 (Owner: SP)
- MV Capital - KEM Roth IRA #1
- MV Capital - KEM Roth IRA #2
- MV Capital - RRK Roth IRA #1 (Owner: SP)
- MV Capital - RRK Roth IRA #3 (Owner: SP)
- OurCrowd (Owner: SP)
- Piedmont Angel Networks (Owner: SP)
- Piedmont Angel Networks II (Owner: SP)
- Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants
- Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC
- Real Estate Software Solutions, LLC (Owner: SP)
  Location: Greensboro, NC, US
- Regent Holdings, LLC (Owner: SP)
  Location: US
- RRK Charitable Trust U/A 11/28/00 #2 (Owner: SP)
- Scientific Associates, LLC (Owner: SP)
  Location: US
- Tarco Realty, LLC (Owner: SP)
  Location: US
- The 2011 Kathy E Manning Living Trust
- The 2011 Kathy E Manning Living Trust
- The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1
  Location: US
- The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC
- The 2011 Randall R. Kaplan Living Trust (Owner: SP)
- The 2011 Randall R. Kaplan Living Trust ⇒ 3i SPV II LLC (Owner: SP)
  Location: US
- The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 (Owner: SP)
  Location: US
- The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 (Owner: SP)
  Location: US
- The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #3 (Owner: SP)
  Location: US

- The 2021 Kathy Manning Irrevocable GST Trust FBO Randall Kaplan (Owner: SP)
- TIC Fincastle Building (Owner: SP)
  Location: US
- Wells Fargo Brokerage (Owner: SP)
  Location: US
- WLC, LLC (Learning Together) (Owner: SP)
  Location: US

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Kathy Manning , 08/2/2023