UNITED STATES SENATE
FINANCIAL DISCLOSURES

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Annual Report for Calendar 2022

The Honorable Angus S King Jr. (King, Angus)

Filed 05/15/2023 @ 6:30 AM

Print Report

The following statements were checked before filing:

- [x] I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- [x] I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

- [ ] I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than $200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance?
No

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Pension	MAINE PUBLIC EMPLOYEES RETIREMENT SYSTEM AUGUSTA, ME	$34,071.00	n/a
2	Self	Commissions	TRUST U/W/O ROGER C KLINE GENERATION SKIPPING TRUST NEW YORK, NY	$21,623.00	n/a
3	Self	Commissions	TRUST U/W/O ROGER C KLINE FBO MATTHEW KLINE NEW YORK, NY	$8,272.00	n/a
4	Self	Retirement	CHAS SCHWAB & CO IRA ROLLOVER #1 SAN FRANCISCO, CA	$14,200.00	n/a
5	Self	Retirement	CHAS SCHWAB & CO IRA ROLLOVER #2 SAN FRANCISCO, CA	$22,171.00	n/a

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
6	Spouse	Wages	MAINE DEPT OF EDUCATION AUGUSTA, ME	> $1,000	n/a
7	Spouse	Retirement	CHAS SCHWAB & CO IRA ROLLOVER SAN FRANCISCO, CA	> $1,000	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than $1,000 or generated income of more than $200? **Yes**

	Asset	Asset Type	Owner	Value	Income Typ
1	**POTTER ST BRUNSWICK** *Description:* RESIDENCE AND 2 RENTAL UNITS (BRUNSWICK, ME)	Real Estate Residential	Joint	$250,001 - $500,000	Rent/Roya
2	**TD BANK NA AGGREGATE ACCOUNTS** (BRUNSWICK, ME) *Type:* Checking,	Bank Deposit	Joint	$15,001 - $50,000	Interest,
3	**PRUDENTIAL INSURANCE CO WHOLE LIFE POLICY** *Provider:* PRUDENTIAL INSURANCE CO	Life Insurance Whole	Self	$15,001 - $50,000	Dividends, Interest,
4	**PRUDENTIAL INSURANCE APPRECIABLE LIFE POLICY**	Life Insurance Variable	Self		
4.1	**PSF GOV'T MONEY**	Government	Self	$15,001 -	Dividends,

	Asset	Asset Type	Owner	Value	Income Typ
	MARKET *Rate/Coupon:* None *Matures:* None *Filer comment:* UNDERLYING INVESTMENT OF LIFE INSURANCE POLICY	Securities US Treasury/Agency Security		$50,000	
5	**MAR-Marriott International, Inc. (NASDAQ)**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends,
6	**HST - Host Hotels & Resorts, Inc. (NYSE)**	Corporate Securities Stock	Self	$1,001 - $15,000	Dividends, Capital Ga
7	**VAC - Marriott Vacations Worldwide Corp.**	Corporate Securities Stock	Self	$1,001 - $15,000	Dividends,
8	**SCHWAB ONE ACCOUNT**	Brokerage/Managed Account	Self		
8.1	**CHARLES SCHWAB** (PORTLAND, ME) *Type:* Money Market Account,	Bank Deposit	Self	$100,001 - $250,000	Interest,
8.2	**QQQ - Invesco QQQ Trust**	Mutual Funds Exchange Traded Fund/Note	Self	$100,001 - $250,000	Excepted Investment Fund,
8.3	**ILCG - iShares Morningstar Large-Cap Growth ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$100,001 - $250,000	Excepted Investment Fund,
8.4	**SNSXX - The Charles Schwab Family of Funds - Schwab U.S. T**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Dividends, Interest,
8.5	**PRU - Prudential Financial, Inc.**	Corporate Securities Stock	Self	$1,001 - $15,000	Dividends,
8.6	**JENIX - Jensen Quality Growth Fund Class I**	Mutual Funds Mutual Fund	Self	$100,001 - $250.000	Excepted Investment

	Asset	Asset Type	Owner	Value	Income Type
8.7	**QLD - ProShares Ultra QQQ**	Mutual Funds Exchange Traded Fund/Note	Self	$100,001 - $250,000	Excepted Investment Fund,
9	**SCHWAB REVOCABLE TRUST**	Trust General Trust	Self		
9.1	**VWIUX - Vanguard Interm-Term Tx-Ex Adm (NASDAQ)**	Mutual Funds Mutual Fund	Self	$500,001 - $1,000,000	Excepted Investment Fund,
9.2	**SWXXX-Schwab Tax-Exempt Money Fund Sw (NASDAQ)**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,
9.3	**VFIAX - Vanguard 500 Index Admiral (NASDAQ)**	Mutual Funds Mutual Fund	Self	$1,000,001 - $5,000,000	Excepted Investment Fund,
9.4	**VMLUX - Vanguard Limited-Term Tax-Exempt Fund Admiral Shar**	Mutual Funds Mutual Fund	Self	$250,001 - $500,000	Excepted Investment Fund,
9.5	**VTEB - Vanguard Tax-Exempt Bond Index Fund ETF Shares**	Mutual Funds Exchange Traded Fund/Note	Self	$100,001 - $250,000	Excepted Investment Fund,
9.6	**VTEAX - Vanguard Tax-Exempt Bond Index Fund Admiral Shares**	Mutual Funds Mutual Fund	Self	$100,001 - $250,000	Excepted Investment Fund,
10	**SCHWAB IRA ROLLOVER #1**	Retirement Plans IRA	Self		
10.1	**IGSB - iShares Short-Term Corporate Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	None,
10.2	**IWO - iShares Russell 2000 Growth**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	None,

	Asset	Asset Type	Owner	Value	Income Ty
10.3	**IWN - iShares Russell 2000 Value**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	None,
10.4	**IVE - iShares S&P 500 Value (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	None,
10.5	**IVW-iShares S&P 500 Growth (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	None,
10.6	**IJJ - iShares S&P Mid-Cap 400 Value (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	None,
10.7	**IJK - iShares S&P Mid-Cap 400 Growth (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	None,
10.8	**IGIFX - American Funds Intl Gr and Inc F1 (NASDAQ)**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	None,
10.9	**JAMCX - JPMorgan Mid Cap Value A (NASDAQ)**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	None,
10.10	**OAKIX - Oakmark International I (NASDAQ)**	Mutual Funds Mutual Fund	Self	None (or less than $1,001)	None,
10.11	**WBFFX - American Funds Capital World Bond F1 (NASDAQ)**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	None,
10.12	**TPINX - Templeton Global Bond A (NASDAQ)**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	None,
10.13	**JSOAX - JPMorgan Strategic Income Opps A (NASDAQ)**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	None,

(NASDAQ)

	Asset	Asset Type	Owner	Value	Income Typ
10.14	**PTTAX - PIMCO Total Return A (NASDAQ)**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	None,
10.15	**SGENX - First Eagle Global A (NASDAQ)**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	None,
10.16	**FSTAX - Fidelity Advisor Strategic Income A (NASDAQ)**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	None,
10.17	**CNSAX - Invesco Convertible Securities A (NASDAQ)**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	None,
10.18	**DODFX - Dodge & Cox International Stock (NASDAQ)**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	None,
10.19	**US TREASURY NOTE 1.875% MATURES 8/31/2022** *Rate/Coupon:* None *Matures:* None	Government Securities US Treasury/Agency Security	Self	None (or less than $1,001)	None,
10.20	**US TREASURY NOTE 1.75% MATURES 1/31/2023** *Rate/Coupon:* *Matures:*	Government Securities US Treasury/Agency Security	Self	$1,001 - $15,000	None,
10.21	**CHARLES SCHWAB** (PORTLAND, ME) *Type:* Brokerage Sweep Account,	Bank Deposit	Self	$15,001 - $50,000	None,
10.22	**ODVYX - Invesco Oppenheimer Developing Markets Fund Clas**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	None,
10.23	**GNMA - iShares GNMA Bond ETF**	Mutual Funds Exchange Traded	Self	$1,001 - $15,000	None,

	Asset	Asset Type	Owner	Value	Income Typ
	Bond ETF	Exchange Traded Fund/Note		$15,000	
10.24	**FLOT - iShares Floating Rate Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	None,
10.25	**IEI - iShares 3-7 Year Treasury Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	None,
11	**SCHWAB IRA ROLLOVER #2**	Retirement Plans IRA	Self		
11.1	**WPSGX - AB Concentrated Growth Advisor (NASDAQ)**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	None,
11.2	**CHARLES SCHWAB** (PORTLAND, ME) *Type:* Money Market Account,	Bank Deposit	Self	None (or less than $1,001)	None,
11.3	**ILCG - iShares Morningstar Large-Cap Growth ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$50,001 - $100,000	None,
11.4	**SNSXX - The Charles Schwab Family of Funds - Schwab U.S. T**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	None,
11.5	**QLD - ProShares Ultra QQQ**	Mutual Funds Exchange Traded Fund/Note	Self	$50,001 - $100,000	None,
12	**SCHWAB ONE ACCT - MJH**	Brokerage/Managed Account	Spouse		
12.1	**ECL-Ecolab Inc. (NYSE)**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends,
12.2	**DIS-The Walt Disney Company (NYSE)**	Corporate Securities Stock	Spouse	$1,001 - $15,000	None,

	Asset	Asset Type	Owner	Value	Income Ty
12.3	**NKE - Nike, Inc. (NYSE)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends,
12.4	**TJX-The TJX Companies, Inc. (NYSE)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends,
12.5	**KMB - Kimberly-Clark Corporation (NYSE)**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends,
12.6	**MKC - McCormick & Company, Incorporated (NYSE)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends,
12.7	**PEP - Pepsico, Inc. (NYSE)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends,
12.8	**AXP - American Express Company (NYSE)**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends,
12.9	**CME-CME Group Inc. (NASDAQ)**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends,
12.10	**IYF - iShares US Financials**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund,
12.11	**USB - U.S. Bancorp (NYSE)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends,
12.12	**GILD-Gilead Sciences Inc. (NASDAQ)**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends,
12.13	**JNJ - Johnson & Johnson (NYSE)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends,
12.14	**WAT-Waters Corporation (NYSE)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	None,
12.15	**MMM - 3M Company**	Corporate Securities	Spouse	$1,001 -	Dividends,

	Asset	Asset Type	Owner	Value	Income Ty
	(NYSE)	Stock		$15,000	
12.16	**NSC - Norfolk Southern Corporation (NYSE)**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends,
12.17	**UPS - United Parcel Service, Inc. (NYSE)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends,
12.18	**AAPL-Apple Inc. (NASDAQ)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends,
12.19	**CSCO-Cisco Systems, Inc. (NASDAQ)**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends,
12.20	**ORCL-Oracle Corporation (NYSE)**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends,
12.21	**NEE - NextEra Energy, Inc. (NYSE)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends,
12.22	**EFA-iShares MSCI EAFE**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund,
12.23	**MGIAX - MFS International Value A (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,
12.24	**EEM-iShares MSCI Emerging Markets**	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than $1,001)	Excepted Investment Fund,
12.25	**GTEYX - Gateway Y (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,
12.26	**MAINE HEALTH & HIGH BOND** *Rate/Coupon:* 3.00% *Matures:* 07/01/2023	Government Securities Municipal Security	Spouse	$1,001 - $15,000	Interest,

	Asset	Asset Type	Owner	Value	Income Type
12.27	**MAINE MUNI BOND BANK** *Rate/Coupon:* 2.00% *Matures:* 11/01/2023	Government Securities Municipal Security	Spouse	$1,001 - $15,000	Interest,
12.28	**MAINE MUNI BOND BANK** *Rate/Coupon:* 3.00% *Matures:* 11/01/2024	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.29	**MAINE MUNI BOND BANK** *Rate/Coupon:* 3.00% *Matures:* 09/01/2026	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.30	**MAINE ST TPK AUTH SP BOND** *Rate/Coupon:* 3.00% *Matures:* 07/01/2026	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.31	**MUNI BOND ORONO ME** *Rate/Coupon:* 3.00% *Matures:* 11/01/2024	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.32	**UNH - UnitedHealth Group Incorporated (NYSE)**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends,
12.33	**MUNI BOND ELLSWORTH ME GO** *Rate/Coupon:* 2.25% *Matures:* 12/01/2024	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.34	**MAINE HEALTH & HIGHER ED REV BOND FINL AUTH** *Rate/Coupon:* 3.25% *Matures:* 07/01/2027	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.35	**MAINE HEALTH & HIGHER ED REV BOND PRIV ED** *Rate/Coupon:* 3.25% *Matures:* 07/01/2027	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.36	**REGIONAL SCHOOL UNIT NO 5 ME** *Rate/Coupon:* 2.50% *Matures:* 11/01/2026	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,

	Asset	Asset Type	Owner	Value	Income Typ
12.37	**MAINE STATE HSG AUTH REV** *Rate/Coupon:* 1.95% *Matures:* 11/15/2023	Government Securities Municipal Security	Spouse	$1,001 - $15,000	Interest,
12.38	**MAINE STATE HSG AUTH** *Rate/Coupon:* 2.25% *Matures:* 11/15/2024	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.39	**MAINE STATE HSG AUTH** *Rate/Coupon:* 2.45% *Matures:* 11/15/2025	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.40	**MUNI BOND AUGUSTA ME GO** *Rate/Coupon:* 2.25% *Matures:* 10/01/2026	Government Securities Municipal Security	Spouse	$1,001 - $15,000	Interest,
12.41	**MUNI BOND BIDDEFORD ME** *Rate/Coupon:* 3.00% *Matures:* 10/01/2028	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.42	**MAINE SCHOOL ADMIN GO BOND** *Rate/Coupon:* 3.00% *Matures:* 11/01/2028	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.43	**JSOSX - JPMorgan Strategic Income Opports**	Mutual Funds Mutual Fund	Spouse	None (or less than $1,001)	Excepted Investment Fund,
12.44	**CHARLES SCHWAB** (PORTLAND, ME) *Type:* Brokerage Sweep Account,	Bank Deposit	Spouse	$1,001 - $15,000	Interest,
12.45	**ME MUNI BOND WATERVILLE GO UTX** *Rate/Coupon:* 2.00% *Matures:* 10/01/2023	Government Securities Municipal Security	Spouse	$15,001 - $50,000	Interest,
12.46	**AMZN - Amazon.com.**	Corporate Securities	Spouse	$1,001 -	None,

	Asset	Asset Type	Owner	Value	Income Typ
	Inc.	Stock		$15,000	
12.47	**CMCSA - Comcast Corporation**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends,
12.48	**VIMAX - Vanguard Mid-Cap Index Fund Admiral Shares**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,
12.49	**VZ - Verizon Communications Inc.**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends,
12.50	**VMLUX - Vanguard Limited-Term Tax-Exempt Fund Admiral Shar**	Mutual Funds Mutual Fund	Spouse	None (or less than $1,001)	Excepted Investment Fund,
12.51	**ADBE - Adobe Inc. - Common Stock**	Corporate Securities Stock	Spouse	None (or less than $1,001)	None,
12.52	**TMO - Thermo Fisher Scientific Inc Common Stock**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends,
12.53	**FLOT - iShares Floating Rate Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,
12.54	**CNSAX - Invesco Convertible Securities Fund Class A**	Mutual Funds Mutual Fund	Spouse	None (or less than $1,001)	Excepted Investment Fund,
12.55	**ICVT - iShares Convertible Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund,
12.56	**GIOIX - Guggenheim Macro Opportunities Fund-Institution**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,
12.57	**JHEQX - Jpmorgan Hedged Equity Fund I**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment

	Class Asset	Asset Type	Owner	Value	Fund, Income Ty
12.58	**VWIUX - Vanguard Intermediate-Term Tax-Exempt Fd Admiral**	Mutual Funds Mutual Fund	Spouse	$50,001 - $100,000	Excepted Investment Fund,
12.59	**EA - Electronic Arts Inc. - Common Stock**	Corporate Securities Stock	Spouse	$1,001 - $15,000	None,
12.60	**SNVXX-SCHWAB GOV'T MONEY FUND-INVESTOR SHARES** (SAN FRANCISCO, CA) *Type:* Money Market Account,	Bank Deposit	Spouse	$15,001 - $50,000	Interest,
13	**SCHWAB IRA ROLLOVER - MJH**	Retirement Plans IRA	Spouse		
13.1	**OAKIX - Oakmark International I (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	None,
13.2	**GVI - iShares Intm Government/Credit Bond**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	None,
13.3	**IGSB - iShares Short-Term Corporate Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	None,
13.4	**TPINX - Templeton Global Bond A (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	None (or less than $1,001)	None,
13.5	**ODVYX - Invesco Oppenheimer Developing Markets Fund Clas**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	None,
13.6	**IJR - iShares Core S&P Small-Cap**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	None,

	Asset	Asset Type	Owner	Value	Income Ty
13.7	**AGG - iShares Core US Aggregate Bond**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	None,
13.8	**SCHM - Schwab US Mid-Cap ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	None,
13.9	**SPY - SPDR S&P 500 ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	None,
13.10	**GATEX - Gateway A**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	None,
13.11	**CHARLES SCHWAB** (PORTLAND, ME) *Type:* Brokerage Sweep Account,	Bank Deposit	Spouse	$1,001 - $15,000	None,
13.12	**SCHP - Schwab U.S. TIPS ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	None,
13.13	**VIG - Vanguard Dividend Appreciation Index Fund ETF Shar**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	None,
13.14	**IGIB - iShares 5-10 Year Investment Grade Corporate Bond**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	None,
14	**A WOMAN, A PART LLC** *Company:* A WOMAN, A PART LLC (ULSTER PARK, NY) *Description:* FILM PRODUCTION COMPANY *Filer comment:* .20 NON-VOTING CLASS B MEMBERSHIP SHARE	Business Entity Limited Liability Company (LLC)	Spouse	$1,001 - $15,000	Other, (K-1 BOX 1 ORDINARY INCOME)
15	**MAINE PUBLIC EMPLOYEES**	Retirement Plans Defined Benefit	Spouse	Unascertainable	None,

#	Asset	Asset Type	Owner	Value	Income Typ
	RETIREMENT SYSTEM	Pension Plan			
16	**LOOP ROAD LLC** *Company:* LOOP ROAD LLC (GEORGETOWN, MAINE) *Description:* FAMILY REAL ESTATE LLC	Business Entity Limited Liability Company (LLC)	Joint		
16.1	**LOOP RD COTTAGE** *Description:* SEASONAL RENTAL COTTAGE (GEORGETOWN, MAINE)	Real Estate Residential	Joint	$500,001 - $1,000,000	Rent/Roya

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	12/19/2022	Spouse	ADBE	Adobe Inc. - Common Stock	Sale (Full)	$1,001 - $15,000	--
2	12/21/2022	Spouse	EA	Electronic Arts Inc. - Common Stock	Purchase	$1,001 - $15,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded $1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Self	OAKIX	Oakmark International Fund	Sale (Full)	01/28/2022	$1,001 - $15,000	n/a

#	Owner	Ticker	Investor Class Asset Name	Transaction Type	Transaction Date	Amount	Comments
2	Self	FLOT	iShares Floating Rate Bond ETF	Purchase	01/28/2022	$1,001 - $15,000	n/a
3	Self	VWIUX	Vanguard Intermediate-Term Tax-Exempt Fd Admiral	Sale (Partial)	04/13/2022	$50,001 - $100,000	n/a
4	Self	IVW	iShares S&P 500 Growth ETF	Sale (Partial)	05/02/2022	$15,001 - $50,000	n/a
5	Self	IEI	iShares 3-7 Year Treasury Bond ETF	Purchase	05/02/2022	$1,001 - $15,000	n/a
6	Spouse	SPY	SPDR S&P 500	Sale (Partial)	05/02/2022	$1,001 - $15,000	n/a
7	Spouse	EEM	Emerging Markets Ishares MSCI ETF	Sale (Full)	05/02/2022	$1,001 - $15,000	n/a
8	Spouse	TPINX	Templeton Global Bond Fund Class A	Sale (Full)	09/21/2022	$1,001 - $15,000	n/a
9	Spouse	IGIB	iShares 5-10 Year Investment Grade Corporate Bond	Purchase	09/21/2022	$1,001 - $15,000	n/a
10	Self	WPSGX	Ab Cap Fund Inc. - Ab Concentrated	Sale (Partial)	11/28/2022	$15,001 - $50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
			Growth Portf				
11	Spouse	--	SNVXX SCHWAB GOV'T MONEY FUND - INVESTOR SHARES	Purchase	12/23/2022	$15,001 - $50,000	n/a
12	Spouse	ICVT	iShares Convertible Bond ETF	Purchase	12/21/2022	$1,001 - $15,000	n/a
13	Spouse	GIOIX	Guggenheim Macro Opportunities Fund-Institution	Purchase	12/21/2022	$15,001 - $50,000	n/a
14	Spouse	JHEQX	Jpmorgan Hedged Equity Fund I Class	Purchase	12/21/2022	$15,001 - $50,000	n/a
15	Spouse	VWIUX	Vanguard Intermediate-Term Tax-Exempt Fd Admiral	Purchase	12/21/2022	$50,001 - $100,000	n/a
16	Spouse	GTEYX	Gateway Fund Class Y	Sale (Partial)	12/19/2022	$15,001 - $50,000	n/a
17	Spouse	CNSAX	Invesco Convertible Securities Fund Class A	Sale (Full)	12/19/2022	$1,001 - $15,000	n/a
18	Spouse	JSOSX	Jpmorgan Strategic Income Opportunities	Sale (Full)	12/19/2022	$15,001 - $50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
			Fund I C				
19	Spouse	VMLUX	Vanguard Limited-Term Tax-Exempt Fd Admiral Shs	Sale (Full)	12/19/2022	$50,001 - $100,000	n/a

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than $10,000 at any time or a revolving charge account whose value exceeded $10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2011	Joint	Mortgage	0	3.50% (15 YRS)	$50,001 - $100,000	TD BANK, NA LEWISTON, ME	COMBINED MORTGAGE ON RENTAL & PERSONAL RESIDENCE

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2009 to present	Trustee	TRUST U/W/O ROGER KLINE FBO MATTHEW KLINE NEW YORK, NY	Other (TESTAMENTARY TRUST)	APPOINTMENT AS TRUSTEE UNDER THE LAST WILL & TESTAMENT OF ROGER C KLINE FOR THE BENEFIT OF MATTHEW KLINE IN SURROGATE'S COURT, NEW YORK COUNTY.
2	Nov 2012 to present	Trustee	TRUST UNDER CLAUSE SEVENTH OF WILL OF ROGER C KLINE FOR DARTMOUTH COLLEGE NEW YORK, NY	Other (TESTAMENTARY TRUST)	APPOINTMENT AS TRUSTEE UNDER THE LAST WILL AND TESTAMENT OF ROGER C KLINE FOR THE BENEFIT OF DARTMOUTH COLLEGE IN SURROGATE'S COURT, NEW YORK COUNTY
3	Nov 2012 to present	Trustee	TRUST UNDER CLAUSE NINTH OF WILL OF ROGER C KLINE - GENERATION SKIPPING TRUST NEW YORK, NY	Other (TESTAMENTARY TRUST)	APPOINTMENT AS TRUSTEE UNDER THE LAST WILL AND TESTAMENT OF ROGER C KLINE - GENERATION SKIPPING TRUST IN SURROGATE'S COURT, NEW YORK COUNTY.
4	Jan 2021 to present	Other (MEMBER)	LOOP ROAD LLC GEORGETOWN, MAINE	Company	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Mar 2009	TRUST UNDER THE WILL OF ROGER C KLINE FOR BENEFIT OF MATTHEW KLINE NEW YORK, NY	Compensation Agreement	APPOINTMENT AS TRUSTEE U/W/O ROGER C KLINE FBO MATTHEW KLINE IN SURROGATE'S COURT, NEW YORK COUNTY. ENTITLED TO TRUSTEE COMMISSIONS FOR SERVICES RENDERED DURING 2022 PURSUANT TO NEW YORK STATUTE. AMOUNT OF $11,329 REMAINS UNPAID AS OF DECEMBER 31, 2022. BALANCE OF COMMISSIONS WAIVED IN THE AMOUNT OF $7,177.	n/a
2	Nov 2012	TRUST UNDER CLAUSE NINTH OF WILL OF ROGER C KLINE-GENERATION SKIPPING TRUST	Compensation Agreement	APPOINTMENT AS TRUSTEE U/W/O ROGER C KLINE - GENERATION SKIPPING TRUST IN SURROGATE'S COURT, NEW YORK	n/a

#	Date	Parties Involved	Type	Status and Terms	Comments
		NEW YORK, NY		COUNTY. ENTITLED TO TRUSTEE COMMISSIONS FOR SERVICES RENDERED DURING 2022 PURSUANT TO NEW YORK STATUTE. AMOUNT OF $20,486 REMAINS UNPAID AS OF DECEMBER 31, 2022.	
3	Nov 2012	TRUST UNDER CLAUSE SEVENTH OF WILL OF ROGER C KLINE FOR DARTMOUTH COLLEGE NEW YORK, NY	Compensation Agreement	APPOINTMENT AS TRUSTEE U/W/O ROGER C KLINE FBO DARTMOUTH COLLEGE IN SURROGATE'S COURT, NEW YORK COUNTY. ENTITLED TO TRUSTEE COMMISSIONS FOR SERVICES RENDERED DURING 2022 PURSUANT TO NEW YORK STATUTE. COMMISSIONS WERE WAIVED IN THE AMOUNT OF $17,378.	n/a
4	Jan	MAINE	Continuing	CONTINUING	n/a

#	Date	Parties Involved	Type	Status and Terms	Comments
	1995	STATE RETIREMENT SYSTEM AUGUSTA, ME	participation in an employee benefit plan	MONTHLY PENSION BENEFITS AS A RESULT OF STATE GOVERNMENT SERVICE AS ELECTED GOVERNOR FROM 1995-2003.	
5	Jan 2018	ISLANDPORT PRESS INC YARMOUTH, ME	Royalty Agreement	PUBLISHING AGREEMENT TO WRITE A BOOK FEATURING IMAGES AND EXTENDED CAPTIONS RELATED TO PERSONAL LIFE AND WORK AS A US SENATOR WITH INTENT TO PUBLISH AND DISTRIBUTE FOR PUBLIC RESALE. ALL CONTRACT ROYALTIES ARE ASSIGNED TO THE STATEWIDE PUBLIC CHARITY: GOOD SHEPHERD FOOD BANK OF AUBURN MAINE. PUBLISHING AGREEMENT	n/a

#	Date	Parties Involved	Type	Status and Terms	Comments
				AGREEMENT PREVIOUSLY INCLUDED WITH 2018 CALENDAR YEAR REPORT.	

Part 10. Compensation

Only required if you are a candidate or this is your first report Did any person or entity pay more than $5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.