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Annual Report for Calendar 2022

Ms. Cynthia M Lummis (Lummis, Cynthia)

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 Print Report

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
 - ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
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- ☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance?

No

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Member Draw	Lummis Livestock Company Cheyenne, WY	\$72,000.00	n/a
2	Self	Retirement	Wyoming State Employees Retirement System Cheyenne, WY	\$20,400.00	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type
1	Arp & Hammond Hardware Company <i>Company:</i> Arp & Hammond Hardware Company (Cheyenne, WY) <i>Description:</i> Real Estate	Corporate Securities Non-Public Stock	Self	\$1,000,001 - \$5,000,000	Dividends,
2	Qualified Blind Trust	Trust Blind	Self	\$100,001 - \$250,000	Qualified Blind Trust,
3	Lummis Livestock Company LLC <i>Company:</i> Lummis Livestock Company LLC	Business Entity Limited Liability Company (LLC)	Self		

	Livestock Company LLC (Cheyenne, WY) <i>Description:</i> Runs steers and sells grass fed cattle.	Asset Type	Owner	Value	Income Type
3.1	Ranch owned by Lummis Livestock Company <i>Description:</i> Grass and hay ranch (Cheyenne, WY)	Farm	Self	\$1,000,001 - \$5,000,000	None,
4	Sweetgrass Land Co. LLC <i>Company:</i> Sweetgrass Land Co. LLC (Cheyenne, WY) <i>Description:</i> The sale of build-ready residential and commercial lots.	Business Entity Limited Liability Company (LLC)	Self		
4.1	Sweetgrass <i>Description:</i> Sale of build-ready residential and commercial lots. (Cheyenne, WY)	Real Estate Residential	Self	\$1,000,001 - \$5,000,000	None,
5	Laramie River Ranch LLC <i>Company:</i> Laramie River Ranch LLC (Wheatland, WY) <i>Description:</i> Owns agriculture land leased for livestock grazing.	Business Entity Limited Liability Company (LLC)	Self		
5.1	Ranch land owned by Laramie River Ranch LLC <i>Description:</i> Agriculture land leased for livestock grazing (Wheatland, WY)	Farm	Self	\$1,000,001 - \$5,000,000	Rent/Royalties,
6	Wyoming Retirement System Public Employees Pension Plan	Retirement Plans Defined Benefit Pension Plan	Self	\$15,001 - \$50,000	None,
7	D.A. Davidson Retirement Account	Retirement Plans IRA	Self		

7.1	FBPIX - Fpa New Income	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,
7.2	IGFFX - International Growth and Income Fd CI F-2 Shs	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,
7.3	VGIIIX - Jpmorgan U.S. Value Fund Class I	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,
7.4	HLIPX - Jpmorgan Core Plus Bond Fund I Class	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,
7.5	HLGEX - Jpmorgan Mid Cap Growth Fund I Class	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,
7.6	MQGIX - MFS International Growth Fund - Class I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,
7.7	RAIIX - Rainier International Discovery Series - Class I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,
7.8	TRAIX - T. Rowe Price Capital Appreciation Fund - I Clas	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,
7.9	PRVIX - T. Rowe Price Small-Cap Value Fund - I Class	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,

	Asset	Asset Type	Owner	Value	Income Type
7.10	RPIFX - T. Rowe Price Institutional Floating Rate Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,
7.11	VSMAX - Vanguard Small Cap Index Fd Admiral Shs	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,
7.12	VFIAX - Vanguard 500 Index Fd Admiral Shs	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,
7.13	PIMSX - Virtus Newfleet Multi-Sector Short Term Bond Fun	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,
7.14	WMFFX - Washington Mutual Investors Fund CI F-2 Shs	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund,
7.15	CAIFX - Capital Income Builder CI F-2 Shs	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,
7.16	NFLT - Virtus Newfleet Multi-Sector Bond ETF	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,
7.17	RAIWX - Manning & Napier Rainier International Discovery	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,
8	D.A. Davidson Investment Account	Brokerage/Managed Account	Self		

	Asset	Asset Type	Owner	Value	Income Type
8.1	VIVE - Value ETF	Mutual Funds	Self	\$1,001 -	Excepted
	Vanguard	Mutual Fund		\$15,000	Investment Fund,
8.2	IGFFX - International Growth and Income Fd CI F-2 Shs	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
8.3	WOBDX - Jpmorgan Core Bond Fund I Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
8.4	SBPYX - Clearbridge Small Cap Growth Fd CI I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
8.5	MQGIX - MFS International Growth Fund - Class I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
8.6	FUNYX - Pioneer Fundamental Growth Fund Class Y	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
8.7	TRBCX - T. Rowe Price Blue Chip Growth Fund Inc.	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
8.8	THIIX - Thornburg Limited Term Income Fd CI I Shs	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
8.9	DRISX - Foundry Partners Fundamental Small Cap Value Fun	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,

	Asset	Asset Type	Owner	Value	Income Type
8.10	JVLIX - John Hancock Fds III Disciplined Value Fund Clas	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
9	Wild Iris LLC <i>Company:</i> Wild Iris LLC (Cheyenne, WY) <i>Description:</i> Ownership of commercial building.	Business Entity Limited Liability Company (LLC)	Self		
9.1	Wild Iris LLC <i>Description:</i> Commercial building owned by Wild Iris LLC (Cheyenne, WY)	Real Estate Commercial	Self	\$500,001 - \$1,000,000	Rent/Royalties,
10	Equipoise LLC <i>Company:</i> Equipoise LLC (Cheyenne, WY) <i>Description:</i> Owns commercial buildings.	Business Entity Limited Liability Company (LLC)	Self		
10.1	Equipoise LLC <i>Description:</i> Commercial buildings owned by Equipoise LLC (Cheyenne, WY)	Real Estate Commercial	Self	\$500,001 - \$1,000,000	None,
11	PRWCX - T. Rowe Price Capital Appreciation Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Self	PRVIX	T. Rowe Price Small-Cap Value Fund - I Class	Purchase	12/14/2022	\$1,001 - \$15,000	All transactions executed independently, without my involvement, by DA Davidson. This comment applies to all transactions.
2	Self	MXFIX	Mainstay Floating Rate Fund Class I	Sale (Full)	12/16/2022	\$1,001 - \$15,000	n/a
3	Self	THIFX	Thornburg Limited Term Income Fund A	Purchase	12/16/2022	\$1,001 - \$15,000	n/a
4	Self	FWMMX	Washington Mutual Investors Fund Class 529-F-2	Purchase	12/19/2022	\$1,001 - \$15,000	n/a
5	Self	PRVIX	T. Rowe Price Small-Cap Value Fund - I Class	Purchase	12/20/2022	\$1,001 - \$15,000	n/a

6 #	Self Owner	CMGIX Ticker	Blackrock Asset Name Funds Mid-	Transaction Sale (Full) Type	Transaction 09/09/2022 Date	\$50,001 Amount	n/a Comments
			Cap Growth Equity Portfolio			\$100,000	
7	Self	FPNIX	Fpa New Income	Purchase	09/09/2022	\$1,001 - \$15,000	n/a
8	Self	IGFFX	International Growth and Income Fd CI F-2 Shs	Sale (Partial)	09/09/2022	\$1,001 - \$15,000	n/a
9	Self	HLGEX	Jpmorgan Mid Cap Growth Fund I Class	Purchase	09/09/2022	\$50,001 - \$100,000	n/a
10	Self	HLIPX	Jpmorgan Core Plus Bond Fund I Class	Purchase	09/09/2022	\$15,001 - \$50,000	n/a
11	Self	VGIIIX	Jpmorgan U.S. Value Fund Class I	Purchase	09/09/2022	\$50,001 - \$100,000	n/a
12	Self	MGRAX	MFS Series Trust X- MFS International Growth Fun	Sale (Full)	09/09/2022	\$1,001 - \$15,000	n/a
13	Self	RAIWX	Manning & Napier Rainier International Discovery	Purchase	09/09/2022	\$15,001 - \$50,000	n/a
14	Self	PRWCX	T. Rowe	Purchase	09/09/2022	\$15,001	n/a

#	Owner	Ticker	Price Capital Asset Name Appreciation Fund	Transaction Type	Transaction Date	- \$50,000 Amount	Comments
15	Self	RPIFX	T. Rowe Price Institutional Floating Rate Fund	Sale (Partial)	09/09/2022	\$1,001 - \$15,000	n/a
16	Self	PRIPX	T. Rowe Price Inflation Protected Bond Fund Inc	Sale (Full)	09/09/2022	\$1,001 - \$15,000	n/a
17	Self	--	PRSNX	Sale (Full)	09/09/2022	\$15,001 - \$50,000	n/a
18	Self	NFLT	Virtus Newfleet Multi-Sector Bond ETF	Purchase	09/09/2022	\$1,001 - \$15,000	n/a
19	Self	CMGIX	Blackrock Funds Mid- Cap Growth Equity Portfolio	Sale (Full)	08/02/2022	\$1,001 - \$15,000	n/a
20	Self	PRSVX	T. Rowe Price Small- Cap Value Fd Inc.	Sale (Full)	08/02/2022	\$1,001 - \$15,000	n/a
21	Self	FXAIX	Fidelity 500 Index Fund	Purchase	08/02/2022	\$100,001 - \$250,000	n/a
22	Self	JUESX	Jpmorgan U.S. Equity	Sale (Full)	08/02/2022	\$50,001 -	n/a

				Fund I	Transaction	Transaction	\$100,000	
#	Owner	Ticker	Class Asset Name	Type	Date	Amount	Comments	
23	Self	PRWCX	T. Rowe Price Capital Appreciation Fund	Sale (Partial)	08/02/2022	\$1,001 - \$15,000	n/a	
24	Self	TRLUX	T. Rowe Price Large- Cap Value Fund	Sale (Full)	08/02/2022	\$50,001 - \$100,000	n/a	
25	Self	WMFFX	Washington Mutual Investors Fund CI F-2 Shs	Purchase	08/02/2022	\$1,001 - \$15,000	n/a	
26	Self	WMFFX	Washington Mutual Investors Fund CI F-2 Shs	Purchase	06/16/2022	\$1,001 - \$15,000	n/a	
27	Self	PIMSX	Virtus Newfleet Multi-Sector Short Term Bond Fun	Sale (Partial)	05/02/2022	\$1,001 - \$15,000	n/a	
28	Self	CMGIX	Blackrock Funds Mid- Cap Growth Equity Portfolio	Purchase	04/29/2022	\$15,001 - \$50,000	n/a	
29	Self	CAIFX	Capital Income Builder CI F- 2 Shs	Sale (Partial)	04/29/2022	\$1,001 - \$15,000	n/a	
30	Self	PRVIX	T. Rowe	Purchase	04/29/2022	\$15,001	n/a	

#	Owner	Ticker	Price Small-Cap Value Fund Name	Transaction Type	Transaction Date	Amount	Comments
			Class				
31	Self	FPNIX	Fpa New Income	Sale (Partial)	04/29/2022	\$1,001 - \$15,000	n/a
32	Self	IGFFX	International Growth and Income Fd CI F-2 Shs	Purchase	04/29/2022	\$15,001 - \$50,000	n/a
33	Self	JUESX	Jpmorgan U.S. Equity Fund I Class	Purchase	04/29/2022	\$1,001 - \$15,000	n/a
34	Self	MGRAX	MFS Series Trust X-MFS International Growth Fun	Purchase	04/29/2022	\$1,001 - \$15,000	n/a
35	Self	RAIIX	Rainier International Discovery Series - Class I	Sale (Partial)	04/29/2022	\$15,001 - \$50,000	n/a
36	Self	PRWCX	T. Rowe Price Capital Appreciation Fund	Sale (Partial)	04/29/2022	\$1,001 - \$15,000	n/a
37	Self	RPIFX	T. Rowe Price Institutional Floating Rate Fund	Sale (Partial)	04/29/2022	\$1,001 - \$15,000	n/a
38	Self	TRVLX	T. Rowe Price Value	Purchase	04/29/2022	\$1,001 - \$15,000	n/a

Fund Inc.				Transaction	Transaction	Amount	Comments
#	Owner	Ticker	Asset Name	Type	Date		
39	Self	PRIPX	T. Rowe Price Inflation Protected Bond Fund Inc	Sale (Partial)	04/29/2022	\$1,001 - \$15,000	n/a
40	Self	VSMAX	Vanguard Small Cap Index Fd Admiral Shs	Purchase	04/29/2022	\$1,001 - \$15,000	n/a
41	Self	VFIAX	Vanguard 500 Index Fd Admiral Shs	Sale (Partial)	04/29/2022	\$1,001 - \$15,000	n/a
42	Self	WMFFX	Washington Mutual Investors Fund CI F-2 Shs	Sale (Full)	04/29/2022	\$1,001 - \$15,000	n/a
43	Self	FXAIX	Fidelity 500 Index Fund	Sale (Full)	09/09/2022	\$100,001 - \$250,000	n/a
44	Self	TRVLX	T. Rowe Price Value Fund Inc.	Sale (Full)	08/02/2023	\$50,001 - \$100,000	n/a

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **No**

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 2004 to present	Officer	Arp & Hammond Hardware Co. Cheyenne, WY	Corporation	n/a
2	May 2019 to present	Trustee	Doran A. Lummis Revokable Trust Cheyenne, WY	Trust	Unpaid position.

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Nov 1985	Wyoming Retirement System Cheyenne, WY	Continuing participation in an employee benefit plan	Receive pension payments from Wyoming Retirement System for which I am eligible because of my prior state government employment.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.