



Return to the search tab to select another report.

Annual Report for Calendar 2022

The Honorable John Hoeven (Hoeven, John)

 Filed 05/15/2023 @ 3:14 PM

 Print Report

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance?

No

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than

\$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	First Western Bank & Trust (Minot, North Dakota) <i>Type:</i> Checking, Savings,	Bank Deposit	Self	\$5,000,001 - \$25,000,000	Interest,	\$1 \$5
2	First Western Bank & Trust (Minot, North Dakota) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$15,001 - \$50,000	Interest,	No les \$2
3	Stifel (Bismarck, North Dakota) <i>Type:</i> Money Market Account,	Bank Deposit	Self	\$100,001 - \$250,000	Interest,	\$2 \$1
4	BFIGX - American Funds Inflation Linked Bond Fund - Clas	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Dividends,	\$5 \$1
5	BREIX - Baron Real Estate Fd Insti Shs	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$1 \$2
6	FCNTX-Fidelity Contrafund (NASDAQ)	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Dividends, Capital Gains,	\$1 \$5
7	SGIIX-First Eagle Global I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$5 \$1
8	FLMVX - JPMorgan Mid Cap Value L	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Dividends, Capital Gains,	\$1 \$5
9	HFOIX - Janus	Mutual	Self	\$50,001 -	Dividends	\$5

9	HNDX - Henderson Global Equity Income Fund - I Sh	Mutual Funds Asset Type Mutual Fund	Self Owner	\$00,001 - \$100,000 Value	Dividends, Income Type	\$00,001 - \$100,000 Value
10	NINLX - Neuberger Berman Intrinsic Value Insti	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$200,001 - \$500,000
11	PRGTX - T. Rowe Price Global Technology Fd Inc	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$100,001 - \$250,000
12	PRNHX-T. Rowe Price New Horizons (NASDAQ)	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Dividends, Capital Gains,	\$100,001 - \$250,000
13	VLIIX - Value Line Capital Appreciation Fund Inc. Insti	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$50,001 - \$100,000
14	WTW - Weight Watchers International, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	No less than \$200,000
15	Westbrand, Inc. <i>Company:</i> Westbrand, Inc. (Minot, ND) <i>Description:</i> Bank Holding Company	Corporate Securities Non-Public Stock	Self	\$1,000,001 - \$5,000,000	Dividends,	\$1,000,001 - \$5,000,000
16	Tompson-Hoeven Partnership <i>Company:</i> Thompson-Hoeven Partnership (Bismarck, North Dakota) <i>Description:</i> Montana Farmland Rental	Business Entity General Partnership	Self			
16.1	Farmland <i>Description:</i> 720 acres of farmland (Stanford, MT)	Real Estate Unimproved Land	Self	\$50,001 - \$100,000	Rent/Royalties,	\$200,001 - \$500,000

	Asset	Asset Type	Owner	Value	Income Type	Income
17	First Western Bank & Trust IRA	Retirement Plans IRA	Spouse			
17.1	First Western Bank & Trust Collective Asset Fund (Minot, ND) <i>Type:</i> Money Market Account,	Bank Deposit	Spouse	None (or less than \$1,001)	None,	None less than \$2
17.2	DFREX - DFA Real Estate Securities Portfolio Institutional	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None less than \$2
17.3	JHQRX - JPMorgan Hedged Equity Fund Class R6	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None less than \$2
17.4	FSMAX - Fidelity Extended Market Index Fund	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None less than \$2
17.5	VFIAX - Vanguard 500 Index Fund Admiral Shares	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None,	None less than \$2
17.6	VTMGX - Vanguard Developed Markets Index Fund Admiral Shar	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None less than \$2
17.7	VEMAX - Vanguard Emerging Markets Stock Index Fund Admiral	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None,	None less than \$2
17.8	JMGIX - Jpmorgan Managed Income Fund L Class	Mutual Funds Mutual	Spouse	None (or less than \$1,001)	None,	None less than \$2

	Class Asset	Mutual Fund Type	Owner	\$1,001 Value	Income Type	\$2 Inc
18	First Western Bank & Trust IRA	Retirement Plans IRA	Self			
18.1	VSMAX - Vanguard Small Cap Index Adm (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	No les \$2
18.2	First Western Bank & Trust Collective Asset Fund (Minot, ND) <i>Type:</i> Money Market Account,	Bank Deposit	Self	None (or less than \$1,001)	None,	No les \$2
18.3	CSRSX - Cohen & Steers Realty Shares Fund Class L	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	No les \$2
18.4	JHQRX - JPMorgan Hedged Equity Fund Class R6	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	No les \$2
18.5	OIEJX - JPMorgan Equity Income Fund Class R6	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None,	No les \$2
18.6	TMPRX - Touchstone Mid Cap Fund Class R6	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	No les \$2
18.7	VTMGX - Vanguard Developed Markets Index Fund Admiral Shar	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	No les \$2
18.8	FIHBX - Federated Hawes Institutional Bk	Mutual Funds	Self	\$1,001 - \$15,000	None,	No les

	Hermes Institutional High Yield Bond Fund	Funds Mutual Fund	Owner	\$15,000 Value	Income Type	ies \$2
18.9	FBKWX - Fidelity Advisor Total Bond Fund Class Z	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	Nc les \$2
18.10	FIPDX - Fidelity Inflation-Protected Bond Index Fund	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	Nc les \$2
18.11	VBTLX - Vanguard Total Bond Market Index Fund Admiral Shar	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	Nc les \$2
18.12	JMGIX - Jpmorgan Managed Income Fund L Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	Nc les \$2
18.13	VEMAX - Vanguard Emerging Markets Stock Index Fund Admir	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	Nc les \$2
18.14	VIGAX - Vanguard Growth Index Fd Admiral Shs	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None,	Nc les \$2
18.15	FUMBX - Fidelity Short-Term Treasury Bond Index Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	Nc les \$2
19	John Hoeven Qualified Blind Trust	Trust Blind	Self	\$5,000,001 - \$25,000,000	Qualified Blind Trust,	\$1 - \$5
20	Hoeven Family, LLLP	Business	Self			

	Company: Hoeven Family, LLLP (Bismarck, ND) <i>Description:</i> Holding company	Entity Asset Type Limited Liability Partnership (LLLLP)	Owner	Value	Income Type	Inc
20.1	Westbrand, Inc. <i>Company:</i> Westbrand, Inc. (Minot, ND) <i>Description:</i> Bank Holding Company	Corporate Securities Non-Public Stock	Self	\$5,000,001 - \$25,000,000	Dividends,	\$5 \$1
21	John H. Hoeven, III 2021 Irrevocable Spousal Lifetime Access Trust	Trust General Trust	Spouse			
21.1	Hoeven Family, LLLP <i>Company:</i> Hoeven Family, LLLP (Bismarck, ND) <i>Description:</i> Holding company	Business Entity Limited Liability Partnership (LLLLP)	Spouse			
21.1.1	Westbrand, Inc. <i>Company:</i> Westbrand, Inc. (Minot, ND) <i>Description:</i> Bank Holding Company	Corporate Securities Non-Public Stock	Spouse	\$5,000,001 - \$25,000,000	Dividends,	\$5 \$1

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Self	FUMBX	Fidelity Short-Term Treasury Bond Index Fund	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
2	Self	TRBCX	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	03/02/2022	\$50,001 - \$100,000	n/a
3	Self	VTMGX	Vanguard Developed Markets Index Admiral	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
4	Self	CSRSX	Cohen & Steers Realty Shares Inc.	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
5	Self	TMPRX	Touchstone Mid Cap Fund Class R6	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
6	Self	VEMAX	Vanguard Emerging Markets Stock Index Fund Admir	Purchase	03/02/2022	\$15,001 - \$50,000	n/a
7	Self	RPIFX	T. Rowe Price Institutional Floating Rate Fund	Purchase	03/02/2022	\$1,001 - \$15,000	n/a

8 #	Self Owner	JHQRX Ticker	Jpmorgan Asset Hedged Name Equity Fund - R6	Purchase Transaction Type	03/02/2022 Transaction Date	\$15,001 Amount \$50,000	n/a Comments
9	Self	OIEJX	Jpmorgan Equity Income Fund R6	Purchase	03/02/2022	\$50,001 - \$100,000	n/a
10	Self	VSMAX	Vanguard Small Cap Index Fd Admiral Shs	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
11	Self	JMGIX	Jpmorgan Managed Income Fund L Class	Purchase	07/26/2022	\$1,001 - \$15,000	n/a
12	Self	TRBCX	T. Rowe Price Blue Chip Growth Fund Inc.	Purchase	08/04/2022	\$15,001 - \$50,000	n/a
13	Self	FBKWX	Fidelity Advisor Total Bond Fund Class Z	Purchase	08/04/2022	\$1,001 - \$15,000	n/a
14	Self	CSRSX	Cohen & Steers Realty Shares Inc.	Purchase	08/04/2022	\$1,001 - \$15,000	n/a
15	Self	FIPDX	Fidelity Inflation- Protected Bond Index Fund	Purchase	08/04/2022	\$1,001 - \$15,000	n/a

16 #	Self Owner	FUMBX Ticker	Asset Fidelity Name Short-Term Treasury Bond Index Fund	Transaction Purchase Type	Transaction 08/04/2022 Date	\$1,001 - Amount \$15,000	n/a Comments
17	Self	TMPRX	Touchstone Mid Cap Fund Class R6	Purchase	08/04/2022	\$1,001 - \$15,000	n/a
18	Self	VEMAX	Vanguard Emerging Markets Stock Index Fd Admiral	Purchase	08/04/2022	\$1,001 - \$15,000	n/a
19	Self	JHQRX	Jpmorgan Hedged Equity Fund - R6	Purchase	08/04/2022	\$1,001 - \$15,000	n/a
20	Self	OIEJX	Jpmorgan Equity Income Fund R6	Purchase	08/04/2022	\$15,001 - \$50,000	n/a
21	Self	VBTLX	Vanguard Total Bond Market Index Fund Admiral Sh	Purchase	08/04/2022	\$15,001 - \$50,000	n/a
22	Self	VSMAX	Vanguard Small Cap Index Fd Admiral Shs	Purchase	08/04/2022	\$1,001 - \$15,000	n/a
23	Self	JMGIX	Jpmorgan Managed Income Fund L	Purchase	08/04/2022	\$1,001 - \$15,000	n/a

			Class Asset	Transaction	Transaction		
#	Owner	Ticker	Name	Type	Date	Amount	Comments
24	Self	VIGAX	Vanguard Growth Index Fd Admiral Shs	Purchase	11/25/2022	\$1,001 - \$15,000	n/a
25	Self	VIGAX	Vanguard Growth Index Fd Admiral Shs	Purchase	11/29/2022	\$100,001 - \$250,000	n/a
26	Self	FBKWX	Fidelity Advisor Total Bond Fund Class Z	Sale (Partial)	03/02/2022	\$1,001 - \$15,000	n/a
27	Self	FIHBX	Federated Institutional High Yield Bond Fund Ins	Sale (Partial)	03/02/2022	\$1,001 - \$15,000	n/a
28	Self	ODVYX	Invesco Developing Markets Fund Class Y	Sale (Full)	03/02/2022	\$15,001 - \$50,000	n/a
29	Self	HGIYX	Hartford Core Equity Fund Class Y	Sale (Full)	03/02/2022	\$100,001 - \$250,000	n/a
30	Self	VBTLX	Vanguard Total Bond Market Index Fund Admiral Sh	Sale (Partial)	03/02/2022	\$1,001 - \$15,000	n/a
31	Self	VTMGX	Vanguard	Sale	07/26/2022	\$1,001 -	n/a

#	Owner	Ticker	Developed Asset Markets Name Index	(Partial) Transaction Type	Transaction Date	\$15,000 Amount	Comments
			Admiral				
32	Self	FIHBX	Federated Institutional High Yield Bond Fund Ins	Sale (Partial)	08/04/2022	\$1,001 - \$15,000	n/a
33	Self	RPIFX	T. Rowe Price Institutional Floating Rate Fund	Sale (Full)	08/04/2022	\$1,001 - \$15,000	n/a
34	Self	TRBCX	T. Rowe Price Blue Chip Growth Fund Inc.	Sale (Full)	11/25/2022	\$100,001 - \$250,000	n/a
35	Spouse	FSMAX	Fidelity Extended Market Index Fund	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
36	Spouse	JHQRX	Jpmorgan Hedged Equity Fund - R6	Purchase	03/02/2022	\$1,001 - \$15,000	n/a
37	Spouse	VMNVX	Vanguard Global Minimum Volatility Fund Admiral	Sale (Full)	03/02/2022	\$1,001 - \$15,000	n/a
38	Spouse	VFIAX	Vanguard 500 Index Fd Admiral Shs	Sale (Partial)	03/02/2022	\$1,001 - \$15,000	n/a

	39 #	Self Owner	VMNVX Ticker	Asset Vanguard Name Global	Transaction Sale (Full) Type	Transaction 03/01/2022 Date	\$15,001 Amount	n/a Comments
				Minimum Volatility Fund Admiral			\$50,000	

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **No**

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 1979 to present	Partner	Tompson-Hoeven Partnership Bismarck, North Dakota	Partnership	n/a
2	Oct 1984 to present	Other (member of board of directors)	First Western Bank & Trust Minot, North Dakota	Other (Bank)	n/a
3	Nov 2021 to present	Partner	Hoeven Family, LLLP Bismarck, ND	Partnership	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

Only required if you are a candidate or this is your first report Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

