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Annual Report for Calendar 2022

The Honorable Maria Cantwell (Cantwell, Maria)

Filed 05/15/2023 @ 9:20 AM

Print Report

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
 - I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
-
- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance?

No

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than

\$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	RNWK-RealNetworks Inc. (NASDAQ)	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
2	US Bank (St Paul, MN) <i>Type: Checking,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
3	Cantwell 2000 Campaign Loan <i>Company: Cantwell 2000 Campaign (Seattle, WA) Filer comment: Loan to Senate Campaign</i>	Accounts Receivable From a Business	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
4	RealNetworks - 401(k) Retirement	Retirement Plans Defined Contribution Pension Plan	Self			
4.1	Vanguard Retirement Savings Trust <i>Filer comment: RealNetworks 401(k) Retirement</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
4.2	VWNFX-Vanguard Windsor II Inv (NASDAQ) <i>Filer comment: RealNetworks 401(k) Retirement</i>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
4.3	AVPAX - American Beacon Small Cp Val Inv (NASDAQ) <i>Filer comment: RealNetworks 401(k) Retirement</i>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000

4.4	VBMFX - Vanguard Total Bond Market Index Inv (NASDAQ) <i>Filer comment: RealNetworks 401(k) Retirement</i>	Mutual Asset Type Funds Mutual Fund	Self Owner	\$1,001 - \$15,000	Income Excepted Type Investment Fund,	None Income (or less than \$201)
4.5	HACAX-Harbor Capital Appreciation Instl (NASDAQ) <i>Filer comment: RealNetworks 401(k) Retirement</i>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	None (or less than \$201)
4.6	CCASX-Conestoga Small Cap Investors <i>Filer comment: RealNetworks 401(k) Retirement</i>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
4.7	MDITX - MFS International Diversification Fund Class R4 <i>Filer comment: RealNetworks 401(k) Retirement</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
5	US Bancorp Investments (St Paul, MN) <i>Type: Money Market Account, Filer comment: Money Market Fund.</i>	Bank Deposit	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	12/28/2022	Self	RNWK	RealNetworks, Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **No**

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2006	Self	Home Equity Line of Credit	-	Prime+0.75% (25)	\$15,001 -\$50,000	US Bank St Paul, MN	WA personal residence (Rate is Prime+0.75%)
2	2010	Self	Revolving Charge	-	14.99% (on demand)	\$15,001 -\$50,000	US Bank St Paul, MN	Credit Card
3	2018	Self	Mortgage	0.25	4.25% (20)	\$250,001 -\$500,000	US Bank St. Paul, MN	DC Condo Mortgage

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Jan 1997	RealNetworks, Inc. Seattle, WA	Continuing participation in an employee benefit plan	401(k) assets are in an account maintained by a former employer (Real Networks, Inc.). All assets are 100% vested. No contributions may be made by the former employer.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

