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## Annual Report for Calendar 2022

The Honorable A. Mitchell McConnell Jr. (McConnell, A. Mitchell Jr.)

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 Print Report

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☐ I *omitted* assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance?

**No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

Who  
Was

Amount

#	Paid Who	Type	Who Paid	Amount Paid	Comments
1	Self	Other (Required Minimum Distribution and Withdrawal)	Mitch IRA MLP Louisville, Kentucky	\$18,563.44	n/a
2	Spouse	Board Compensation	The Kroger Company Cincinnati, Ohio	> \$1,000	n/a
3	Spouse	Board Compensation	Delta Airlines Atlanta, Georgia	> \$1,000	Taxable benefit as a former board member.
4	Spouse	Other (Board Advisor Compensation)	NFI Interactive Logistics LLC Camden, New Jersey	> \$1,000	n/a
5	Spouse	Board Compensation	Embark Technology, Inc. (Embark Trucks, Inc.) San Francisco, California	> \$1,000	n/a
6	Spouse	Board Compensation	Phantom Auto, Inc. South San Francisco, California	> \$1,000	Grant of stock options for board service. The options are vesting; no option has been exercised.
7	Spouse	Board Compensation	Hyllion, Inc. Cedar Park, Texas	> \$1,000	n/a
8	Spouse	Board	Chariot	> \$1,000	Grant of incentive units for board

#	Who Was Paid	Compensation Type	Entity	Amount Paid	Comments
	Spouse	Board Compensation	Chambers Parent, LLC d/b/a Chambers Oak Brook, Illinois	> \$1,000	Grant of incentive units for board service. The units are vesting; no units are vested in 2022.
9	Spouse	Board Compensation	ChargePoint, Inc. Campbell, California	> \$1,000	n/a
10	Spouse	Other (Board Advisor Compensation)	Dexterity, Inc. Redwood City, California	> \$1,000	Grant of stock options for board advisor service. The options are vesting; no option has been exercised.
11	Spouse	Other (Board of Managers Compensation)	Phoenix Tower U.S. Holdings, L.P. Boca Raton, Florida	> \$1,000	Grant of Restricted Equity Units (RSU) for Board of Managers service. The RSUs are vesting; no RSUs are vested in 2022.

### Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	<b>Republic Bank and Trust</b> (Louisville, KY) Type: Checking,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	Non less \$20
2	<b>MNNAX - Victory Munder Multi-Cap A</b>	Trust General Trust	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,0 \$15,

3	<b>VHCAX-Vanguard Capital Opportunity Adm (NASDAQ)</b>	Trust Asset Type General Trust	Self Owner	\$250,001 - Value \$500,000	Excepted Income Type Investment Fund,	\$15,000 - Income \$50,000
4	<b>VSIAX-Vanguard Small Cap Value Index Admiral (NASDAQ)</b>	Trust General Trust	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$5,000 - \$15,000
5	<b>VFIAX-Vanguard 500 Index Admiral (NASDAQ)</b>	Trust General Trust	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$5,000 - \$15,000
6	<b>Mitch IRA MLPA</b>	Retirement Plans IRA	Self			
6.1	<b>Bank of America</b> (Louisville, Kentucky) <i>Type:</i> IRA Cash Accounts,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	Non less \$20,000
6.2	<b>SCPB-SPDR Barclays Short Term Corp Bd ETF (NYSEArca)</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20,000
6.3	<b>IWM-iShares Russell 2000</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20,000
6.4	<b><a href="#">SPY</a> - SPDR S&amp;P 500 ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	Excepted Investment Fund,	Non less \$20,000
6.5	<b>VO-Vanguard Mid-Cap ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20,000
7	<b>Vanguard 529 College Savings Plan</b> <i>Institution:</i> Vanguard	Education Savings Plans 529 College Savings Plan	Self			
7.1	<b>Vanguard Target</b>	Mutual Funds	Self	\$100,001 -	None,	Non

	Enrollment Asset 2032/2033 Portfolio	Mutual Fund Asset Type	Owner	\$250,000 Value	Income Type	less Inco \$20
8	<b>Vanguard 529 College Savings Plan</b> <i>Institution: Vanguard</i>	Education Savings Plans 529 College Savings Plan	Self			
8.1	<b>Vanguard Target Enrollment 2032/2033 Portfolio</b>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None,	Non less \$20
9	<b>VMMXX-Vanguard Money Market Reserves (NASDAQ)</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,0 \$15,
10	<b><a href="#">VO</a> - Vanguard Mid-Cap ETF</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,0 \$2,5
11	<b><a href="#">VB</a> - Vanguard Small-Cap ETF</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,0 \$2,5
12	<b><a href="#">VEU</a> - Vanguard FTSE All World Ex US ETF</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$50, \$100
13	<b><a href="#">VOO</a> - Vanguard S&amp;P 500 ETF</b>	Mutual Funds Mutual Fund	Joint	\$5,000,001 - \$25,000,000	Excepted Investment Fund,	\$100 \$1,0
14	<b>Real Property with Carriage House Rental</b> <i>Description: Real Property with Carriage House Rental (Washington, DC)</i>	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	Rent/Royalties,	\$15, \$50,
15	<b>UBS Pension Trust (9 items)</b>	Trust General Trust	Spouse			

	Asset	Asset Type	Owner	Value	Income Type	Inco
15.1	<b>UBS (uninvested cash held by UBS)</b> (Washington, DC) <i>Type: Checking,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	Non less \$20
15.2	<b>WGIFX-American Funds Capital World Gr&amp;Inc F2 (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20
15.3	<a href="#"><b>SDSCX</b></a> - BNY Mellon Small/Mid Cap Growth Fund Class I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	Non less \$20
15.4	<b>FEGIX-First Eagle Gold I (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	Non less \$20
15.5	<a href="#"><b>PEIIX</b></a> - Principal Fds Inc. Equity Income Fd Insti	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	Non less \$20
15.6	<b>GFFFX-American Funds Growth Fund of Amer F2 (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	Non less \$20
15.7	<b>DPFFX-Delaware Diversified Income Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20
15.8	<b>TGBAX-Templeton Global Bond Adv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	Non less \$20
15.9	<a href="#"><b>PGDPX</b></a> - Principal Global Div Inc P (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20
16	<b>(Heritage Foundation 403(b))</b> <b>VERAY Vanguard</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20

	<b>VFIAX-Vanguard 500 Index Admiral</b>	Asset Type	Owner	Value	Fund, Income Type	\$20 Inco
17	<b>(Heritage Foundation 403(b)) PREIX-T. Rowe Price Equity Index 500 (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	Non less \$20
18	<b>(Heritage Foundation 403(b)) VTSAX - Vanguard Total Stock Market Index Fund Admiral Sha</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	Non less \$20
19	<b>(Heritage Foundation Retirement) SWGXX - Schwab Government Money Fund</b>	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund,	Non less \$20
20	<b>(Heritage Foundation Retirement) ARTKX-Artisan International Value Investor</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20
21	<b>(Heritage Foundation Retirement) BARAX-Baron Asset Retail</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20
22	<b>(Heritage Foundation Retirement) FAIRX- Fairholme</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20
23	<b>(Heritage Foundation Retirement) LSBDX- Loomis Savles</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	Non less \$20

Earnings by Asset						
	Bond Instl Asset	Asset Type	Owner	Value	Income Type	Income
24	(Heritage Foundation Retirement) OAKMX-Oakmark I	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20
25	(Heritage Foundation Retirement) RSEIX-Royce Special Equity Instl	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	Non less \$20
26	(Heritage Foundation Retirement) SLASX-Selected American Shares S	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20
27	(Heritage Foundation Retirement) PRMSX-T. Rowe Price Emerging Markets Stock	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	Non less \$20
28	(Heritage Foundation Retirement) VGSLX-Vanguard REIT Index Adm	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	Non less \$20
29	(Heritage Foundation Retirement) WIIBX - Segall Bryant & Hamill Plus Bond Fund Institutional	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	Non less \$20
30	DWS Capital Growth VIP (Variable Interest Portfolio) Fund	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	Non less \$20



31	<b>Truist Solid Choice Banking</b> (Washington, DC) <i>Type:</i> Checking,	Bank Deposit Asset Type	Spouse Owner	\$1,001 - \$15,000 Value	Interest, Income Type	Non less \$20
32	<b>Truist Advantage Money Market Account</b> (Washington, DC) <i>Type:</i> Money Market Account,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	Non less \$20
33	<b>Truist Business Checking (Firebird International LLC)</b> (Washington, DC) <i>Type:</i> Checking, <i>Filer comment:</i> Firebird International LLC is a single member LLC and this entity does not have any value other than the cumulative value of its individual assets.	Bank Deposit	Spouse	\$50,001 - \$100,000	None,	Non less \$20
34	<b>Truist Business Money Market Performance (Firebird International LLC)</b> (Washington, DC) <i>Type:</i> Money Market Account, <i>Filer comment:</i> Firebird International LLC is a single member LLC and this entity does not have any value other than the cumulative value of its individual assets.	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	Non less \$20

35	<b>Trust Personal Savings Account</b> (Washington, DC) <i>Type: Savings,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	Non less \$20
36	<b>UBS IRA (7 items)</b>	Retirement Plans IRA	Spouse			
36.1	<b>WGIFX-American Funds Capital World Gr&amp;Inc F2 (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	Non less \$20
36.2	<b>FEGIX-First Eagle Gold I (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20
36.3	<b>PEQPX-Principal Equity Income P (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	Non less \$20
36.4	<b>RSPYX-RS Partners Y (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	Non less \$20
36.5	<b>GFFFX-American Funds Growth Fund of Amer F2 (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	Non less \$20
36.6	<b>DPFFX-Delaware Diversified Income Instl (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	Non less \$20
36.7	<b>TGBAX-Templeton Global Bond Adv (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$20
37	<b><a href="#">TBCIX</a> - T. Rowe Price Blue Chip Growth Fund - I Class</b> <i>Filer comment: See Item 1, TBCIX T</i>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15, \$50,

	Previously IRBCX-I. Rowe Price Blue Chip Growth; non-taxable conversion on March 8, 2022.	Asset Type	Owner	Value	Income Type	Inco
38	<a href="#">PRIUX</a> - T. Rowe Price International Stock Fund - I Class <i>Filer comment:</i> Previously PRITX-T. Rowe Price International Stock Fund; non-taxable conversion on March 8, 2022.	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,0 \$2,5
39	<a href="#">RPTIX</a> - T. Rowe Price Mid-Cap Growth Fund - I Class <i>Filer comment:</i> Previously RPMGX-T. Rowe Price Mid-Cap Growth; non-taxable conversion on March 8, 2022.	Mutual Funds Mutual Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15, \$50,
40	<a href="#">TSNIX</a> - T. Rowe Price Science & Technology Fund - I Clas <i>Filer comment:</i> Previously PRSCX-T. Rowe Price Science & Tech; non-taxable conversion on March 8, 2022.	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,5 \$5,0
41	<a href="#">OTIIX</a> - T. Rowe Price Small-Cap Stock Fund - I Class <i>Filer comment:</i> Previously OTCFX-T. Rowe Price Small-	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,0 \$15,

	Cap Stock; non-Asset taxable conversion on March 8, 2022.	Asset Type	Owner	Value	Income Type	Inco
42	<b>Vanguard IRA Account</b>	Retirement Plans IRA	Spouse			
42.1	<b>VMFXX - Vanguard Federal Money Market Fund</b>	Mutual Funds Mutual Fund	Spouse	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15, \$50,
42.2	<a href="#">VO</a> - Vanguard Mid-Cap ETF	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,0 \$2,5
42.3	<a href="#">VB</a> - Vanguard Small-Cap ETF	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,0 \$2,5
43	<b>Elaine L Chao Revocable Trust</b>	Trust General Trust	Spouse			
43.1	<b>VGIAX-Vanguard Growth &amp; Income Adm (NASDAQ)</b>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15, \$50,
43.2	<b>Vanguard Federal Money Market Fund</b>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,0 \$15,
43.3	<a href="#">VOO</a> - Vanguard S&P 500 ETF	Mutual Funds Mutual Fund	Spouse	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$50, \$100
43.4	<a href="#">VEU</a> - Vanguard FTSE All World Ex US ETF	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,5 \$5,0
44	<b>UBS PSP</b>	Trust General Trust	Spouse			
44.1	<b>UBS (uninvested cash held by UBS)</b> (Washington, D.C.)	Bank Deposit	Spouse	None (or less than \$1,000)	None,	Non less \$20,

	(Washington, D.C., Type: Checking, Asset	Asset Type	Owner	\$1,001 - Value	Income Type	\$250,001 - Income
44.2	<b>JHIGX - JHancock International Growth R2</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	Non less \$200,001 -
44.3	<b>JDVPX - JHancock Disciplined Value R2</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	Non less \$200,001 -
45	<b>WFC-Wells Fargo &amp; Company (NYSE)</b>	Corporate Securities Stock	Spouse	\$1,000,001 - \$5,000,000	Dividends,	\$15,001 - \$50,000
46	<b>Fidelity Kroger Stock Account</b>	Brokerage/Managed Account	Spouse			
46.1	<b><a href="#">KR</a> - Kroger Company (The) Common Stock</b> <i>Filer comment:</i> Received as Director Compensation.	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
46.2	<b>Fidelity Cash Account</b> (Cincinnati, Ohio) <i>Type:</i> Brokerage Sweep Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	Non less \$200,001 -
46.3	<b>Kroger Restricted Stock Units</b> <i>Description:</i> Kroger Company (Cincinnati, Ohio) <i>Filer comment:</i> Received as Director; RSUs vest at time of grant; conversion to common stock after retirement.	Other Securities	Spouse	\$100,001 - \$250,000	None,	Non less \$200,001 -
47	<b>Fidelity Embark Account</b>	Brokerage/Managed Account	Spouse			

47.1	<a href="#">EMBK</a> - Embark Technology, Inc. - Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	Non less \$20
47.2	<b>Embark Technology Restricted Stock Units</b> <i>Description:</i> Embark Technology, Inc. (San Francisco, California) <i>Filer comment:</i> Unvested RSUs - considered to have no value until vested.	Other Securities	Spouse	None (or less than \$1,001)	None,	Non less \$20
47.3	<b>Embark Technology Options</b> <i>Description:</i> Embark Technology, Inc. (San Francisco, California) <i>Filer comment:</i> Awarded options to purchase stock; the option price exceeds the market value, so considered no value	Other Securities	Spouse	None (or less than \$1,001)	None,	Non less \$20
48	<b>UBS Hyliion Account</b>	Brokerage/Managed Account	Spouse			
48.1	<a href="#">HYLN</a> - Hyliion Holdings Corp. Class A Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	Non less \$20
48.2	<b>Hyliion Restricted Stock Units</b> <i>Description:</i> Hyliion, Inc. (Cedar Park, Texas) <i>Filer comment:</i> Unvested RSUs and	Other Securities	Spouse	None (or less than \$1,001)	None,	Non less \$20

	considered no value Asset until vested.	Asset Type	Owner	Value	Income Type	Inco
49	<b>E-Trade ChargePoint Account</b>	Brokerage/Managed Account	Spouse			
49.1	<a href="#">CHPT</a> - <b>ChargePoint Holdings, Inc. Common Stock</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	Non less \$20
49.2	<b>ChargePoint Holdings RSUs</b> <i>Description:</i> ChargePoint, Inc. (Campbell, California) <i>Filer</i> <i>comment:</i> Unvested RSUs, considered no value until vesting.	Other Securities	Spouse	None (or less than \$1,001)	None,	Non less \$20

## Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

	Transaction #	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
	1	03/03/2022	Spouse	WFC	Wells Fargo & Company Common Stock	Purchase	\$1,001 - \$15,000	Dividend Reinvestment
	2	06/03/2022	Spouse	WFC	Wells Fargo & Company Common Stock	Purchase	\$1,001 - \$15,000	Dividend Reinvestment
	3	09/06/2022	Spouse	WFC	Wells Fargo & Company Common Stock	Purchase	\$1,001 - \$15,000	Dividend Reinvestment
	4	11/29/2022	Spouse	KR	Kroger Company (The) Common Stock	Purchase	\$1,001 - \$15,000	Dividend Reinvestment
	5	12/05/2022	Spouse	WFC	Wells Fargo & Company Common Stock	Purchase	\$1,001 - \$15,000	Dividend Reinvestment

## Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

	#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
	1	Self	<a href="#">MNNAX</a>	Victory Munder Multi-Cap Fund Class A	Purchase	12/14/2022	\$1,001 - \$15,000	Capital Gain Reinvestment
	2	Self	<a href="#">VHCAX</a>	Vanguard Capital Opportunity Fund	Purchase	12/19/2022	\$1,001 - \$15,000	Dividend Reinvestment



#	Owner	Ticker	Admiral Shares Asset Name	Transaction Type	Transaction Date	Amount	Comment
3	Self	<a href="#">VHCAX</a>	Vanguard Capital Opportunity Fund Admiral Shares	Purchase	12/19/2022	\$15,001 - \$50,000	Capital Gain Reinvestme
4	Self	<a href="#">VSIAX</a>	Vanguard Small-Cap Value Index Fd Admiral	Purchase	03/22/2022	\$1,001 - \$15,000	Dividend Reinvestme
5	Self	<a href="#">VSIAX</a>	Vanguard Small-Cap Value Index Fd Admiral	Purchase	06/22/2022	\$1,001 - \$15,000	Dividend Reinvestme
6	Self	<a href="#">VSIAX</a>	Vanguard Small-Cap Value Index Fd Admiral	Purchase	09/22/2022	\$1,001 - \$15,000	Dividend Reinvestme
7	Self	<a href="#">VSIAX</a>	Vanguard Small-Cap Value Index Fd Admiral	Purchase	12/21/2022	\$1,001 - \$15,000	Dividend Reinvestme
8	Self	<a href="#">VFIAX</a>	Vanguard 500 Index Fd Admiral Shs	Purchase	03/23/2022	\$1,001 - \$15,000	Dividend Reinvestme
9	Self	<a href="#">VFIAX</a>	Vanguard 500 Index Fd Admiral Shs	Purchase	06/28/2022	\$1,001 - \$15,000	Dividend Reinvestme
10	Self	<a href="#">VFIAX</a>	Vanguard 500 Index Fd Admiral Shs	Purchase	09/27/2022	\$1,001 - \$15,000	Dividend Reinvestme
11	Self	<a href="#">VFIAX</a>	Vanguard 500 Index Fd	Purchase	12/19/2022	\$1,001 - \$15,000	Dividend Reinvestme

			Admiral Shs	Transaction	Transaction		
#	Owner	Ticker	Asset Name	Type	Date	Amount	Comment
12	Self	<a href="#">VCSH</a>	Vanguard	Sale	07/14/2022	\$1,001 -	n/a
			Short-Term Corporate Bond ETF	(Partial)		\$15,000	
13	Self	<a href="#">VCSH</a>	Vanguard Short-Term Corporate Bond ETF	Sale (Full)	09/26/2022	\$1,001 - \$15,000	n/a
14	Joint	<a href="#">VWIUX</a>	Vanguard Intermediate- Term Tax- Exempt Fd Admiral	Sale (Full)	02/09/2022	\$500,001 - \$1,000,000	n/a
15	Joint	<a href="#">VWLUX</a>	Vanguard Long-Term Tax-Exempt Fd Admiral Shs	Sale (Full)	02/09/2022	\$100,001 - \$250,000	n/a
16	Joint	<a href="#">VMLUX</a>	Vanguard Limited- Term Tax- Exempt Fd Admiral Shs	Sale (Full)	02/09/2022	\$250,001 - \$500,000	n/a
17	Joint	<a href="#">VEU</a>	Vanguard FTSE All World Ex US ETF	Purchase	02/09/2022	\$100,001 - \$250,000	n/a
18	Joint	<a href="#">VXF</a>	Vanguard Extended Market ETF	Sale (Full)	04/26/2022	\$250,001 - \$500,000	n/a
19	Joint	<a href="#">VXUS</a>	Vanguard Total International Stock ETF	Sale (Full)	04/26/2022	\$1,000,001 - \$5,000,000	n/a

20 #	Joint Owner	<a href="#">VO</a> Ticker	Vanguard Mid-Cap Asset Name ETF	Purchase Transaction Type	04/26/2022 Transaction Date	\$100,001 - \$250,000 Amount	n/a Comment
21	Joint	<a href="#">VB</a>	Vanguard Small-Cap ETF	Purchase	04/26/2022	\$100,001 - \$250,000	n/a
22	Joint	<a href="#">VEU</a>	Vanguard FTSE All World Ex US ETF	Purchase	04/26/2022	\$1,000,001 - \$5,000,000	n/a
23	Spouse	<a href="#">VMLUX</a>	Vanguard Limited- Term Tax- Exempt Fd Admiral Shs	Sale (Full)	02/09/2022	\$100,001 - \$250,000	n/a
24	Spouse	<a href="#">VWIUX</a>	Vanguard Intermediate- Term Tax- Exempt Fd Admiral	Sale (Full)	02/09/2022	\$100,001 - \$250,000	n/a
25	Spouse	<a href="#">VWLUX</a>	Vanguard Long-Term Tax-Exempt Fd Admiral Shs	Sale (Full)	02/09/2022	\$50,001 - \$100,000	n/a
26	Spouse	<a href="#">VXUS</a>	Vanguard Total International Stock ETF	Sale (Full)	04/26/2022	\$100,001 - \$250,000	n/a
27	Spouse	--	(Vanguard IRA) VFIDX - Vanguard Intermediate- Term Investment Grade Fund	Sale (Full)	02/09/2022	\$500,001 - \$1,000,000	n/a

28	Spouse	--	(Vanguard IRA) VFSUX Asset Name - Vanguard Short-Term Investment Grade Fund Admira	Sale (Full) Transaction Type	02/09/2022 Transaction Date	\$500,001 - \$1,000,000 Amount	n/a Comment
29	Spouse	--	(Vanguard IRA) BND - Vanguard Total Bond Market ETF	Sale (Full)	02/09/2022	\$1,000,001 - \$5,000,000	n/a
30	Spouse	--	(Vanguard IRA) BNDX - Vanguard Total International Bond ETF	Sale (Full)	02/09/2022	\$500,001 - \$1,000,000	n/a
31	Spouse	<a href="#">PRIUX</a>	T. Rowe Price International Stock Fund - I Class	Purchase	12/14/2022	\$1,001 - \$15,000	Dividend Reinvestme
32	Spouse	<a href="#">TSNIX</a>	T. Rowe Price Science & Technology Fund - I Clas	Purchase	12/15/2022	\$1,001 - \$15,000	Dividend Reinvestme
33	Spouse	<a href="#">RPTIX</a>	T. Rowe Price Mid- Cap Growth Fund - I Class	Purchase	12/13/2022	\$15,001 - \$50,000	Dividend Reinvestme
34	Spouse	<a href="#">OTIIX</a>	T. Rowe Price Small- Cap Stock Fund - I Class	Purchase	12/13/2022	\$1,001 - \$15,000	Dividend Reinvestme

35 #	Spouse Owner	<a href="#">TBCIX</a> Ticker	T. Rowe Asset Name Price Blue	Transaction Purchase Type	Transaction 12/13/2022 Date	\$15,001 - Amount \$50,000	Dividend Comment Reinvestme
			Chip Growth Fund - I Class				
36	Spouse	--	(Heritage Foundation Retirement) SLASX - Selected American Shares Inc. Class S	Purchase	06/28/2022	\$1,001 - \$15,000	Dividend Reinvestme
37	Spouse	--	(Heritage Foundation Retirement) SLASX - Selected American Shares Inc. Class S	Purchase	12/09/2022	\$1,001 - \$15,000	Dividend Reinvestme
38	Spouse	<a href="#">JDVPX</a>	John Hancock Funds III Disciplined Value Fd Cl R	Purchase	12/20/2022	\$1,001 - \$15,000	Dividend Reinvestme
39	Spouse	<a href="#">JHIGX</a>	John Hancock Funds III International Growth Fund	Purchase	12/20/2022	\$1,001 - \$15,000	Dividend Reinvestme
40	Spouse	<a href="#">PEIIX</a>	Principal Fds Inc. Equity Income Fd Insti	Purchase	12/14/2022	\$1,001 - \$15,000	Dividend Reinvestme
41	Spouse	<a href="#">GFFFX</a>	The Growth Fund of	Purchase	12/19/2022	\$1,001 - \$15,000	Dividend Reinvestme

#	Owner	Ticker	Fund or America Class Name Shares	Transaction Type	Transaction Date	\$15,000 Amount	Reinvestme Comment
42	Spouse	<a href="#">PEIIX</a>	Principal Fds Inc. Equity Income Fd Insti	Purchase	12/14/2022	\$1,001 - \$15,000	Dividend Reinvestme
43	Spouse	<a href="#">RSPYX</a>	Victory Rs Partners Fund - Class Y	Purchase	12/15/2022	\$1,001 - \$15,000	Dividend Reinvestme
44	Spouse	<a href="#">GFFFX</a>	The Growth Fund of America Class F-2 Shares	Purchase	12/19/2022	\$1,001 - \$15,000	Dividend Reinvestme
45	Joint	<a href="#">VEU</a>	Vanguard FTSE All World Ex US ETF	Purchase	12/22/2022	\$15,001 - \$50,000	Dividend Reinvestme
46	Joint	<a href="#">VOO</a>	Vanguard S&P 500 ETF	Purchase	12/23/2022	\$15,001 - \$50,000	Dividend Reinvestme
47	Joint	<a href="#">VOO</a>	Vanguard S&P 500 ETF	Purchase	10/03/2022	\$15,001 - \$50,000	Dividend Reinvestme
48	Joint	<a href="#">VEU</a>	Vanguard FTSE All World Ex US ETF	Purchase	09/22/2022	\$1,001 - \$15,000	Dividend Reinvestme
49	Joint	<a href="#">VOO</a>	Vanguard S&P 500 ETF	Purchase	07/05/2022	\$15,001 - \$50,000	Dividend Reinvestme
50	Joint	<a href="#">VEU</a>	Vanguard FTSE All	Purchase	06/24/2022	\$15,001 - \$50,000	Dividend Reinvestme

#	Owner	Ticker	F I SE All World Ex US ETF Asset Name	Transaction Type	Transaction Date	\$50,000 Amount	Reinvestme Comment
51	Joint	<a href="#">VXUS</a>	Vanguard Total International Stock ETF	Purchase	03/24/2022	\$1,001 - \$15,000	Dividend Reinvestme
52	Joint	<a href="#">VWIUX</a>	Vanguard Intermediate- Term Tax- Exempt Fd Admiral	Purchase	01/31/2022	\$1,001 - \$15,000	Dividend Reinvestme
53	Spouse	<a href="#">VFIDX</a>	Vanguard Intermediate- Term Investment Grade Fund	Purchase	01/31/2022	\$1,001 - \$15,000	Dividend Reinvestme
54	Spouse	<a href="#">BND</a>	Vanguard Total Bond Market ETF	Purchase	02/04/2022	\$1,001 - \$15,000	Dividend Reinvestme
55	Spouse	<a href="#">VOO</a>	Vanguard S&P 500 ETF	Purchase	03/29/2022	\$1,001 - \$15,000	Dividend Reinvestme
56	Spouse	<a href="#">VGIAX</a>	Vanguard Growth and Income Fund Admiral Shares	Purchase	06/17/2022	\$1,001 - \$15,000	Dividend Reinvestme
57	Spouse	<a href="#">VEU</a>	Vanguard FTSE All World Ex US ETF	Purchase	06/24/2022	\$1,001 - \$15,000	Dividend Reinvestme
58	Spouse	<a href="#">VOO</a>	Vanguard S&P 500 ETF	Purchase	07/05/2022	\$1,001 - \$15,000	Dividend Reinvestme

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	\$1,001 - \$15,000 Amount	Dividend Reinvestment Comment
59	Spouse	<a href="#">VOO</a>	Vanguard S&P 500 ETF	Purchase	10/03/2022	\$1,001 - \$15,000	Dividend Reinvestment
60	Spouse	<a href="#">VGIAX</a>	Vanguard Growth and Income Fund Admiral Shares	Purchase	12/19/2022	\$15,001 - \$50,000	Dividend Reinvestment
61	Spouse	<a href="#">VEU</a>	Vanguard FTSE All World Ex US ETF	Purchase	12/22/2022	\$1,001 - \$15,000	Dividend Reinvestment
62	Spouse	<a href="#">VOO</a>	Vanguard S&P 500 ETF	Purchase	12/23/2022	\$15,001 - \$50,000	Dividend Reinvestment
63	Spouse	<a href="#">VO</a>	Vanguard Mid-Cap ETF	Purchase	02/09/2022	\$100,001 - \$250,000	n/a
64	Spouse	<a href="#">VB</a>	Vanguard Small-Cap ETF	Purchase	02/09/2022	\$100,001 - \$250,000	n/a
65	Spouse	<a href="#">VEU</a>	Vanguard FTSE All World Ex US ETF	Purchase	04/26/2022	\$100,001 - \$250,000	n/a

## Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

## Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**



## Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **No**

## Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

Position		Position Held	Entity	Entity Type	Comments
#	Dates				
1	Jun 1994 to present	Other (Member, Visiting Committee (Uncompensated))	University of Kentucky College of Law Lexington, Kentucky	Other (Public University/Law School)	n/a
2	Jan 2021 to present	Other (Member, Board of Dean's Advisors (Uncompensated))	Harvard Business School Cambridge, MA	Other (Private University/Business School)	n/a

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Oct 2015	Sentinel, an imprint of Penguin Publishing Group, a division of Penguin Random House LLC (Sentinel) New York, New York	Other (Compensation and Royalty Agreement)	A contract in which the undersigned agreed to complete a manuscript in exchange for publication and \$325,000 in compensation, payable in installments, by Sentinel. The contract also provides for royalty payments in the following manner: 15% of the retail price for all hardcover editions of the book sold; 7.5% to 10% of the retail price for all trade edition and mass market paperback copies sold; and 25% of the receipts for e-book edition sales.	n/a

## Part 10. Compensation

*Only required if you are a candidate or this is your first report* Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

## Attachments & Comments

*No attachments added.*

*No comments added.*