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Annual Report for Calendar 2022

The Honorable Sheldon Whitehouse (Whitehouse, Sheldon)

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 Print Report

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
 - ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
-
- ☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance?

No

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Spouse	Self-Employment Income	Ocean Conservancy Washington, DC	> \$1,000	n/a
2	Spouse	Self-Employment Income	The Ocean Foundation Washington, DC	> \$1,000	n/a
3	Spouse	Self-Employment Income	Runnng Tides Technologies, Inc Portland, ME	> \$1,000	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type
1	Morgan Stanley SEP IRA	Retirement Plans IRA	Spouse		
1.1	JCAPX - Janus Forty I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,
1.2	JBALX - Janus Balanced I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,
1.3	XLF - Financial Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,

1.4	T-AT&T, Inc. (NYSE) Asset	Corporate Securities Asset Type Stock	Spouse Owner	\$1,001 - Value \$15,000	Income None, Type
1.5	GOOGL - Alphabet Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,
1.6	TJX - The TJX Companies, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,
1.7	XLV - Health Care Select Sector SPDR ETF (NYSEArca)	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investmen Fund,
1.8	SAPYX - ClearBridge Appreciation I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
1.9	TRBCX - T. Rowe Price Blue Chip Growth (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investmen Fund,
1.10	AMGN - Amgen Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,
1.11	NFLX - Netflix, Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None,
1.12	MORGAN STANLEY (NEWPORT, RI) Type: Brokerage Sweep Account,	Bank Deposit	Spouse	\$15,001 - \$50,000	None,
1.13	AMZN-Amazon.com, Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None,
1.14	GS-The Goldman Sachs Group, Inc	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,

	Group, Inc.	Stock		\$50,000	
	Asset	Asset Type	Owner	Value	Income Type
1.15	JPM-JPMorgan Chase & Co.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,
1.16	J P MORGAN CHASE & CO <i>Rate/Coupon: 6.12%</i> <i>Matures: 02/12/2056</i> <i>Filer comment: Call date is 4/30/2024</i>	Corporate Securities Corporate Bond	Spouse	\$1,001 - \$15,000	None,
1.17	VETAX-Victory Sycamore Established Value A	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,
1.18	IEMG - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
1.19	BTO-John Hancock Financial Opportunities Fund	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
1.20	NVDA-NVIDIA Corporation	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None,
1.21	AMBFX-American Funds American Balanced F2	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,
1.22	WMT - Walmart Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,
1.23	C - Citigroup Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,
1.24	DAL - Delta Air Lines, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,

1.25	LRCX - Lam Research Corporation	Corporate Securities Asset Type Stock	Spouse Owner	\$15,001 - Value \$50,000	Income None, Type
1.26	PYPL - PayPal Holdings, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,
1.27	IAU - iShares Gold Trust	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
1.28	IHI - iShares U.S. Medical Devices ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investmen Fund,
1.29	VCSH - Vanguard Short-Term Corporate Bond Index Fund ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investmen Fund,
1.30	AMEFX - American Funds The Income Fund of America Class F-	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
1.31	NFFFX - American Funds New World Fund Class F-2	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
1.32	LMGNX - ClearBridge International Growth Fund Class I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
1.33	CSDIX - Cohen & Steers Real Estate Securities Fund, Inc. C	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
1.34	JMGRX - Janus Henderson Enterprise Fund Class I	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investmen Fund,
1.35	NWKDX - Nationwide Geneva Small Cap Growth Fund Institution	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investmen Fund.

1.36	PFSPIX - PIMCO Low Duration Income Fund Class I-2	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Income Expected Investment Fund,
1.37	INTC - Intel Corporation	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,
1.38	PXSGX - Virtus KAR Small-Cap Growth Fund Class I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Expected Investment Fund,
1.39	PGR - The Progressive Corporation	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,
1.40	AMRFX - American Mutual Fund Class F-2 Shares	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Expected Investment Fund,
1.41	GSFTX - Columbia Dividend Income Fund Class I	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Expected Investment Fund,
1.42	VGT - Vanguard Information Tech ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Expected Investment Fund,
1.43	CIBR - First Trust Nasdaq Cybersecurity ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Expected Investment Fund,
1.44	PAVE - Global X U.S. Infrastructure Development ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Expected Investment Fund,
1.45	KRE - SPDR S&P Regional Banking ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Expected Investment Fund,
1.46	VBR - Vanguard Small-Cap Value ETF	Mutual Funds Exchange Traded	Spouse	\$1,001 - \$15,000	Expected Investment

		Fund/Note			Fund, Income
1.47	Asset DGRW - WisdomTree U.S. Quality Dividend Growth Fund	Asset Type Mutual Funds Exchange Traded Fund/Note	Owner Spouse	Value \$1,001 - \$15,000	Type Excepted Investment Fund,
1.48	BFIGX - American Funds Inflation Linked Bond Fund - Clas	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
1.49	WBD - Warner Bros. Discovery, Inc. - Series A Common Sto <i>Filer comment: Rec'd in exchange for A,T&T</i>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,
1.50	BDJ - Blackrock Enhanced Equity Dividend Trust	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
1.51	QQQ - Invesco QQQ Trust, Series 1	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,
1.52	STIP - iShares 0-5 Year TIPS Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
1.53	IDNA - Ishares Genomics Immunology and Healthcare ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
1.54	SHV - iShares Short Treasury Bond ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,
1.55	NETL - Netlease Corporate Real Estate ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
1.56	NZAC - SPDR MSCI ACWI Climate Paris Aligned ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,

1.57	FLTR - VanEck Investment Grade Floating Rate ETF	Mutual Funds Exchange Traded Fund/Note	Spouse Owner	\$15,001 - \$50,000 Value	Excepted Income Investment Fund,
1.58	SMH - VanEck Semiconductor ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
1.59	VIG - Vanguard Div Appreciation ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
1.60	VBK - Vanguard Small-Cap Growth ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
2	Morgan Stanley- IRA	Retirement Plans IRA	Spouse		
2.1	BRK-B - Berkshire Hathaway Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,
2.2	VDC - Vanguard Consumer Staples ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
2.3	VGT - Vanguard Information Technology ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
2.4	TSLA - Tesla, Inc.	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None,
2.5	XLI - Industrial Select Sector SPDR ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
2.6	IEMG - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,

2.7	Morgan Stanley Private Bank (Newport, RI)	Bank Deposit Asset Type	Spouse Owner	\$1,001 - \$15,000 Value	None, Income Type
Type: Brokerage Sweep Account,					
2.8	NOBL - ProShares S&P 500 Dividend Aristocrats ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
2.9	CEYIX - Calvert Equity Fund Class I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
2.10	LMGNX - ClearBridge International Growth Fund Class I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
2.11	GSFTX - Columbia Dividend Income Fund Class I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
2.12	TRBCX - T. Rowe Price Blue Chip Growth Fund Inc.	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
2.13	IAU - iShares Gold Trust	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
2.14	CIBR - First Trust Nasdaq Cybersecurity ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
2.15	XLV - S&P 500 Healthcare Sector SPDR	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
2.16	ICLN - iShares Global Clean Energy ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investmen Fund,
2.17	XLF - S&P 500 Financials Sector SPDR	Mutual Funds Exchange Traded	Spouse	\$1,001 - \$15,000	Excepted Investmen

	Asset	Fund/Note	Owner	Value	Fund, Income
2.18	FLTR - VanEck IG Floating Rate ETF Trading under the new	Asset Type Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Type Excepted Investment Fund,
2.19	VWO - Vanguard FTSE Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
2.20	VYM - Vanguard High Dividend Yield ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
2.21	NFFFX - New World Fund Inc. Class F-2 Shs	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
2.22	ARKQ - ARK Autonomous Technology & Robotics ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
3	Morgan Stanley-IRA	Retirement Plans IRA	Self		
3.1	Goldman Sachs Abslt Ret Trck I (GJRTX)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
3.2	JP Morgan Dynamic Small Cap Gr Sel (JDSCX)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
3.3	MWTIX - Metropolitan West Total Return Bond I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
3.3	QQQ - Invesco QQQ Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
3.4	XLK - Technology Select Sector SPDR ETF	Mutual Funds Exchange Traded	Self	\$1,001 - \$15,000	Excepted Investment

	Asset	Fund/Note	Owner	Value	Fund, Income
3.5	VDC-Vanguard Consumer Staples ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
3.6	TRBCX - T. Rowe Price Blue Chip Growth	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,
3.7	BRK-B - Berkshire Hathaway Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,
3.8	XLF - Financial Select Sector SPDR® ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
3.9	IEMG - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
3.10	WMFFX-American Funds Washington Mutual F2	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,
3.11	SBLYX - ClearBridge Large Cap Growth I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
3.12	JMGRX - Janus Henderson Enterprise I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
3.13	NFFFX - American Funds New World F2	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
3.14	VEVIX - Victory Sycamore Established Value I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,
3.15	AMEFX - American Funds The Income Fund of	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,

America Class F-					Fund Income
Asset	Asset Type	Owner	Value	Type	
3.16 CGW - Invesco S&P Global Water Index ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	
3.17 Morgan Stanley (Newport, RI) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	
3.18 IAU - iShares Gold Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	
3.19 NOBL - ProShares S&P 500 Dividend Aristocrats ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	
3.20 LMGNX - ClearBridge International Growth Fund Class I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	
3.21 GSFTX - Columbia Dividend Income Fund Institutional Class	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	
3.22 PRBLX - Parnassus Core Equity Fund - Investor Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	
3.23 CLOU - Global X Cloud Computing ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	
3.24 BDJ - Blackrock Enhanced Equity Dividend Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,	
3.25 PXQSX - Virtus Kar Small-Cap Value Fund I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	

3.26	ARKQ - Ark Autonomous Tech & Robotics ETF Asset	Mutual Funds Exchange Traded Asset Type Fund/Note	Self Owner	\$1,001 - \$15,000 Value	Excepted Income Type, Fund,
3.27	GDV - Gabelli Dividend	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
3.28	XLI - SPDR Select Sector Fund - Industrial	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
3.29	STIP - iShares 0-5 Year TIPS Bond ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
3.30	IDNA - Ishares Genomics Immunology and Healthcare ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
3.31	COWZ - Pacer US Cash Cows 100 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
3.32	XLRE - Real Estate Select Sector SPDR Fund (The)	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
3.33	FLTR - VanEck IG Floating Rate ETF Trading under the new	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
3.34	IUGXX - Invesco Premier US Government Money Portfolio IN	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
4	Morgan Stanley- Sheldon Whitehouse Family Trust	Brokerage/Managed Account	Self		
4.1	MMM-3M Company (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.2	AMZN-Amazon.com Inc. (NASDAQ)	Corporate Securities Stock	Self	\$250,001 - \$500,000	None,

	Asset	Asset Type	Owner	Value	Income Type
4.3	AXP-American Express Company (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
4.4	CVS - CVS Caremark Corporation (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
4.5	DHR - Danaher Corp. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends
4.6	GOOGL - Alphabet Inc.	Corporate Securities Stock	Self	\$250,001 - \$500,000	None,
4.7	HON - Honeywell International Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
4.8	IWO - iShares Russell 2000 Growth	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
4.9	KMB - Kimberly-Clark Corporation (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
4.10	MCHP - Microchip Technology Inc. (NASDAQ)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
4.11	MSFT-Microsoft Corporation (NASDAQ)	Corporate Securities Stock	Self	\$250,001 - \$500,000	Dividends
4.12	NKE-Nike, Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends
4.13	ORCL-Oracle Corporation (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
4.14	PEP - PepsiCo, Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
4.15	PG - The Procter & Gamble Company (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends

4.16	UNP-Union Pacific Corporation (NYSE)	Corporate Securities Stock	Self Owner	\$50,001 - \$100,000	Dividends Income Type
4.17	RTX - Raytheon Technologies Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.18	UNH-UnitedHealth Group Incorporated (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends
4.19	V-Visa Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends
4.20	JPM - JPMorgan Chase & Co.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
4.21	LOW - Lowe's Companies Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
4.22	TMO-Thermo Fisher Scientific, Inc. (NYSE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends
4.23	XLV - Health Care Select Sector SPDR® ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
4.24	AMGN-Amgen Inc. (NASDAQ)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.25	T - AT&T, Inc. (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.26	EL - The Estée Lauder Companies Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
4.27	PANW-Palo Alto Networks, Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,
4.28	VZ - Verizon Communications Inc. (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends

4.29	META - Meta Platforms Inc	Corporate Securities Stock	Self Owner	\$15,001 - \$50,000 Value	None, Income Type
4.30	LRCX-Lam Research Corporation	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends
4.31	NFLX-Netflix, Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,
4.32	WMT-Wal-Mart Stores Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.33	ANWFX-American Funds New Perspective F2	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,
4.34	AAPL-Apple Inc.	Corporate Securities Stock	Self	\$500,001 - \$1,000,000	Dividends
4.35	BAC-Bank of America Corporation	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
4.36	GS-The Goldman Sachs Group, Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends
4.37	HD-The Home Depot, Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends
4.38	CSCO - Cisco Systems, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.39	DEO - Diageo plc	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.40	CMCSA-Comcast Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends
4.41	FTV-Fortive Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,

4.42	NVDA-NVIDIA Corporation Asset	Corporate Securities Stock Asset Type	Self Owner	\$250,001 - \$500,000 Value	Dividends Income Type
4.43	SBUX-Starbucks Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.44	ADI - Analog Devices, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.45	BRK-B - Berkshire Hathaway Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,
4.46	IR - Ingersoll-Rand Plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,
4.47	PFE - Pfizer Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.48	SYK-Stryker Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.49	IHI - iShares US Medical Devices ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
4.50	SQ - Square, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,
4.51	GJRTX - Goldman Sachs Absolute Return Tracker Fund Institu	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,
4.52	JDSCX - JPMorgan Small Cap Blend Fund Class I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.53	KO - The Coca-Cola Company	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.54	Morgan Stanley	Bank Deposit	Self	\$15,001 -	None,

	(Newport, RI) Type: Money Market Account, Asset	Asset Type	Owner	\$50,000 Value	Income Type
4.55	DLR - Digital Realty Trust, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.56	CIBR - First Trust NASDAQ Cybersecurity ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.57	XLI - SPDR Select Sector Fund - Industrial	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
4.58	VFH - Vanguard Financials ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
4.59	VEA - Vanguard FTSE Developed Markets ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund,
4.60	VYM - Vanguard High Dividend Yield ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.61	VEU - Vanguard All-World ex-US Shares Index ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
4.62	CAIFX - Capital Income Builder CI F-2 Shs	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.63	VNT - Vontier Corporation Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,
4.64	SMH - VanEck Semiconductor ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.65	VDC - Vanguard Consumer Staples ETF	Mutual Funds Exchange Traded	Self	\$15,001 - \$50,000	Excepted Investment

	Consumer Staples LTI	Exchange Traded Fund/Note		\$50,000	Investment Fund
	Asset	Asset Type	Owner	Value	Type
4.66	VBR - Vanguard Small-Cap Value ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
4.67	DGRW - WisdomTree U.S. Quality Dividend Growth Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
4.68	ELV - Elevance Health Inc	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends
4.69	TGT - Target Corp	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
4.70	TSLA - Tesla Inc	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,
4.71	WBD - Warner Bros. Discovery, Inc. - Series A Common Sto <i>Filer comment: Rec'd in exchange for A,T&T</i>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,
4.72	BDJ - Blackrock Enhanced Dividend Achievers	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.73	STIP - 0-5 Year TIPS Bond Ishares ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.74	IDNA - Ishares Genomics Immunology and Healthcare ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.75	NETL - Netlease Corporate Real Estate ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.76	COWZ - Pacer US Cash	Mutual Funds	Self	\$1,001 -	Excepted

	Cows 100 Index	Exchange Traded		\$15,000	Investment
	Asset	Fund/Note Asset Type	Owner	Value	Income Fund, Type
4.77	GLD - SPDR Gold Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.78	KRE - SPDR S&P Regional Banking ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.79	FLTR - VanEck IG Floating Rate ETF Trading under the new	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.80	IUGXX - Invesco Premier US Government Money Portfolio IN	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,
4.81	APDYX - Artisan Developing World Fund - Advisor Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.82	CSVIX - Calvert Small Cap Fund Class I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
4.83	PARWX - Parnassus Endeavor Fund - Investor Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
5	Morgan Stanley [1]	Brokerage/Managed Account	Joint		
5.1	Morgan Stanley (Newport, RI) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,
6	Morgan Stanley [3]	Brokerage/Managed Account	Joint		
6.1	AAPL-Apple Inc. (NASDAQ) <i>Filer comment: Transferred 50% to The Sheldon</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,

	50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Asset Type	Owner	Value	Income Type
6.2	KOE - The Coca-Cola Company (NYSE) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr- 2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.3	CAG-ConAgra Foods, Inc. (NYSE) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.4	HON - Honeywell International Inc. (NYSE) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.5	JPM-JPMorgan Chase & Co. (NYSE) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.6	VZ - Verizon Communications Inc. (NYSE) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.7	ESS - Essex Property Trust Inc. (NYSE) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,

Whitehouse Tr-2004					Income
	Asset	Asset Type	Owner	Value	Type
6.8	PG - The Procter & Gamble Company (NYSE) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.9	SBUX - Starbucks Corporation (NASDAQ) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 5-% to Sandra Whitehouse TTr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.10	UL - Unilever plc (NYSE) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.11	RTX - Raytheon Technologies Corporation Common Stock <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.12	UNH-UnitedHealth Group Incorporated (NYSE) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.13	PEP - Pepsico, Inc. (NYSE) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,

6.14	RTN - Raytheon Company (NYSE) <i>Filer comment:</i> Ticker symbol changed to RTX in 2020.	Corporate Securities Stock Asset Type	Joint Owner	None (or less than \$1,001) Value	None, Income Type
6.15	V - Visa Inc. (NYSE) <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.16	JPMORGAN CHASE & CO 6.75% FXD to 2/01/24 <i>Rate/Coupon:</i> 6.75 <i>Matures:</i> 02/01/24	Corporate Securities Corporate Bond	Joint	\$15,001 - \$50,000	Interest,
6.17	Morgan Stanley (Newport, RI) <i>Type:</i> Money Market Account,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,
6.18	JNJ - Johnson & Johnson <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.19	LRCX-Lam Research Corporation <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.20	MSFT-Microsoft Corporation <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.21	GOOGL-Alphabet Inc. <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,

6.22	AMGN - Amgen Inc. <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Asset Type Stock	Joint Owner	None (or less than \$1,001) Value	None, Income Type
6.23	BAC-Bank of America Corporation <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.24	DHR-Danaher Corporation <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.25	HD - The Home Depot, Inc. <i>Filer comment:</i> TTransferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.26	LMT - Lockheed Martin Corporation <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.27	MA-Mastercard Incorporated <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.28	MET - MetLife, Inc. <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,

6.29	DIS-The Walt Disney Company <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock Type	Joint Owner	None (or less than \$1,001)	None, Type
6.30	VIG-Vanguard Dividend Appreciation ETF <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,
6.31	TRBCX - T. Rowe Price Blue Chip Growth <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,
6.32	ADI-Analog Devices, Inc. <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.33	C - Citigroup Inc. <i>Filer comment:</i> Trf'd 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004 & then sold at a loss.	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.34	IR - Ingersoll-Rand Plc <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & Sandra Whitehouse Tr-2004	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.35	HLMIX-Harding Loevner International Eq Instl	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,
6.36	RI INFRASTRUCTURE BK	Government	Joint	\$15.001 -	Interest.

6.36	SAFE DRINKIN <i>Rate/Coupon: 3%</i> <i>Asset</i> <i>Matures: 10/01/2032</i>	Government Securities Municipal Security Asset Type	Joint Owner	\$50,000 Value	Interest, Income Type
6.37	AMZN - Amazon.com, Inc. <i>Filer comment: Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.38	MCD - McDonald's Corporation <i>Filer comment: Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.39	NVDA - NVIDIA Corporation <i>Filer comment: Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.40	WMT - Walmart Inc. <i>Filer comment: Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,
6.41	XLC - Communication Services Select Sector SPDR Fund <i>Filer comment: Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004</i>	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,
6.42	XLV - Health Care Select Sector SPDR Fund <i>Filer comment: Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004</i>	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,

6.43	QQQ - Invesco QQQ Trust <i>Filer comment:</i> Transferred	Mutual Funds Asset Type Exchange Traded	Joint Owner	None (or less than \$1,001)	Income Excepted Type Investmen
	50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Fund/Note			Fund,
6.44	IEMG - iShares Core MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investmen Fund,
6.45	VDC - Vanguard Consumer Staples Index Fund ETF Shares <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investmen Fund,
6.46	RI Health & Ed Bldg Corp <i>Rate/Coupon:</i> 4.00% <i>Matures:</i> 09/15/2034	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,
6.47	BINIX - Baron International Growth Fund Institutional Shar	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investmen Fund,
6.48	COSZX - Columbia Overseas Value Fund Institutional Class	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investmen Fund,
6.49	JDSCX - JPMorgan Small Cap Blend Fund Class I <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investmen Fund,
6.50	IAU - iShares Gold Trust <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investmen Fund,

6.51	CSVIX - Calvert Small-Cap Fund Class I Asset	Mutual Funds Mutual Fund Asset Type	Joint Owner	None (or less than \$1,001) Value	Excepted Income Investment Type Fund,
6.52	GSFTX - Columbia Dividend Income Fund Institutional Class <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,
6.53	XLI - SPDR Select Sector Fund - Industrial <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,
6.54	VFH - Vanguard Financials ETF <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,
6.55	VYM - Vanguard High Dividend Yield ETF <i>Filer comment:</i> Transferred 50% to Sheldon Whitehouse Tr-2004 & 50% to Sandra Whitehouse Tr-2004	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,
6.56	CEYIX - Calvert Equity Fund Class I	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund,
6.57	SKIRX - Dws Enhanced Commodity Strategy Fund - Class Ins	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,
7	MS TP Ltd Partnership <i>Company:</i> Tab Products (Mayville,	Business Entity Limited Partnership (LP)	Self		

	Asset	Asset Type	Owner	Value	Income Type
	WI) <i>Description:</i> Sale of Office Supplies <i>Filer comment:</i> Value is between \$15,001 and \$50,000. Ordinary loss for 2022 is \$35,603.				
7.1	T Acquisition Ltd Part(sole inv of MS TP Ltd Part <i>Company:</i> T Acquisition Partnership (Mayville, WI) <i>Description:</i> Sale of office supplies	Business Entity Limited Liability Company (LLC)	Self		
7.1.1	TAB Prod Co LLC (a holding of T Acq Ltd) <i>Company:</i> TAB Prod Co LLC (Mayville, WI) <i>Description:</i> Sale of office supplies	Business Entity Limited Liability Company (LLC)	Self	Unascertainable	None,
7.1.2	TAB Prod Canada(a holding of T Acq Ltd) <i>Company:</i> TAB Prod Canada (Ontario, Canada) <i>Description:</i> Sale of office supplies	Business Entity Limited Liability Company (LLC)	Self	Unascertainable	None,
7.1.3	TAB Prod Europa (a holding of T Acq Ltd) <i>Company:</i> TAB Prod Europa (Amsterdam, Netherlands) <i>Description:</i> Sale of office supplies	Business Entity Limited Liability Company (LLC)	Self	Unascertainable	None,
7.1.4	TAB Prod PTY Ltd (a holding of T Acq Ltd) <i>Company:</i> TAB Prod PTY Ltd (Stillwater, Australia) <i>Description:</i> Sale of Office Supplies	Business Entity Limited Liability Company (LLC)	Self	Unascertainable	None,
8	Helen Chase Rand Residual Trust	Trust General Trust	Self		
8.1	MUTUAL METROPOLITAN	Mutual Funds	Self	\$15,001	Exempted

8.1	MWTBAX - Metropolitan West Total Return Bond Fund Plan Class	Mutual Funds Mutual Fund Asset Type	Self Owner	\$15,001 - \$50,000 Value	Excepted Investment Fund,
8.2	White Ranch Minerals, Ltd. <i>Description:</i> Energy (Minneapolis, Minnesota)	Other Securities	Self	None (or less than \$1,001)	None,
8.3	TROIX - T. Rowe Price Overseas Stock I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
8.4	Wells Fargo Market Deposit AC (New York, NY) <i>Type:</i> Money Market Account,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,
8.5	PTTRX - PIMCO Total Return Fund Institutional Class	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,
8.6	FGBMX - Fidelity Advisor New Markets Income Fund Class Z	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
8.7	ITOT - iShares Core S&P Total U.S. Stock Market ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
8.8	IWM - iShares Russell 2000 ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund,
8.9	VO - Vanguard Mid-Cap ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
8.10	BAGIX - Baird Aggregate Bond Fund Institutional Class	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,
8.11	DODGX - Dodge & Cox Stock Fund	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment

					Fund, Income
8.12	Asset UBVFX - Undiscovered	Asset Type Mutual Funds	Owner Self	Value None (or less	Type Excepted
	Managers Behavioral Value Fund - R6	Mutual Fund		than \$1,001)	Investmen Fund,
8.13	PCRIX - Pimco Commodityrealreturn Strategy Fund Institut	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investmen Fund,
8.14	PHYQX - PGIM High Yield Fund Class R6	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
8.15	TGEPIX - Tcw Emerging Markets Income Fund Plan Share	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
8.16	DCZRX - Delaware Small Cap Core Fund Class R6	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
8.17	GSLC - Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investmen Fund,
8.18	TMPRX - Touchstone Mid Cap Fund Class R6	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
8.19	JENYX - Jensen Quality Growth Fund - Class Y Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investmen Fund,
9	Rita D Sellar GST Exempt Trust	Trust Excepted	Spouse	Unascertainable	Dividends
10	H Yale TW Dolan Trust	Trust Excepted	Spouse	Unascertainable	Dividends Interest, Other, (Portfolio income)
11	Sarah B TD Dolan Trust	Trust	Spouse	Unascertainable	Dividends

11	Sharon D TD Dolan Trust	Trust Excepted	Spouse	Unascertainable	Dividends Income
	Asset	Asset Type	Owner	Value	Type
12	Thomas Dolan Trust	Trust Excepted	Spouse	Unascertainable	Dividends Interest, Capital Gains, Other, (Portfolio)
13	Personal residence <i>Description:</i> Single family (Newport, RI)	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None,
14	Bank of America (Newport, RI) <i>Type:</i> Checking,	Bank Deposit	Spouse	\$100,001 - \$250,000	Interest,
15	Morgan Stanley- Sheldon Whitehouse Tr- 2004 <i>Filer comment:</i> New trusts funded in 2022.	Brokerage/Managed Account	Self		
15.1	Morgan Stanley (Newport, RI) <i>Type:</i> Money Market Account,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,
15.2	GOOGL - Alphabet Inc. - Class A Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,
15.3	AMZN - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,
15.4	AMGN - Amgen Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.5	ADI - Analog Devices, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.6	AAPL - Apple Inc. - Common Stock	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends

15.7	BAC - Bank of America Corporation Common Stock Asset	Corporate Securities Stock Asset Type	Self Owner	\$1,001 - \$15,000 Value	Dividends Income Type
15.8	KO - Coca-Cola Company (The) Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.9	CAG - ConAgra Brands, Inc. Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends
15.10	DHR - Danaher Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.11	ESS - Essex Property Trust, Inc. Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends
15.12	HD - Home Depot, Inc. (The) Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends
15.13	HON - Honeywell International Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.14	IR - Ingersoll Rand Inc. Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends
15.15	JNJ - Johnson & Johnson Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.16	JPM - JP Morgan Chase & Co. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.17	LRCX - Lam Research Corporation - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.18	LMT - Lockheed Martin Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.19	MA - Mastercard Incorporated Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends

15.20	MCD - McDonald's Corporation Common Stock	Corporate Securities Asset Type Stock	Self Owner	\$1,001 - \$15,000	Income Dividends Type
15.21	MET - MetLife, Inc. Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends
15.22	MSFT - Microsoft Corporation - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends
15.23	NVDA - NVIDIA Corporation - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.24	PEP - PepsiCo, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.25	PG - Procter & Gamble Company (The) Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.26	RTX - Raytheon Technologies Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.27	SBUX - Starbucks Corporation - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.28	UL - Unilever PLC Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends
15.29	UNH - UnitedHealth Group Incorporated Common Stock (DE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.30	VZ - Verizon Communications Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends
15.31	V - Visa Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends

		Stock		\$00,000	
15.32	WMT - Walmart Inc. Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Income Dividends
15.33	DIS - Walt Disney Company (The) Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,
15.34	XLV - SPDR Select Sector Fund - Health Care	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
15.35	XLI - SPDR Select Sector Fund - Industrial	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
15.36	QQQ - Invesco QQQ Trust, Series 1	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
15.37	VDC - Vanguard Consumer Staples ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Excepted Investment Fund,
15.38	VIG - Vanguard Div Appreciation ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
15.39	VFH - Vanguard Financials ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
15.40	VYM - Vanguard High Dividend Yield ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Excepted Investment Fund,
15.41	GSFTX - Columbia Dividend Income Fund Class I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,
15.42	TRBCX - T. Rowe Price Blue Chip Growth Fund Inc.	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,

15.43	XLC - The Communication Services Select Sector SPDR Fund	Mutual Funds Asset Type Exchange Traded Fund/Note	Self Owner	None (or less than \$1,001)	Income Excepted Type Investment Fund,
15.44	JDSCX - Jpmorgan Small Cap Blend Fund Class I	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund,
15.45	IAU - ishares Gold Trust	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Excepted Investment Fund,
16	Morgan Stanley-Sandra Whitehouse Tr-2004 <i>Filer comment: New trusts funded in 2022.</i>	Brokerage/Managed Account	Spouse		
16.1	Morgan Stanley (Newport, RI) <i>Type: Money Market Account,</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,
16.2	GOOGL - Alphabet Inc. - Class A Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,
16.3	AMZN - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,
16.4	AMGN - Amgen Inc. - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.5	ADI - Analog Devices, Inc. - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.6	AAPL - Apple Inc. - Common Stock	Corporate Securities Stock	Spouse	\$100,001 - \$250,000	Dividends
16.7	BAC - Bank of America Corporation Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends
16.8	KO - Coca-Cola Company (The Common Stock)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends

	(The) Common Stock	STOCK		\$50,000	
	Asset	Asset Type	Owner	Value	Income Type
16.9	CAG - ConAgra Brands, Inc. Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends
16.10	DHR - Danaher Corporation Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.11	ESS - Essex Property Trust, Inc. Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends
16.12	HD - Home Depot, Inc. (The) Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends
16.13	HON - Honeywell International Inc. - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.14	IR - Ingersoll Rand Inc. Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends
16.15	JNJ - Johnson & Johnson Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.16	JPM - JP Morgan Chase & Co. Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.17	LRCX - Lam Research Corporation - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.18	LMT - Lockheed Martin Corporation Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.19	MA - Mastercard Incorporated Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.20	MCD - McDonald's Corporation Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends
16.21	MET - MetLife, Inc.	Corporate Securities	Spouse	\$1,001	Dividends

16.21	METL - MetLife, Inc. Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends Income
	Asset	Asset Type	Owner	Value	Type
16.22	MSFT - Microsoft Corporation - Common Stock	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends
16.23	NVDA - NVIDIA Corporation - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.24	PEP - PepsiCo, Inc. - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.25	PG - Procter & Gamble Company (The) Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.26	RTX - Raytheon Technologies Corporation Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.27	SBUX - Starbucks Corporation - Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.28	UL - Unilever PLC Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends
16.29	UNH - UnitedHealth Group Incorporated Common Stock (DE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.30	VZ - Verizon Communications Inc. Common Stock	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.31	V - Visa Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends
16.32	WMT - Walmart Inc. Common Stock	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends
16.33	DIS - Walt Disney	Corporate Securities	Spouse	\$1,001 -	None,

	Company (The) Common Stock Asset	Stock Asset Type	Owner	\$15,000 Value	Income Type
16.34	XLV - SPDR Select Sector Fund - Health Care	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
16.35	XLI - SPDR Select Sector Fund - Industrial	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
16.36	QQQ - Invesco QQQ Trust, Series 1	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
16.37	IAU - iShares Gold Trust	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
16.38	VDC - Vanguard Consumer Staples ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,
16.39	VIG - Vanguard Div Appreciation ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
16.40	VFH - Vanguard Financials ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
16.41	VYM - Vanguard High Dividend Yield ETF	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
16.42	GSFTX - Columbia Dividend Income Fund Class I	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,
16.43	TRBCX - T. Rowe Price Blue Chip Growth Fund Inc.	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,

16.44	XLC - The Communication Services Select Sector SPDR Fund	Mutual Funds Exchange Traded Asset Type Fund/Note	Spouse Owner	None (or less than \$1,001) Value	Excepted Investment Fund,
16.45	JDSCX - Jpmorgan Small Cap Blend Fund Class I	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than \$1,001)	Excepted Investment Fund,
17	vcVCSP/COLLEGEAMERICA SANDRA WHITEHOUSE <i>Institution:</i> MORGAN STANLEY	Education Savings Plans 529 College Savings Plan	Spouse		
17.1	CGPAX - American Funds Growth Portfolio Class 529-A	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/28/2022	Self	TGT	Target Corporation Common Stock	Purchase	\$15,001 - \$50,000	--
2	01/28/2022	Self	TSLA	Tesla, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
3	04/11/2022	Self	T WBD	AT&T Inc. (Exchanged) Warner Bros. Discovery, Inc. - Series A Common Sto Received	Exchange	\$1,001 - \$15,000	AT&T spun off Warner Bros Discovery stock
4	04/11/2022	Spouse	T WBD	AT&T Inc. (Exchanged) Warner Bros. Discovery, Inc. - Series A Common Sto Received	Exchange	\$1,001 - \$15,000	AT&T spun-off Warner Bros Discovery
5	11/29/2022	Spouse	C	Citigroup, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
6	11/29/2022	Self	C	Citigroup, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Co

1	Spouse	BDJ	Blackrock Enhanced Equity Dividend Trust	Purchase	12/22/2022	\$1,001 - \$15,000	n/a
#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Co
2	Spouse	QQQ	Invesco QQQ Trust, Series 1	Purchase	05/27/2022	\$1,001 - \$15,000	n/a
3	Spouse	QQQ	Invesco QQQ Trust, Series 1	Purchase	07/29/2022	\$1,001 - \$15,000	n/a
4	Spouse	STIP	0-5 Year TIPS Bond Ishares ETF	Purchase	12/22/2022	\$1,001 - \$15,000	n/a
5	Spouse	IDNA	Genomics Immunology and Healthcare ETF	Purchase	08/02/2022	\$1,001 - \$15,000	n/a
6	Spouse	IHI	iShares U.S. Medical Devices ETF	Purchase	07/22/2022	\$1,001 - \$15,000	n/a
7	Spouse	SHV	iShares Short Treasury Bond ETF	Purchase	10/19/2022	\$15,001 - \$50,000	n/a
8	Spouse	NETL	Netlease Corporate Real Estate ETF	Purchase	03/30/2022	\$1,001 - \$15,000	n/a
9	Spouse	NZAC	SPDR MSCI ACWI Climate Paris Aligned ETF	Purchase	10/19/2022	\$1,001 - \$15,000	n/a
10	Spouse	FLTR	VanEck IG Floating Rate ETF Trading under the new	Purchase	03/25/2022	\$1,001 - \$15,000	n/a
11	Spouse	FLTR	VanEck IG Floating Rate ETF Trading under the new	Purchase	03/30/2022	\$15,001 - \$50,000	n/a
12	Spouse	SMH	VanEck Semiconductor ETF	Purchase	05/27/2022	\$1,001 - \$15,000	n/a
13	Spouse	VIG	Vanguard Div	Purchase	05/27/2022	\$1,001 - \$15,000	n/a

			Appreciation E TF			\$15,000	
#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Co
14	Spouse	VBK	Vanguard Small-Cap Growth ETF	Purchase	10/19/2022	\$1,001 - \$15,000	n/a
15	Spouse	XLV	SPDR Select Sector Fund - Health Care	Purchase	12/22/2022	\$1,001 - \$15,000	n/a
16	Spouse	CIBR	First Trust NASDAQ Cybersecurity ETF	Purchase	08/02/2022	\$1,001 - \$15,000	n/a
17	Spouse	PAVE	Global X U.S. Infrastructure Development ETF	Purchase	08/02/2022	\$1,001 - \$15,000	n/a
18	Spouse	GDV	Gabelli Dividend & Income Trust Common Shares of B	Purchase	07/29/2022	\$1,001 - \$15,000	n/a
19	Spouse	GDV	Gabelli Dividend & Income Trust Common Shares of B	Sale (Full)	12/22/2022	\$1,001 - \$15,000	n/a
20	Spouse	FINFX	Fundamental Investors Class F-2 Shares	Sale (Full)	03/20/2022	\$15,001 - \$50,000	n/a
21	Spouse	ARKW	BMO Ark Next Gen Internet Fund ETF	Sale (Full)	08/02/2022	\$1,001 - \$15,000	n/a
22	Spouse	ARKG	BMO Ark Genomic Revolution Fund ETF	Sale (Full)	08/02/2022	\$1,001 - \$15,000	n/a
23	Spouse	XBI	S&P Biotech SPDR	Sale (Full)	12/22/2022	\$1,001 - \$15,000	n/a
24	Spouse	VEU	Vanguard FTSE All World Ex US ETF	Sale (Full)	02/11/2022	\$1,001 - \$15,000	n/a
25	Spouse	ARKF	ARK Fintech Innovation ETF	Sale (Full)	08/30/2022	\$1,001 - \$15,000	n/a

			Innovation ETF			\$15,000	
26	Spouse	TICKR	iShares MSCI US Bond ETF	Sale (Full)	01/03/2022	\$15,001 - \$50,000	n/a
27	Spouse	VEA	FTSE Developed Markets Vanguard	Sale (Full)	02/11/2022	\$1,001 - \$15,000	n/a
28	Spouse	AFRYX	Invesco Floating Rate ESG Fund Class Y	Sale (Full)	03/25/2022	\$1,001 - \$15,000	n/a
29	Spouse	CIBR	First Trust Nasdaq Cybersecurity ETF	Purchase	05/27/2022	\$1,001 - \$15,000	n/a
30	Spouse	KRE	SPDR S&P Regional Banking ETF	Purchase	05/27/2022	\$1,001 - \$15,000	n/a
31	Spouse	GSFTX	Columbia Dividend Income Fund Class I	Purchase	05/27/2022	\$1,001 - \$15,000	n/a
32	Spouse	CSDIX	Cohen & Steers Real Estate Securities Fund Inc.	Purchase	05/27/2022	\$1,001 - \$15,000	n/a
33	Spouse	JMGRX	Janus Henderson Enterprise Fund - I Shares	Purchase	05/27/2022	\$1,001 - \$15,000	n/a
34	Spouse	AMRFX	American Mutual Fund Class F-2 Shares	Purchase	05/27/2022	\$1,001 - \$15,000	n/a
35	Spouse	TRBCX	T. Rowe Price Blue Chip Growth Fund Inc.	Sale (Partial)	12/21/2022	\$1,001 - \$15,000	n/a
36	Spouse	ARKQ	Ark Autonomous Tech & Robotics ETF	Purchase	08/02/2022	\$1,001 - \$15,000	n/a
37	Spouse	CIBR	First Trust Nasdaq Cybersecurity ETF	Purchase	05/27/2022	\$1,001 - \$15,000	n/a

38 #	Spouse Owner	XLV Ticker	S&P 500 Healthcare Asset Name Sector SPDR	Transaction Purchase Type	Transaction 08/25/2022 Date	\$1,001 - Amount \$15,000	n/a C6
39	Spouse	ICLN	iShares Global Clean Energy ETF	Purchase	05/27/2022	\$1,001 - \$15,000	n/a
40	Spouse	XLF	S&P 500 Financials Sector SPDR	Purchase	08/29/2022	\$1,001 - \$15,000	n/a
41	Spouse	FLTR	VanEck IG Floating Rate ETF Trading under the new	Purchase	03/25/2022	\$1,001 - \$15,000	n/a
42	Spouse	VWO	Vanguard FTSE Emerging Markets ETF	Purchase	12/22/2022	\$1,001 - \$15,000	n/a
43	Spouse	VYM	Vanguard High Dividend Yield ETF	Purchase	12/09/2022	\$1,001 - \$15,000	n/a
44	Spouse	NFFFX	New World Fund Inc. Class F-2 Shs	Purchase	12/22/2022	\$1,001 - \$15,000	n/a
45	Spouse	PRASX	T. Rowe Price New Asia Fund	Sale (Full)	12/22/2022	\$1,001 - \$15,000	n/a
46	Spouse	XBI	S&P Biotech SPDR	Sale (Full)	03/21/2022	\$1,001 - \$15,000	n/a
47	Spouse	GEMIX	Goldman Sachs Emerging Markets Equity Fund Insti	Sale (Full)	12/22/2022	\$1,001 - \$15,000	n/a
48	Spouse	VOX	Vanguard Communication Services ETF	Sale (Full)	12/07/2002	\$1,001 - \$15,000	n/a
49	Spouse	AFRYX	Invesco Floating Rate ESG Fund Class Y	Purchase	03/21/2022	\$1,001 - \$15,000	n/a
50	Spouse	AFRYX	Invesco Floating	Sale (Full)	03/25/2022	\$1,001 -	n/a

#	Owner	Ticker	Rate ESG Fund Class Y Asset Name	Transaction Type	Transaction Date	\$15,000 Amount	Co
51	Spouse	ARKK	Ark Innovation ETF	Sale (Full)	08/02/2022	\$1,001 - \$15,000	n/a
52	Spouse	VDC	Vanguard Consumer Staples ETF	Purchase	08/25/2022	\$1,001 - \$15,000	n/a
53	Spouse	IAU	ishares Gold Trust	Purchase	05/27/2022	\$1,001 - \$15,000	n/a
54	Self	VEA	Vanguard FTSE Developed Markets ETF	Sale (Full)	02/11/2022	\$1,001 - \$15,000	n/a
55	Self	XLC	The Communication Services Select Sector SPDR Fund	Sale (Full)	11/25/2022	\$1,001 - \$15,000	n/a
56	Self	ARKK	Ark Innovation ETF	Sale (Full)	08/02/2022	\$1,001 - \$15,000	n/a
57	Self	ARKF	Ark Fintech Innovation ETF	Sale (Full)	03/30/2022	\$1,001 - \$15,000	n/a
58	Self	AFRYX	Invesco Floating Rate ESG Fund Class Y	Sale (Full)	03/25/2022	\$1,001 - \$15,000	n/a
59	Self	TIP	iShares TIPS Bond ETF	Sale (Full)	10/03/2022	\$1,001 - \$15,000	n/a
60	Self	GDV	Gabelli Dividend	Purchase	07/29/2022	\$1,001 - \$15,000	n/a
61	Self	XLI	SPDR Select Sector Fund - Industrial	Purchase	03/25/2022	\$1,001 - \$15,000	n/a
62	Self	STIP	iShares 0-5 Year TIPS Bond ETF	Purchase	12/22/2022	\$1,001 - \$15,000	n/a
63	Self	IDNA	Ishares Genomics	Purchase	07/19/2023	\$1,001 -	n/a

	#	Owner	Ticker	Immunology and Healthcare ETF Asset Name	Transaction Type	Transaction Date	\$15,000 Amount	Co
	64	Self	COWZ	Pacer US Cash Cows 100 ETF	Purchase	08/25/2022	\$1,001 - \$15,000	n/a
	65	Self	XLRE	Real Estate Select Sector SPDR Fund (The)	Purchase	03/30/2022	\$1,001 - \$15,000	n/a
	66	Self	FLTR	VanEck Investment Grade Floating Rate ETF	Purchase	03/05/2022	\$1,001 - \$15,000	n/a
	67	Self	IUGXX	Invesco Premier US Government Money Portfolio IN	Purchase	12/22/2022	\$1,001 - \$15,000	n/a
	68	Self	ARKQ	Ark Autonomous Tech & Robotics ETF	Purchase	08/22/2022	\$1,001 - \$15,000	n/a
	69	Self	SBLYX	Clearbridge Large Cap Growth Fd Class I	Sale (Partial)	12/22/2022	\$1,001 - \$15,000	n/a
	70	Self	TRBCX	T. Rowe Price Blue Chip Growth Fund Inc.	Sale (Partial)	12/21/2022	\$1,001 - \$15,000	n/a
	71	Self	CLOU	Global X Cloud Computing ETF	Purchase	08/25/2022	\$1,001 - \$15,000	n/a
	72	Self	BDJ	Blackrock Enhanced Equity Dividend Trust	Purchase	07/29/2022	\$1,001 - \$15,000	n/a
	73	Self	NEFFX	The New Economy Fund Class F-2 Shs	Sale (Partial)	05/19/2022	\$1,001 - \$15,000	n/a
	74	Self	NEFFX	The New Economy Fund Class F-2 Shs	Sale (Full)	05/19/2022	\$15,001 - \$50,000	n/a

75	Self	TRBCX	T. Rowe Price Blue Chip Growth Fund Inc.	Sale (Partial)	12/22/2022	\$1,001 - \$15,000	n/a
#	Owner	Ticker	Chip Growth Fund Inc.	(Partial)	Date	\$15,000	Co
76	Self	TRBCX	T. Rowe Price Blue Chip Growth Fund Inc.	Sale (Full)	12/22/2022	\$15,001 - \$50,000	n/a
77	Self	IDV	iShares International Select Dividend ETF	Sale (Full)	03/07/2022	\$15,001 - \$50,000	n/a
78	Self	TIP	iShares TIPS Bond ETF	Sale (Full)	10/03/2022	\$50,001 - \$100,000	n/a
79	Self	PFTPX	Pimco Low Duration Income Fund Class I-2	Sale (Full)	11/15/2022	\$15,001 - \$50,000	n/a
80	Self	ARKK	Ark Innovation ETF	Sale (Full)	07/22/2022	\$1,001 - \$15,000	n/a
81	Self	VEA	Vanguard FTSE Developed Markets ETF	Sale (Partial)	02/11/2022	\$1,001 - \$15,000	n/a
82	Self	VEA	Vanguard FTSE Developed Markets ETF	Sale (Full)	02/11/2022	\$1,001 - \$15,000	n/a
83	Self	BSFIX	Baron Small Cap Fund Inst Shs	Sale (Partial)	05/19/2022	\$1,001 - \$15,000	n/a
84	Self	BSFIX	Baron Small Cap Fund Inst Shs	Sale (Full)	05/19/2022	\$15,001 - \$50,000	n/a
85	Self	ARKG	Ark Genomic Revolution ETF	Sale (Partial)	08/02/2022	\$1,001 - \$15,000	n/a
86	Self	ARKG	Ark Genomic Revolution ETF	Sale (Full)	08/02/2022	\$1,001 - \$15,000	n/a

87 #	Self Owner	GDVZ Ticker	Gabelli Dividend Asset Name	Transaction Sale (Partial) Type	Transaction 12/22/2022 Date	\$1,001 - Amount \$15,000	n/a Cost
88	Self	VEU	Vanguard FTSE All World Ex US ETF	Sale (Partial)	01/26/2022	\$1,001 - \$15,000	n/a
89	Self	BDJ	Blackrock Enhanced Dividend Achievers	Purchase	12/22/2022	\$1,001 - \$15,000	n/a
90	Self	STIP	0-5 Year TIPS Bond Ishares ETF	Purchase	12/22/2022	\$1,001 - \$15,000	n/a
91	Self	IDNA	Ishares Genomics Immunology and Healthcare ETF	Purchase	08/02/2022	\$1,001 - \$15,000	n/a
92	Self	NETL	Netlease Corporate Real Estate ETF	Purchase	03/30/2022	\$1,001 - \$15,000	n/a
93	Self	COWZ	Pacer US Cash Cows 100 ETF	Purchase	08/25/2022	\$1,001 - \$15,000	n/a
94	Self	GLD	SPDR Gold Trust	Purchase	06/01/2022	\$1,001 - \$15,000	n/a
95	Self	KRE	SPDR S&P Regional Banking ETF	Purchase	07/09/2022	\$15,001 - \$50,000	n/a
96	Self	FLTR	Vaneck Investment Grade Floating Rate ETF	Purchase	03/30/2022	\$15,001 - \$50,000	n/a
97	Self	IUGXX	Invesco Premier US Government Money Portfolio IN	Purchase	03/25/2022	\$50,001 - \$100,000	n/a
98	Self	CSVIX	Calvert Small Cap Fund Class I	Purchase	07/22/2022	\$1,001 - \$15,000	n/a
99	Self	PARWX	Parnassus Endeavor Fund - Investor	Purchase	07/22/2022	\$1,001 - \$15,000	n/a

			Shares		Transaction	Transaction		
#	Owner	Ticker	Asset Name	Type	Date	Amount	Co	
100	Self	APDYX	Artisan Developing World Fund - Advisor Shares	Purchase	06/01/2022	\$1,001 - \$15,000	n/a	
101	Joint	HLMIX	Harding Loevner Fund Inc. International Eq Pt	Sale (Full)	05/24/2022	\$15,001 - \$50,000	n/a	
102	Joint	IEMG	iShares Core MSCI Emerging Markets ETF	Sale (Full)	05/27/2022	\$1,001 - \$15,000	n/a	
103	Joint	BINIX	Baron International Growth Fund Inst Shs	Sale (Full)	07/29/2022	\$15,001 - \$50,000	n/a	
104	Joint	COSZX	Columbia Overseas Value Fund Class I	Sale (Full)	05/24/2022	\$1,001 - \$15,000	n/a	
105	Joint	CSVIX	Calvert Small Cap Fund Class I	Sale (Full)	11/15/2022	\$1,001 - \$15,000	n/a	
106	Joint	VEU	Vanguard FTSE All World Ex US ETF	Sale (Full)	06/13/2022	\$1,001 - \$15,000	n/a	
107	Joint	SKIRX	Dws Enhanced Commodity Strategy Fund - Class Ins	Sale (Full)	05/24/2022	\$15,001 - \$50,000	n/a	
108	Joint	XLC	The Communication Services Select Sector SPDR Fund	Sale (Partial)	07/21/2022	\$15,001 - \$50,000	n/a	
109	Joint	VDC	Vanguard Consumer Staples ETF	Sale (Partial)	06/08/2022	\$15,001 - \$50,000	n/a	
110	Joint	VIG	Vanguard Div Appreciation ETF	Sale (Partial)	06/08/2022	\$1,001 - \$15,000	n/a	
111	Self	SHV	iShares Short	Purchase	10/19/2022	\$1,001 -	n/a	

			Treasury Bond ETF		Transaction	Transaction	\$15,000	
#	Owner	Ticker	Asset Name	Type	Date	Amount	Co	
112	Self	SHV	iShares Short	Sale (Full)	11/15/2022	\$1,001 - \$15,000	n/a	
Treasury Bond ETF							\$15,000	
113	Self	XLC	The Communication Services Select Sector SPDR Fund	Sale (Full)	11/15/2022	\$1,001 - \$15,000	n/a	
114	Self	JDSCX	Jpmorgan Small Cap Blend Fund Class I	Sale (Full)	09/23/2022	\$1,001 - \$15,000	n/a	
115	Self	IAU	ishares Gold Trust	Sale (Full)	11/15/2022	\$1,001 - \$15,000	n/a	
116	Spouse	XLC	The Communication Services Select Sector SPDR Fund	Sale (Full)	11/25/2022	\$1,001 - \$15,000	n/a	
117	Spouse	JDSCX	Jpmorgan Small Cap Blend Fund Class I	Sale (Full)	09/23/2022	\$1,001 - \$15,000	n/a	
118	Spouse	TRBCX	T. Rowe Price Blue Chip Growth Fund Inc.	Sale (Partial)	12/22/2022	\$1,001 - \$15,000	n/a	
119	Self	PHIYX	Pimco Funds High Yield Fund Institutional Shares	Sale (Full)	08/12/2022	\$1,001 - \$15,000	n/a	
120	Self	GSLC	GS Activebeta U.S. Large Cap Equity ETF	Sale (Partial)	06/16/2022	\$1,001 - \$15,000	n/a	
121	Self	IWM	iShares Russell 2000 ETF	Sale (Full)	04/18/2022	\$1,001 - \$15,000	n/a	
122	Self	TSEGX	Touchstone Sands Capital Emerging Markets Growth	Sale (Full)	04/14/2022	\$1,001 - \$15,000	n/a	
123	Self	UBVFX	Undiscovered Managers Behavioral Value	Sale (Full)	04/14/2022	\$1,001 - \$15,000	n/a	

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Co
124	Self	PCRIX	Pimco Behavioral Value Fund - R6 Commodityrealreturn Strategy Fund Institut	Sale (Full)	04/14/2022	\$1,001 - \$15,000	n/a
125	Self	TSRMX	Touchstone Sands Capital Emerging Markets Growth	Sale (Full)	04/14/2022	\$1,001 - \$15,000	n/a
126	Self	PHYQX	PGIM High Yield Fund Class R6	Purchase	08/02/2022	\$1,001 - \$15,000	n/a
127	Self	TGEPIX	Tcw Emerging Markets Income Fund Plan Share	Purchase	08/02/2022	\$1,001 - \$15,000	n/a
128	Self	DCZRFX	Delaware Small Cap Core Fund Class R6	Purchase	10/21/2022	\$1,001 - \$15,000	n/a
129	Self	GSLC	Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF	Purchase	08/02/2022	\$1,001 - \$15,000	n/a
130	Self	JENYX	Jensen Quality Growth Fund - Class Y Shares	Purchase	10/21/2022	\$1,001 - \$15,000	n/a
131	Self	TMPRX	Touchstone Mid Cap Fund Class R6	Purchase	10/21/2022	\$1,001 - \$15,000	n/a
132	Self	IWO	iShares Russell 2000 Growth ETF	Sale (Partial)	07/22/2022	\$15,001 - \$50,000	n/a
133	Spouse	CGPAX	American Funds Growth Portfolio Class 529-A	Purchase	06/13/2022	\$15,001 - \$50,000	n/a
134	Self	JENIX	Jensen Quality Growth Fund CI I	Sale (Full)	08/02/2022	\$1,001 - \$15,000	n/a

135 #	Self Owner	TGEIX Ticker	Tcw Emerging Markets Income Fund I Cl	Transaction Sale (Full) Type	Transaction 03/25/2022 Date	\$1,001- Amount \$15,000	n/a Co

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2016	Joint	Mortgage	0	3.35% (15)	\$250,001 - \$500,000	Morgan Stanley Private Bank Ewing, NJ	n/a
2	2016	Joint	Mortgage	0	3.53% (15)	\$250,001 - \$500,000	Shellpoint Mtg division of Newrez LLC Greenville, SC	Washington, DC Condo

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Nov 2012	Adams Media Avon, MA	Royalty Agreement	Adams Media published a book written by Sen Whitehouse titled "On Virtues" and royalties to be paid on book. No royalties were received in 2022.	n/a
2	Jun 2015	The New Press New York, NY	Royalty Agreement	Royalties based on customary and usual terms for a nonfiction book titled "Captured: The Corporate Infiltration of American Democracy." No royalties received in	n/a

#	Date	Parties Involved	Type	2022: all Status and Terms	Comments
				royalties are donated to charity.	
3	Oct 2021	The New Press New York, NY	Royalty Agreement	Royalties based on customary and usual terms for a nonfiction book titled "The Scheme". No royalties were received in 2022.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

Comments

As a result of the separation agreement between Senator and his financial advisor, the Senator has no involvement with Morgan Stanley regarding the purchases or sales of investments. He is not informed of any purchases, sales, or holdings by his advisor. The review of these forms and the corresponding PTRs is the first occasion the Senator has seen these transactions.

